

Applications of Machine Learning to Nuclear Theory



J. Piekarewicz

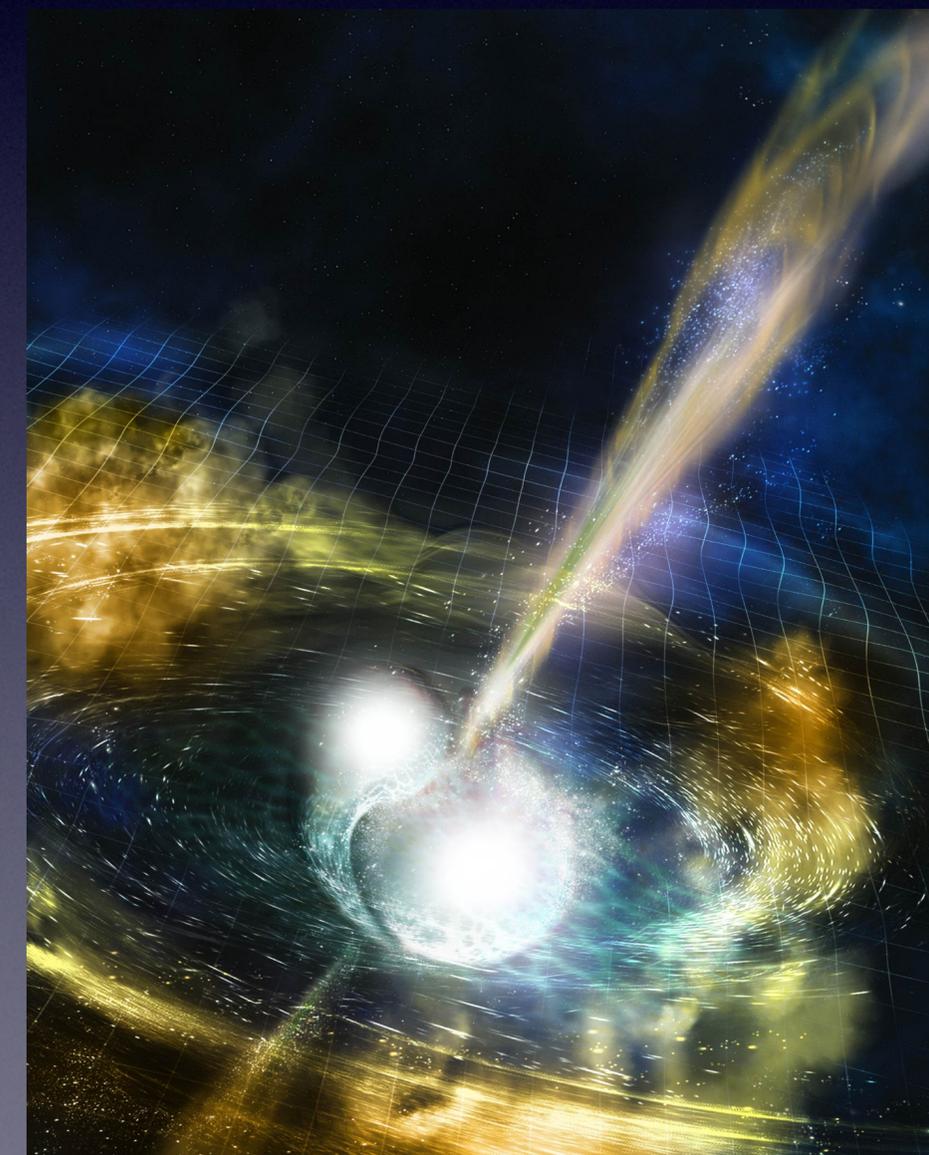
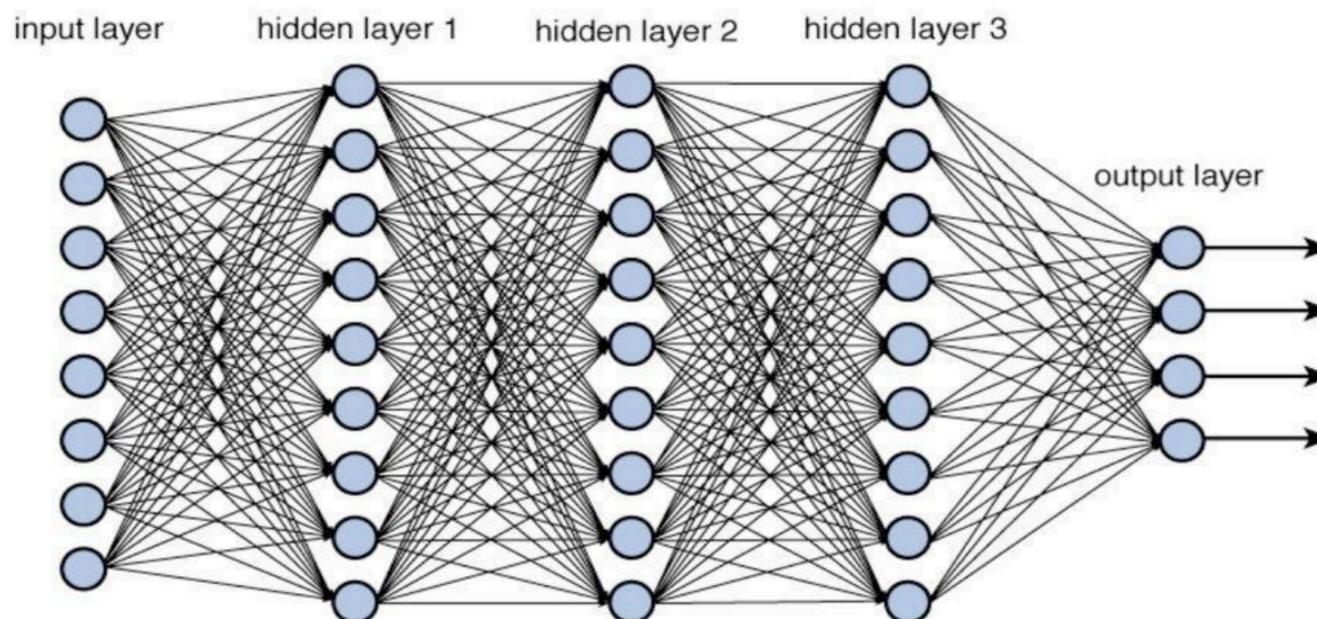
LASNA
XIV/2024

Latin American Symposium on
Nuclear Physics and Applications

Facultad de Ciencias, UNAM / June 17 - 21, 2024



Deep Neural Network



Old, Recent, and NEW friends!



Guacamole with chapulines (crickets!)

... and of course,
please do not eat tuna
in this unit ...



**SE PROHIBE COMER
ATUN EN ESTA UNIDAD
GRACIAS**

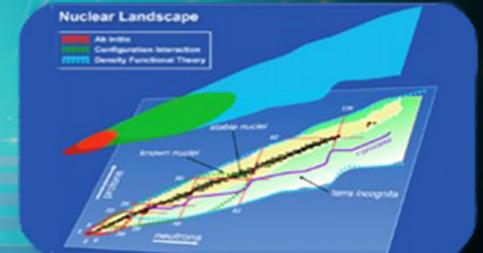
Heaven and Earth: Nuclear EOS Density Ladder

No single method can constrain the EOS over the entire density domain. Instead, each rung on the ladder provides information that can be used to determine the **EOS** at neighboring rungs

A NEW ERA OF DISCOVERY
THE 2023 LONG RANGE PLAN FOR NUCLEAR SCIENCE
2023 | VERSION 1.1

Measuring Ions Per Bunch in the RFG

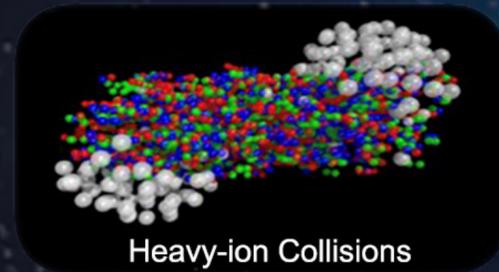
HEAVEN AND EARTH
Connecting Atomic Nuclei
to Neutron Stars –
systems that differ in size
by 18 orders of magnitude!



Soft X-ray Timing



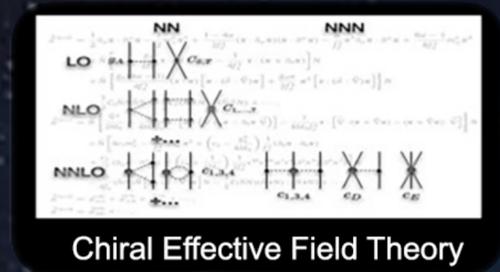
Pulsar Timing



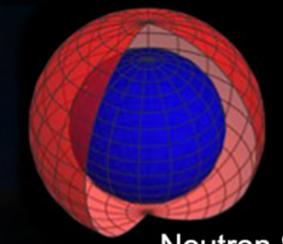
Heavy-ion Collisions



Gravitational Waves



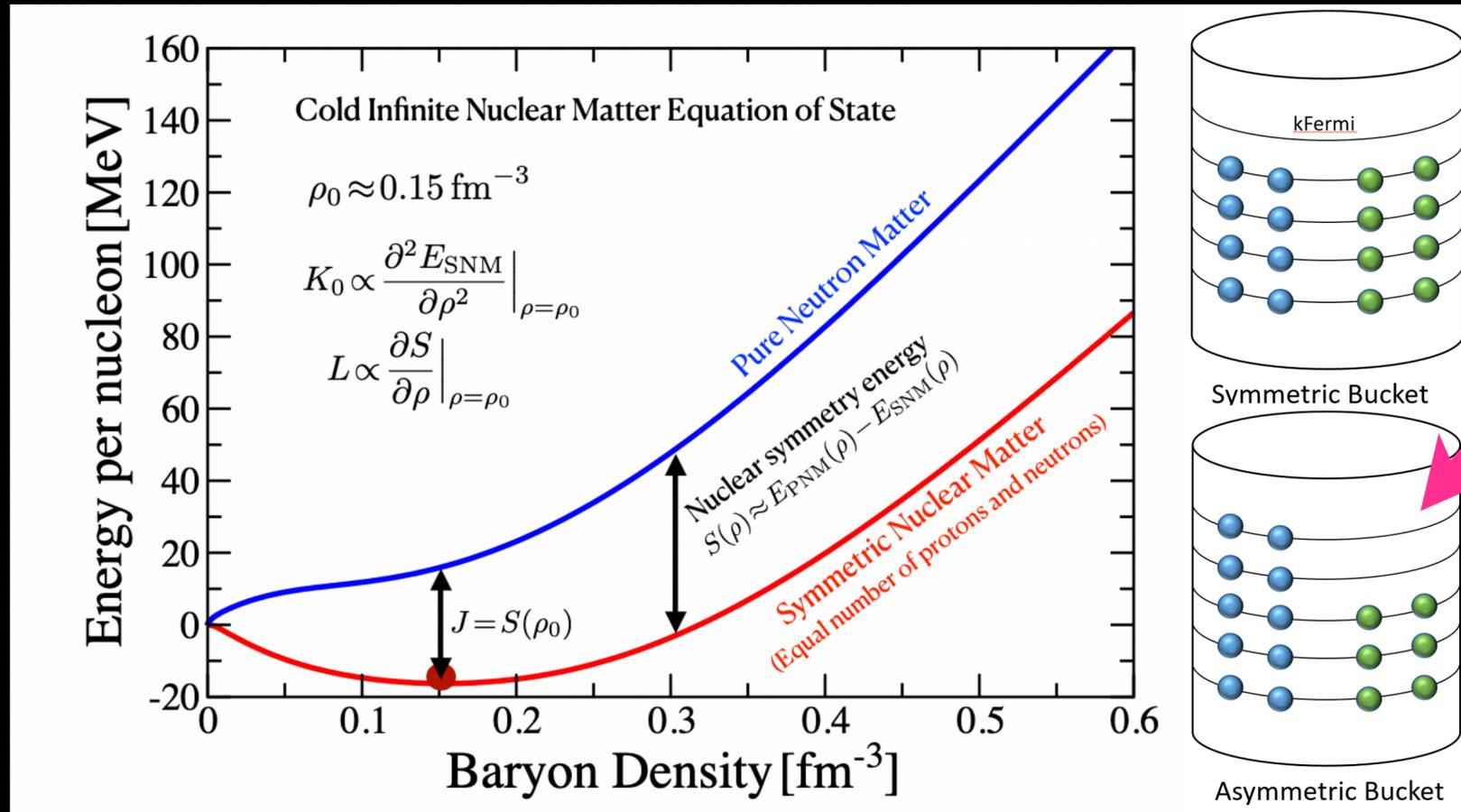
Chiral Effective Field Theory



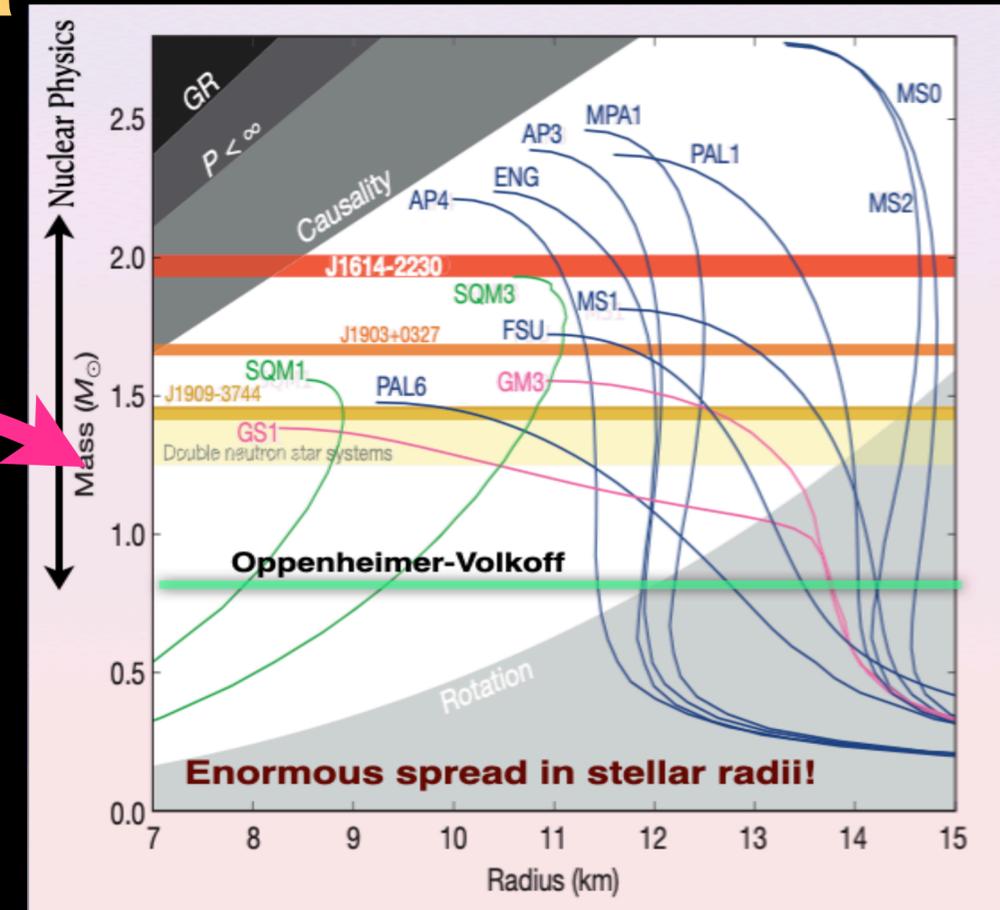
Neutron Skins

*Multimessenger
Astronomy!*

Neutron Stars and The Equation of State of Neutron-Rich Matter



Micro-Macro Connection



Only Physics that the TOV equation is sensitive to is the Equation of State

$$S(\rho_0) \approx \left(E_{\text{PNM}} - E_{\text{SNM}} \right) (\rho_0) = J$$

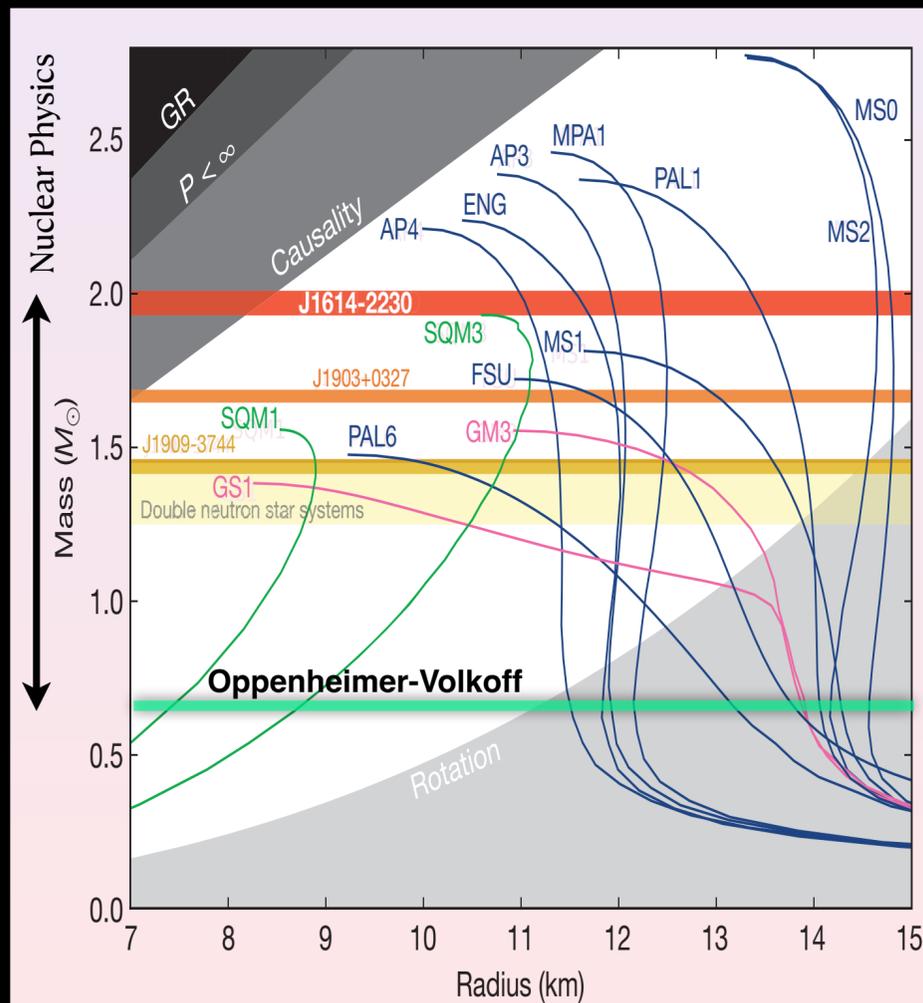
$$P_{\text{PNM}} \approx \frac{1}{3} L \rho_0 \text{ (Pressure of PNM)}$$

“Stiff” \longrightarrow L large
 “Soft” \longrightarrow L small

PREX constrains L!

Nuclear science plays a fundamental role in understanding the structure, dynamics, and composition of neutron stars!

Increase from 0.7 to 2 Msun is entirely due to repulsive nuclear interactions at short distances and transfers ownership to us!



$$\frac{dM}{dr} = 4\pi r^2 \mathcal{E}(r)$$

$$\frac{dP}{dr} = -G \frac{\mathcal{E}(r)M(r)}{r^2} \left[1 + \frac{P(r)}{\mathcal{E}(r)} \right]$$

$$\left[1 + \frac{4\pi r^3 P(r)}{M(r)} \right] \left[1 - \frac{2GM(r)}{r} \right]^{-1}$$

Need an EOS: $P = P(\mathcal{E})$ relation

Nuclear Physics Critical

Nuclear Physics and Neutron Stars

Tidal Polarizability and Neutron-Star Radii (2017)

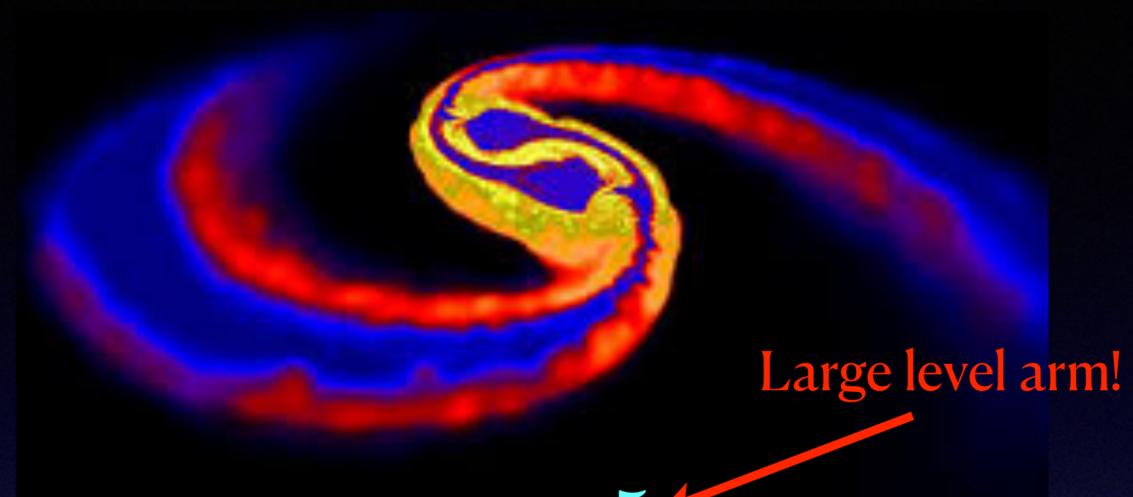
Tidal Polarizability(Deformability):

- Tidal field induces a mass polarization
- A time dependent mass quadrupole emits gravitational waves

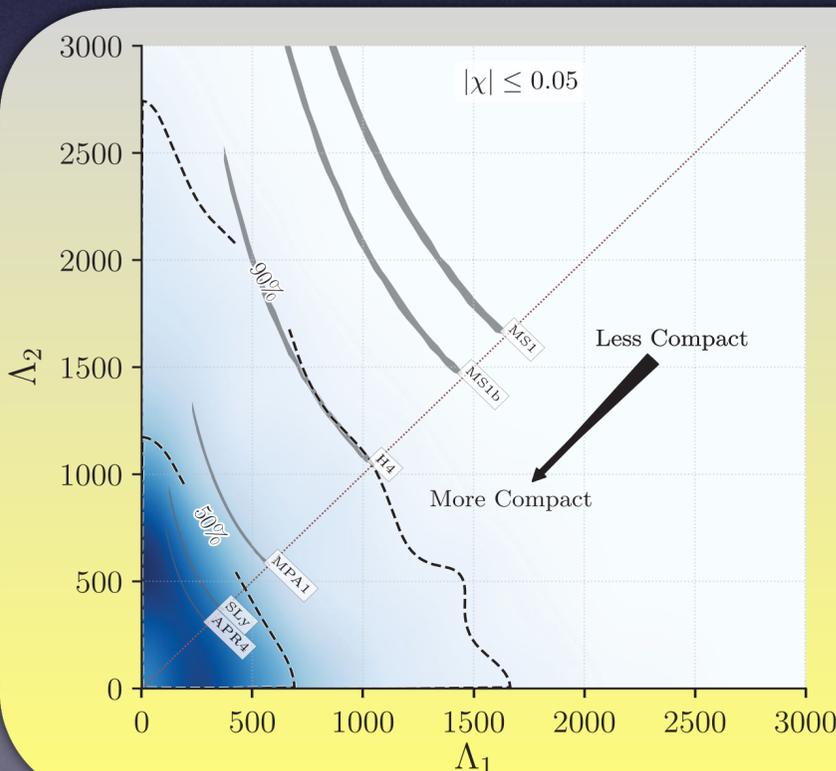
$$Q_{ij} = \Lambda \mathcal{E}_{ij}$$

Micro-Macro

$$\Lambda = \kappa_2 \left(\frac{c^2 R}{2GM} \right)^5 = \kappa_2 \left(\frac{R}{R_s} \right)^5$$



Large level arm!



GW170817
rules out very large
neutron star radii!

*Neutron Stars
must be compact*

$$\Lambda_{1.4} = 390^{+190}_{-120} \text{ (90\%)}$$

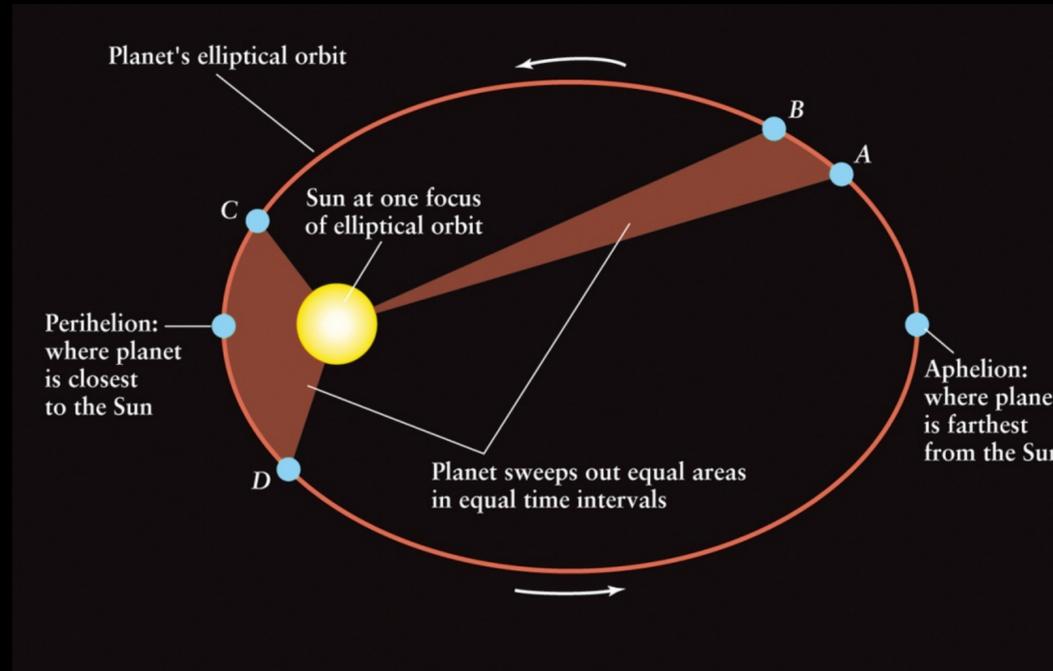
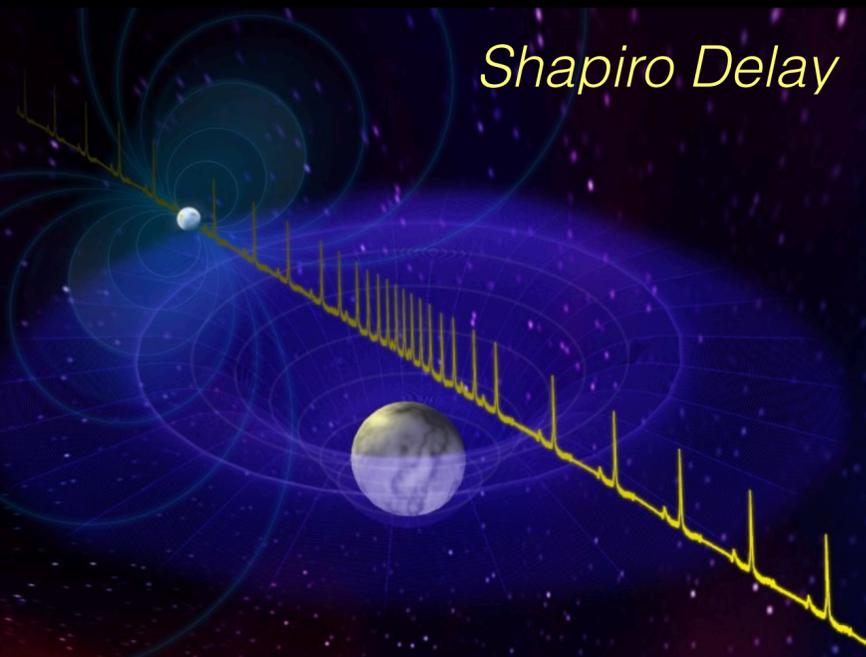
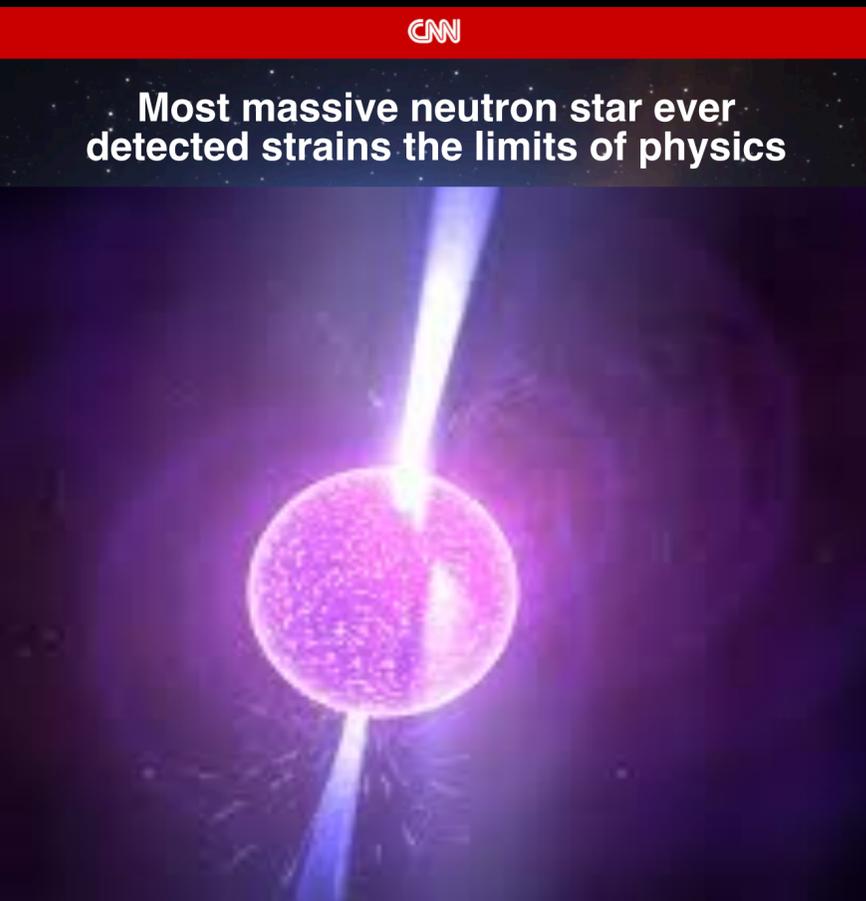
(Latest LIGO/Virgo analysis)

**Soft EOS!
Small L!**

The tidal polarizability
measures the “fluffiness”
(or stiffness) of a neutron star
against deformation. Very
sensitive to stellar radius!

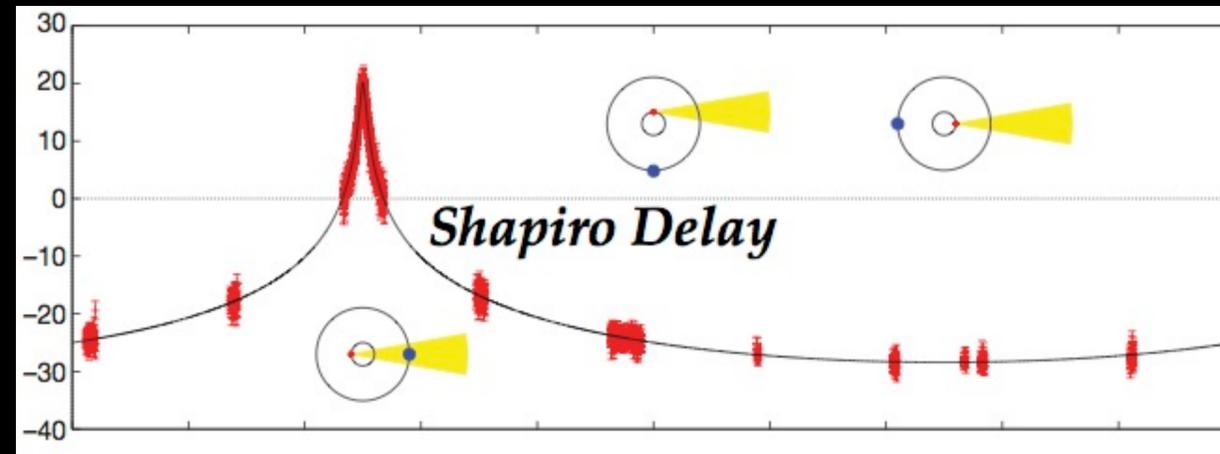
Measuring Heavy Neutron Stars (2019)

Shapiro Delay: General Relativity to the Rescue



Newtonian Gravity sensitive to the total mass of the binary
Kepler's Third Law

$$G(M_{\text{ns}} + M_{\text{wd}}) = 4\pi^2 \frac{a^3}{P^2}$$



Shapiro delay — a purely General Relativistic effect can break the degeneracy

Stiff EOS!
Large L!

$$\delta t = \frac{2GM_{\text{WD}}}{c^3} \ln \left(\frac{4R_{\star}R_{\oplus}}{d^2} \right) \approx 10\mu s$$

$M = 2.08 \pm 0.07 M_{\odot}$
 Cromartie/Fonseca et al. (2020)

Neutron-star Interior Composition Explorer (NICER) Simultaneous Mass and Radius Measurements (2019-2021)

NICER was launched from Kennedy's Space Center on June 3, 2017 aboard SpaceX Falcon 9 Rocket and docked at the International Space Station two days later.



NICER measures the compactness of the Neutron Star **by looking at back of the star!**

Pulse Profile: The stellar compactness controls the light profile from the hot spot

$$\xi = \frac{2GM}{c^2 R} = \frac{R_S}{R}$$

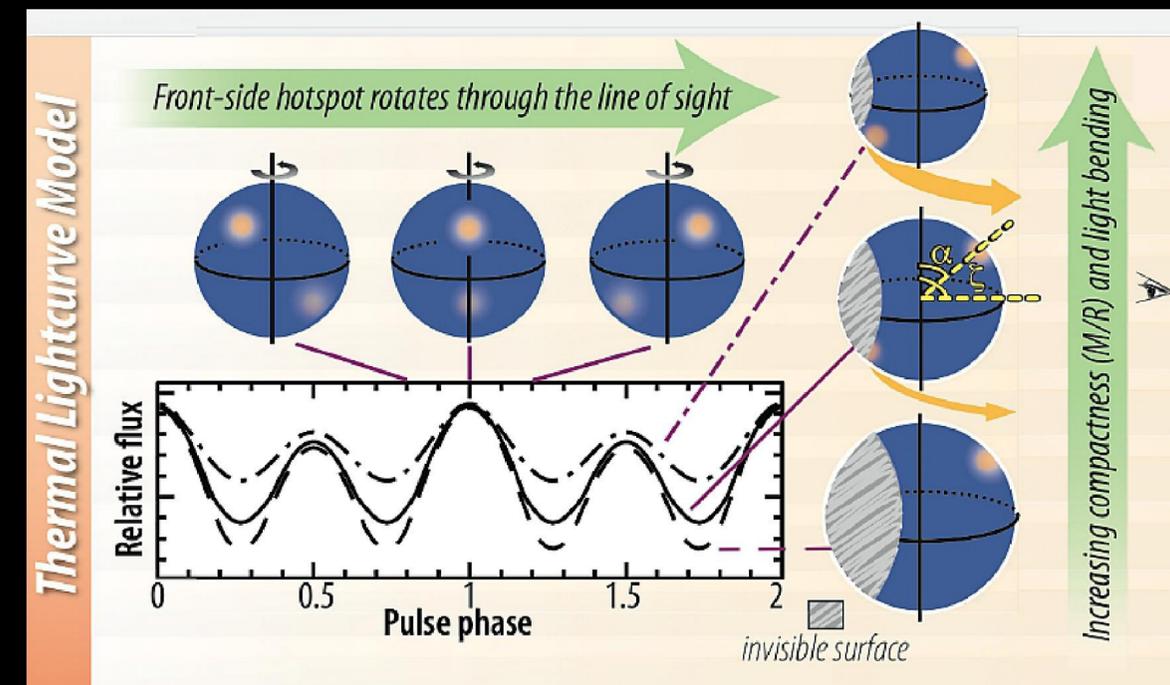
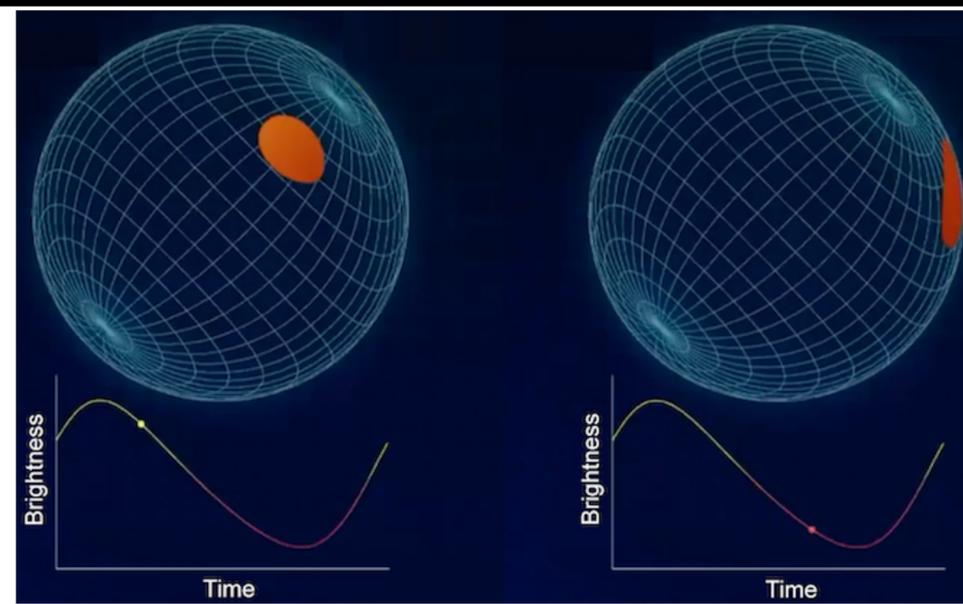
$M = 2.08 \pm 0.07 M_{\odot}$
Shapiro delay: *Cromartie et al. (2020)*

$R_{2.0} = 12.39^{+1.30}_{-0.98}$ km
Riley et al. (2021)

$R_{2.0} = 13.7^{+2.6}_{-1.5}$ km
Miller et al. (2021)

**Stiff EOS!
Large L!**

Micro-Macro

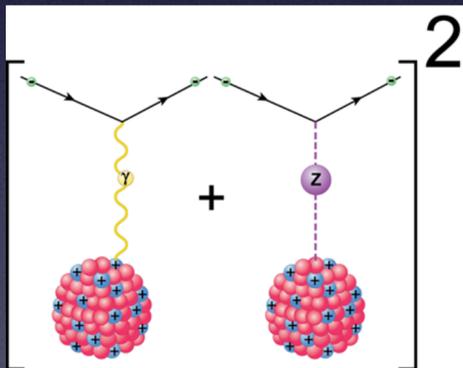


Parity Violating e-Nucleus Scattering



Searching for an accurate picture of the neutron distribution

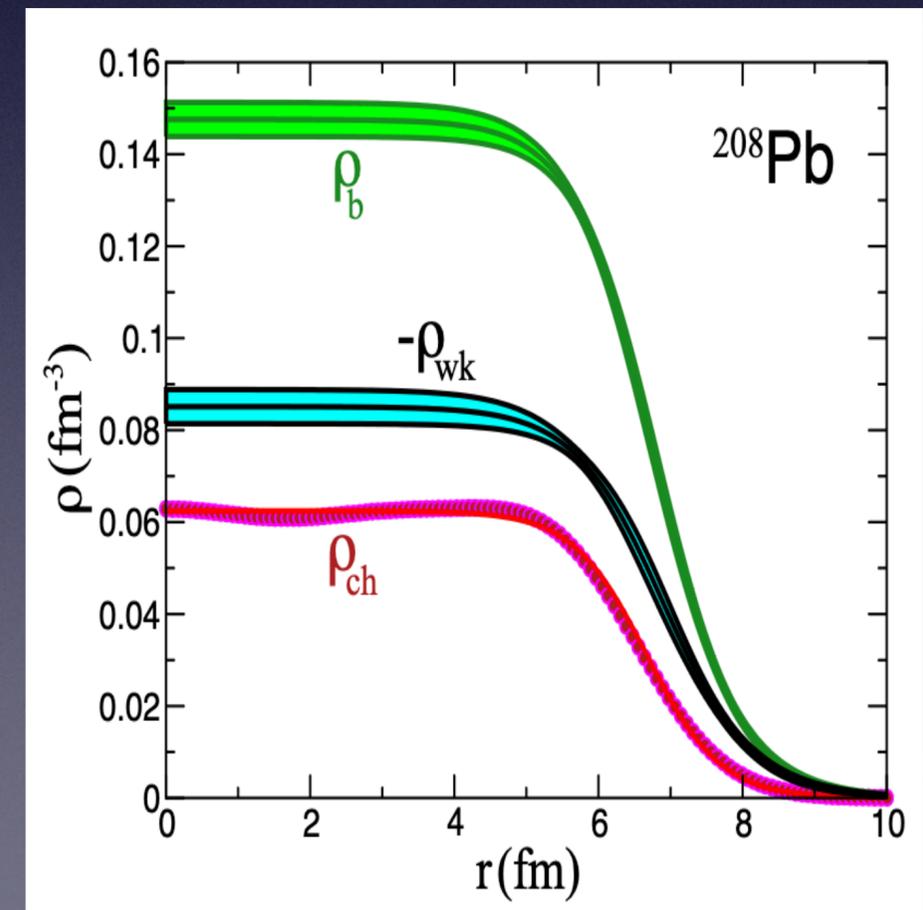
- Charge (proton) density known with enormous precision
 - Probed via parity-conserving elastic e-scattering
- Weak-charge (neutron) density known very poorly known
 - Probed via parity-violating elastic e-scattering



$$A_{PV} \equiv \left[\frac{\left(\frac{d\sigma}{d\Omega}\right)_R - \left(\frac{d\sigma}{d\Omega}\right)_L}{\left(\frac{d\sigma}{d\Omega}\right)_R + \left(\frac{d\sigma}{d\Omega}\right)_L} \right] = \left(\frac{G_F Q^2}{4\pi\alpha\sqrt{2}} \right) \frac{F_{wk}(Q^2)}{F_{ch}(Q^2)} \simeq 10^{-6}$$

• Electric-charge density dominated by protons

• Weak-charge density dominated by neutrons



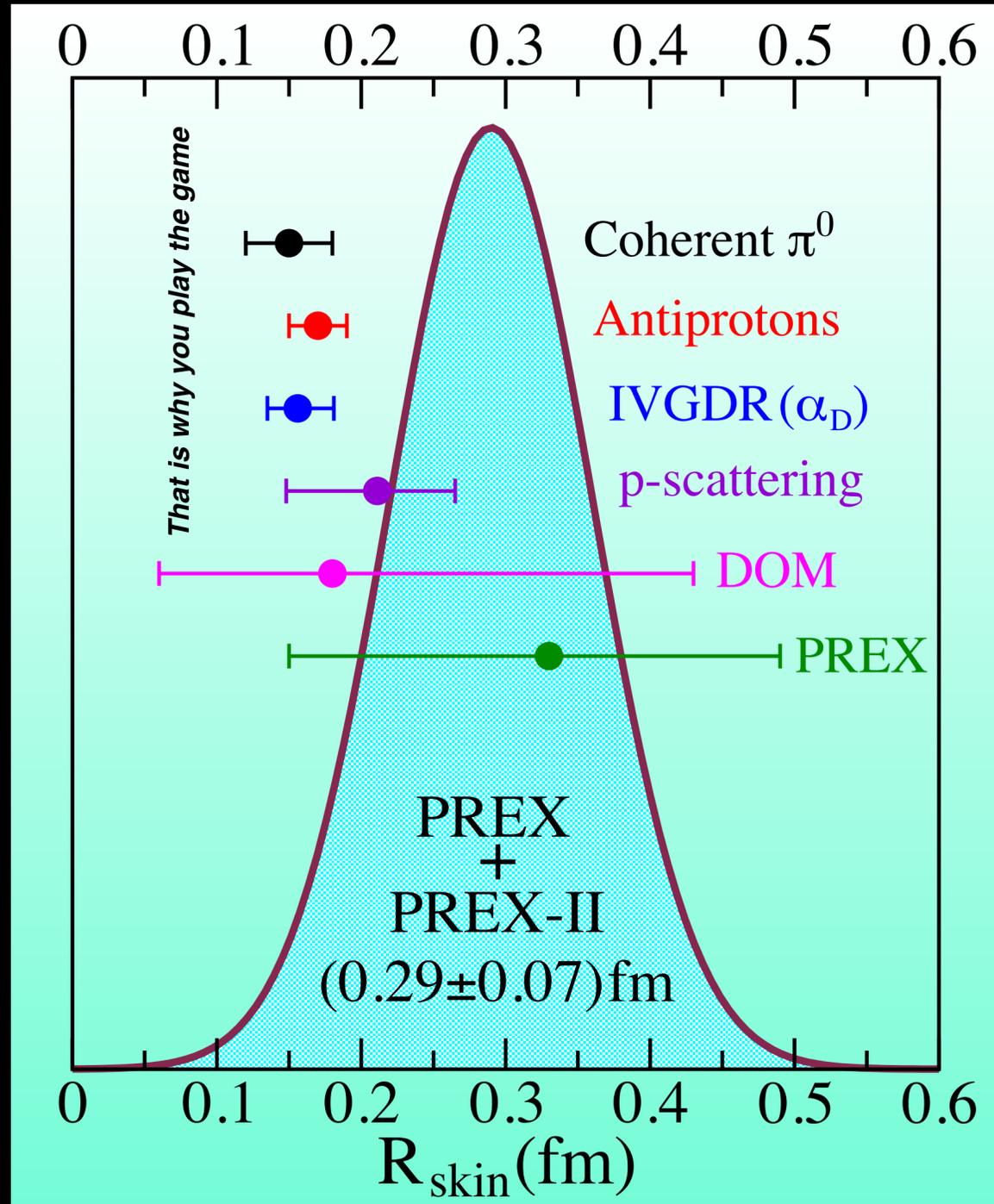
| | up-quark | down-quark | proton | neutron |
|--------------------|----------------|----------------|-------------|---------|
| γ -coupling | +2/3 | -1/3 | +1 | 0 |
| Z_0 -coupling | $\approx +1/3$ | $\approx -2/3$ | ≈ 0 | -1 |

$$g_v = 2t_z - 4Q \sin^2 \theta_W \approx 2t_z - Q$$

PREX-2 (Oct 29, 2020)

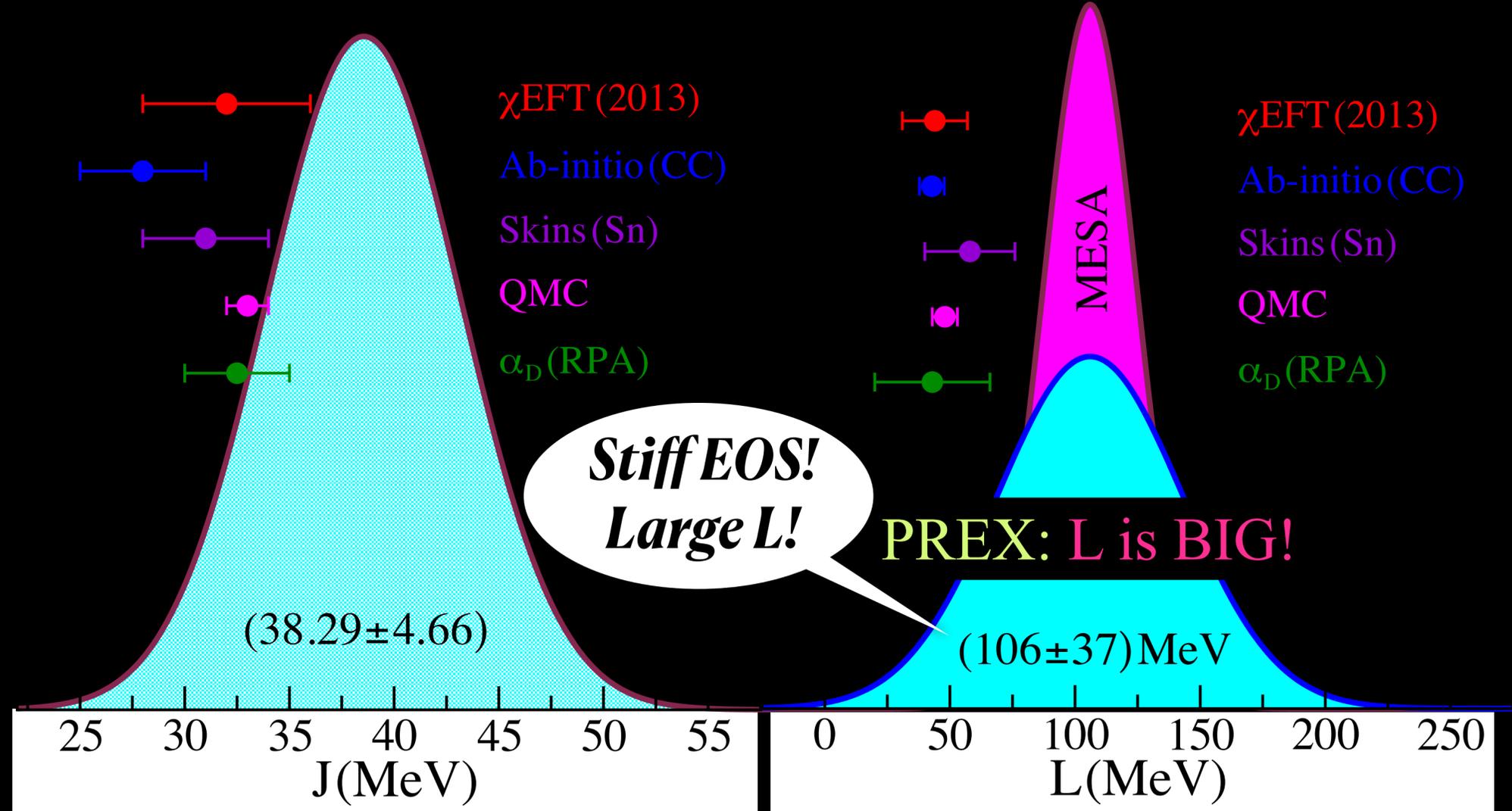
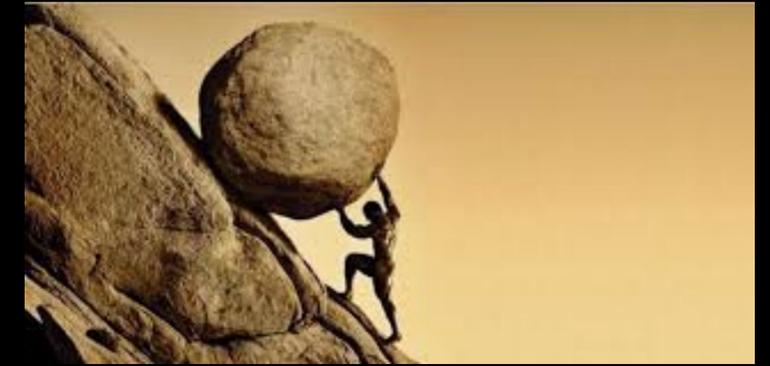
Ciprian Gal - DNP Meeting

Adhikari et al., PRL 126, 172502 (2021)

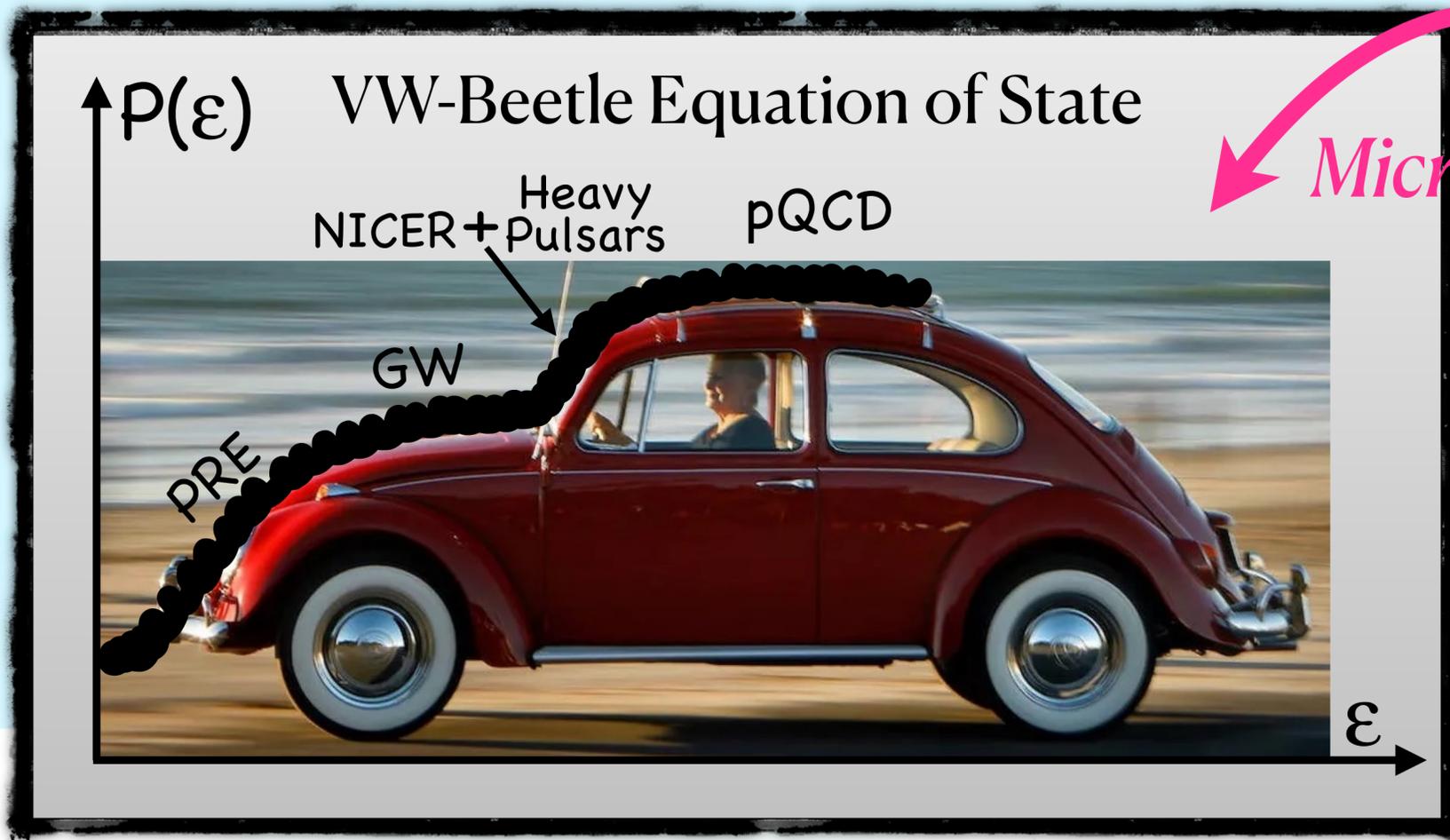


Conservation of difficulty:
PVES provides the cleanest
constraint on the EOS of
neutron-rich matter in the
vicinity of saturation density

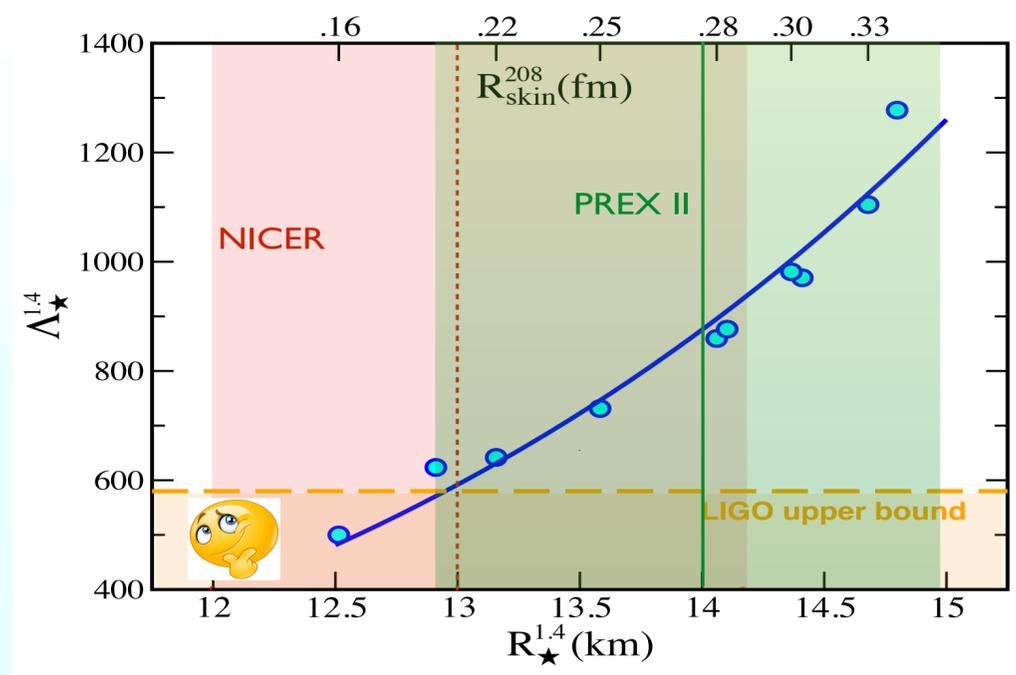
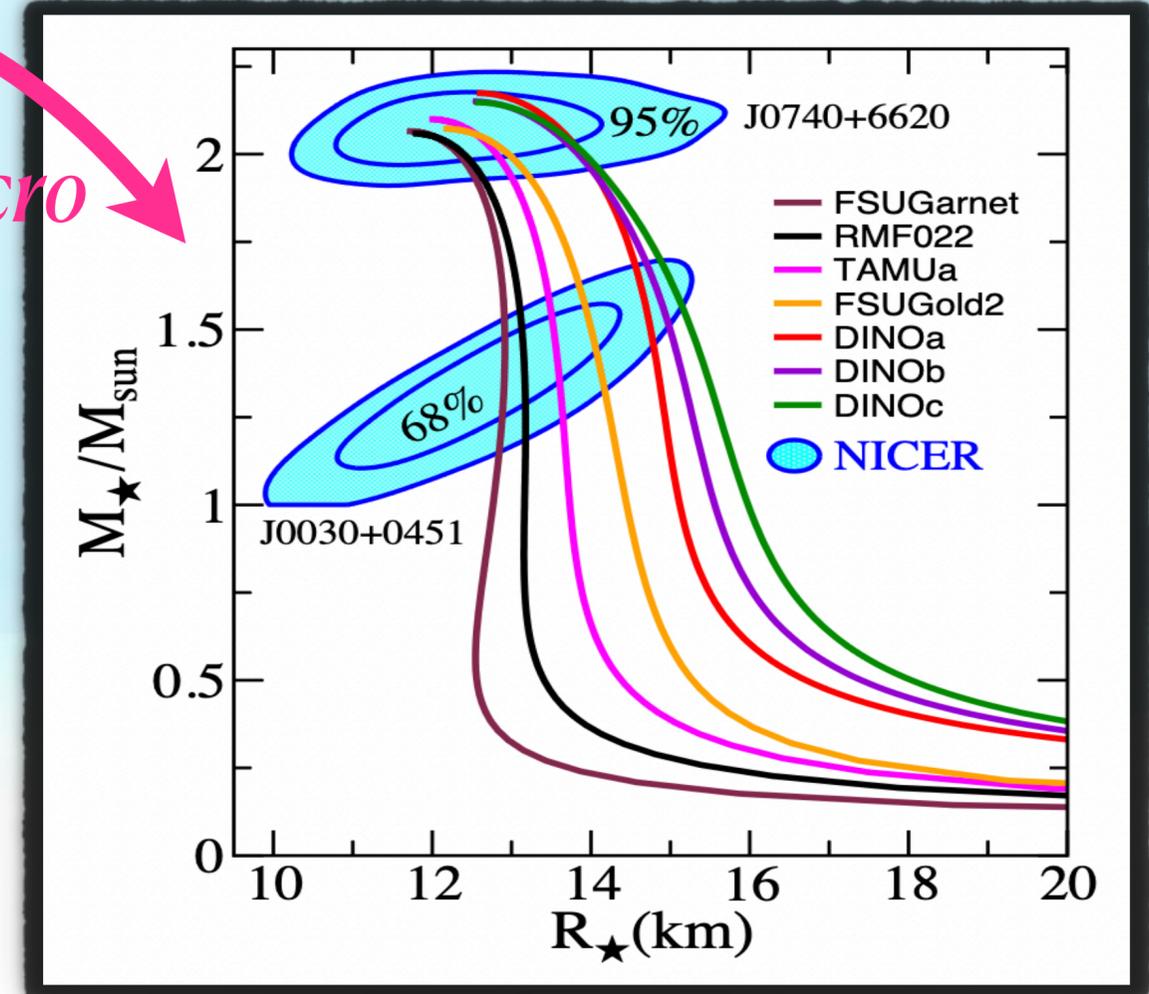
Heroic effort from our
experimental colleagues



The Dawn of a Golden Era in Neutron-Star Physics



Micro-Macro



Tantalizing Possibility

- Laboratory Experiments suggest large neutron radii for Pb $\lesssim 1\rho_0$
- Gravitational Waves suggest small stellar radii $\gtrsim 2\rho_0$
- Electromagnetic Observations suggest large stellar masses $\gtrsim 4\rho_0$

Exciting possibility: If all are confirmed, this tension may be evidence of a softening/stiffening of the EOS (phase transition?)

The composition of the outer crust and the r-process

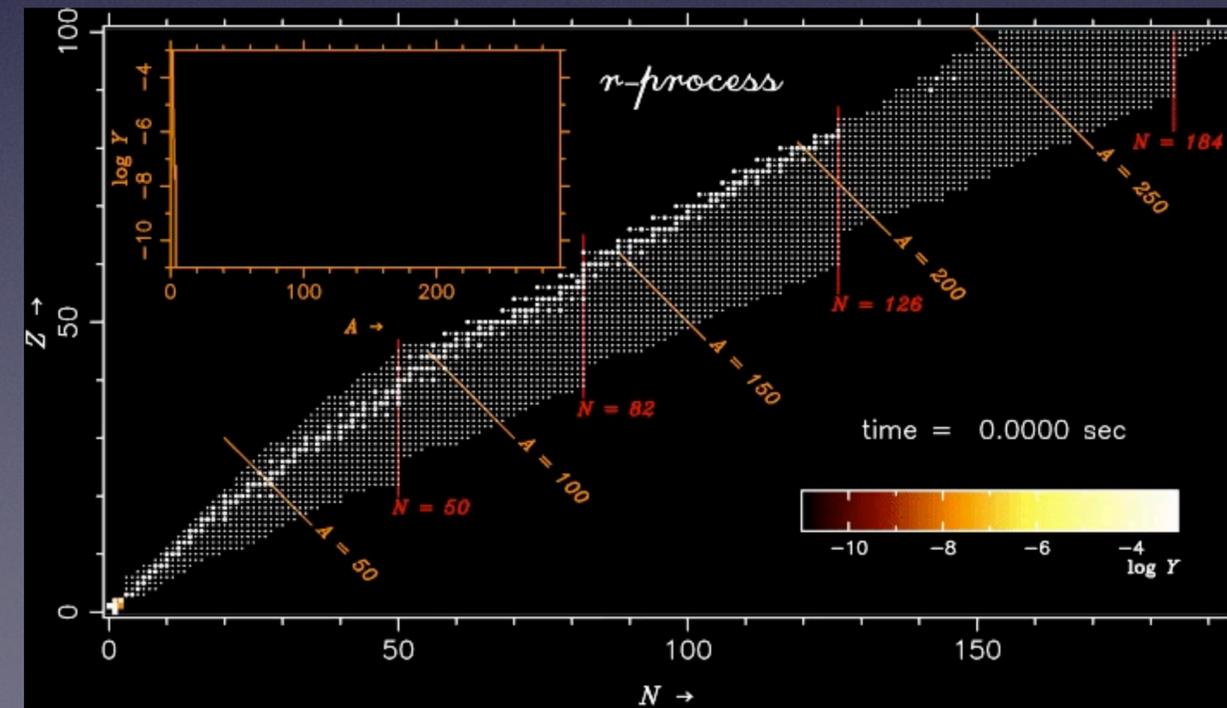
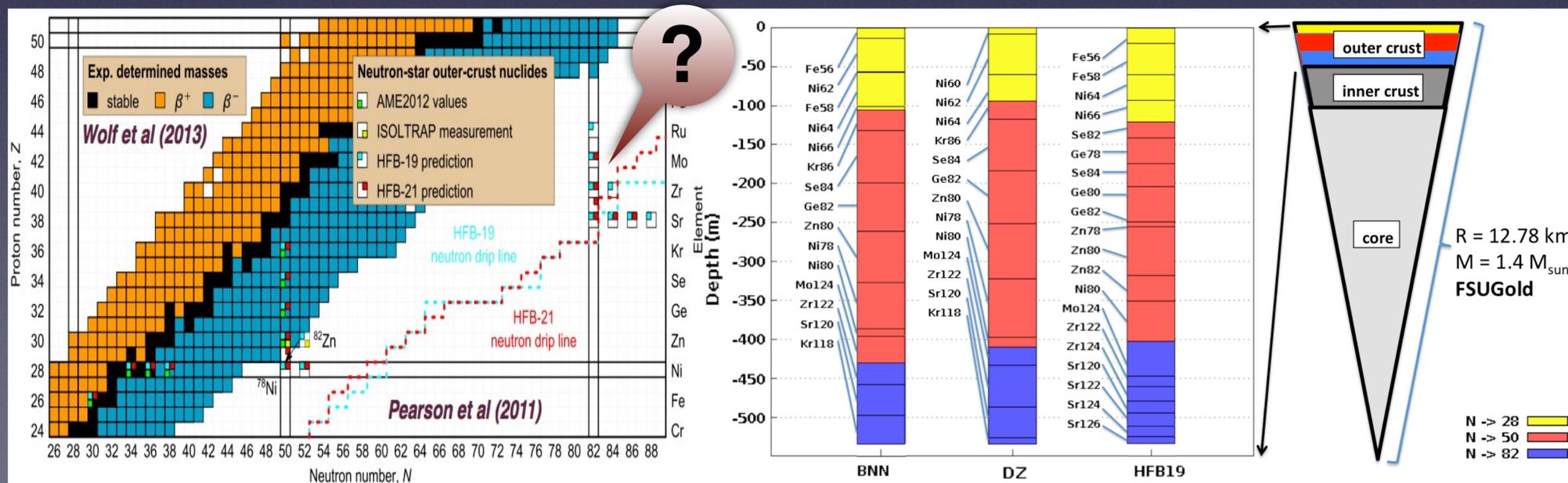
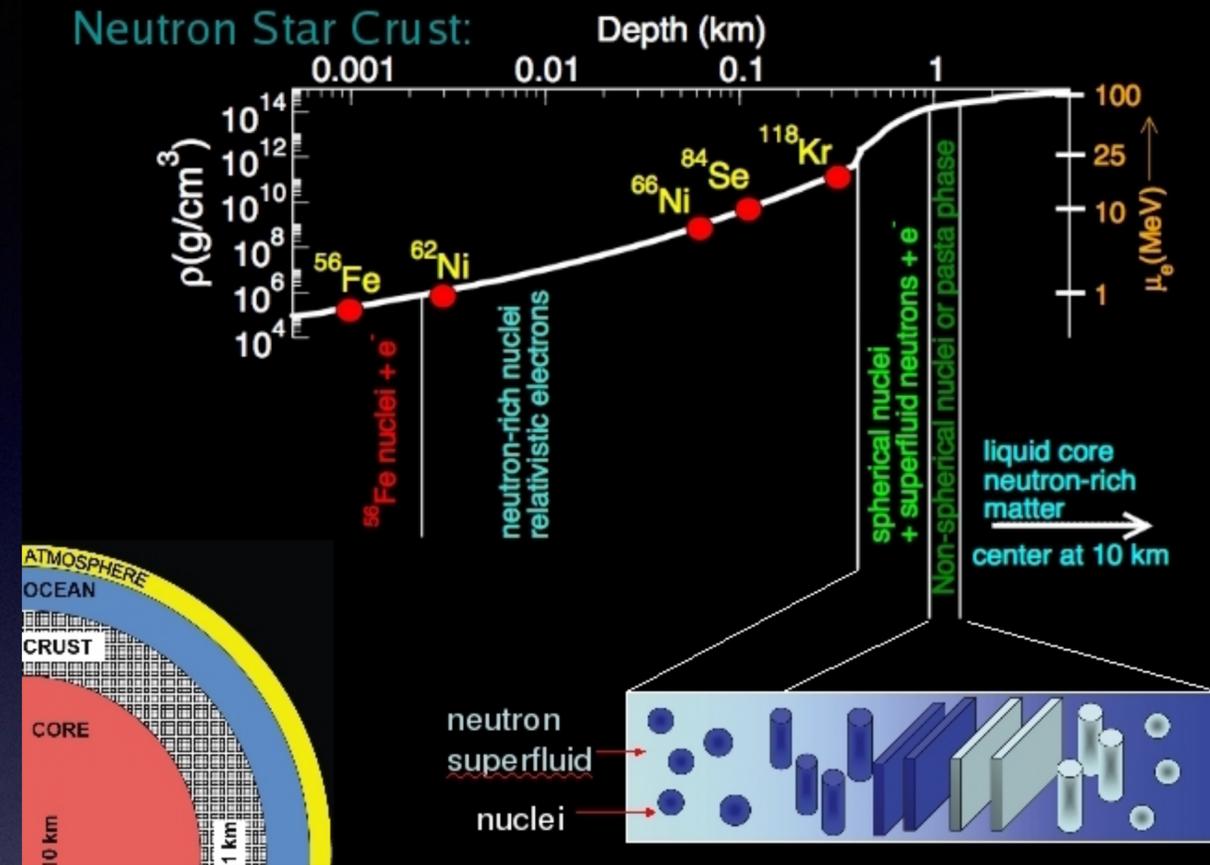
Enormous sensitivity to nuclear masses!

Outer Crust: Lattice of neutron-rich nuclei imbedded in e-gas (N=50,82)

r-Process: Seed nuclei imbedded in a high neutron-flux environment reach neutron drip line

RIB Facilities: Unlikely to reach drip lines

Theory/Experiment partnership!

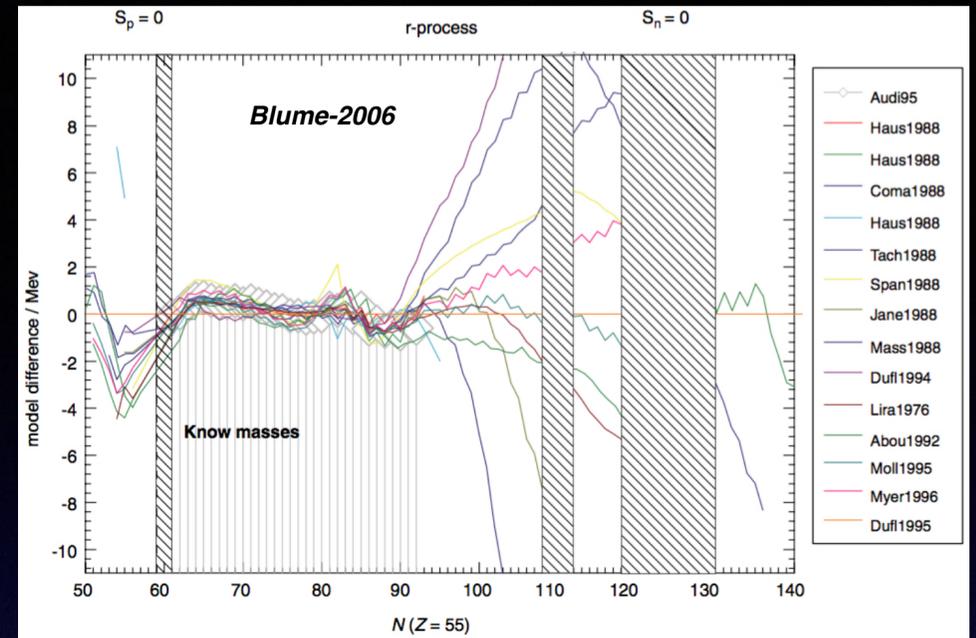


Nuclear Theory meets Machine Learning

- Use DFT to predict nuclear masses
 - Train BNN by focusing on residuals
- The paradigm*

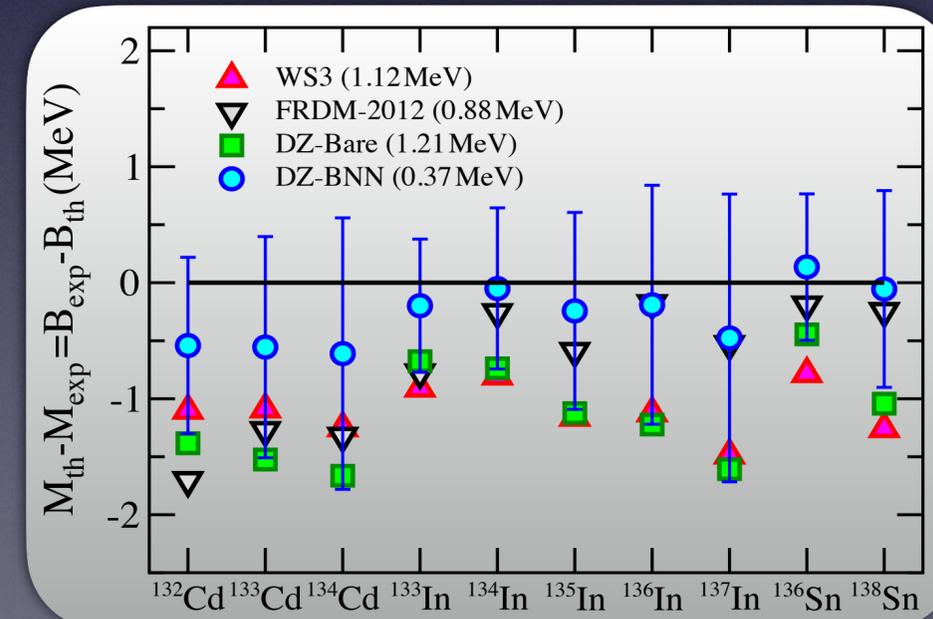
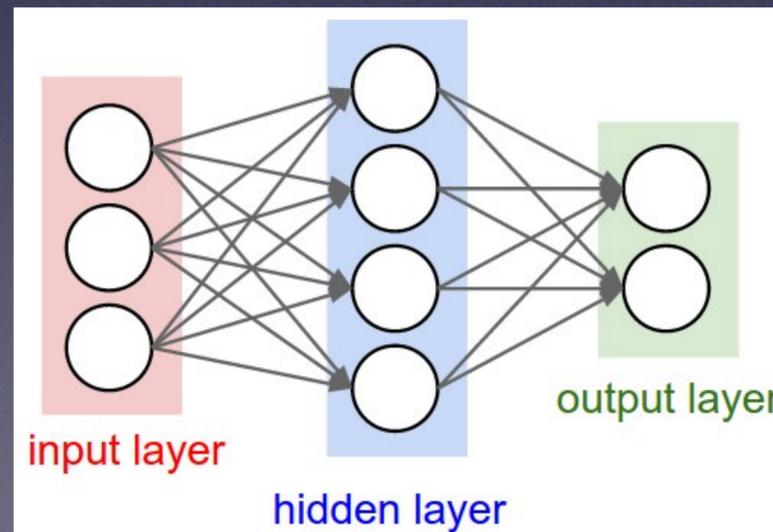
$$M(N, Z) = M_{DFT}(N, Z) + \delta M_{BNN}(N, Z)$$

- Systematic scattering greatly reduced
- Predictions supplemented by theoretical errors



A very sad state of affairs

Train with AME2012 then predict AME2016



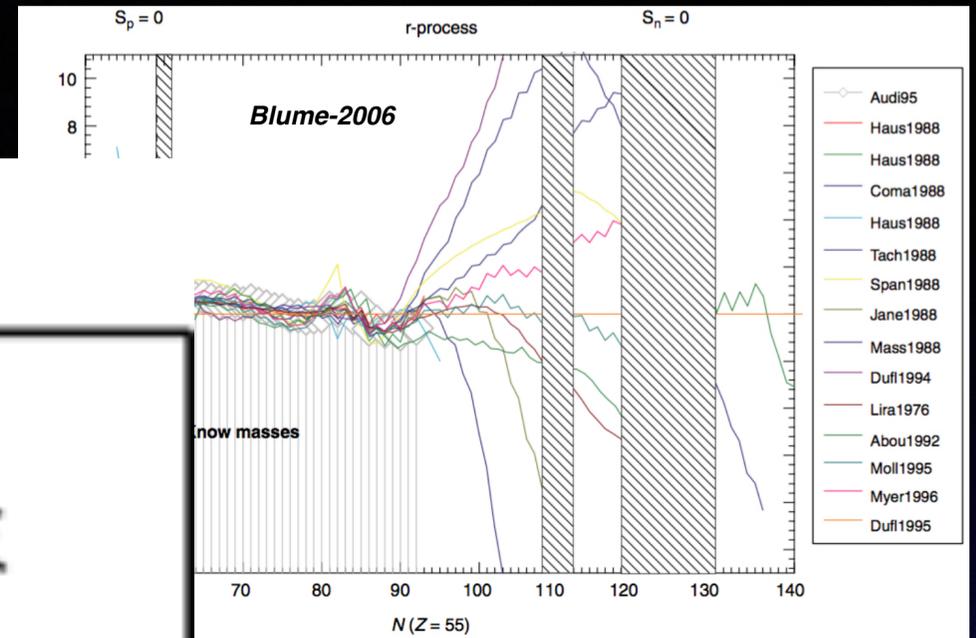
Duflo-Zuker + BNN



Re-generating Richard Feynman

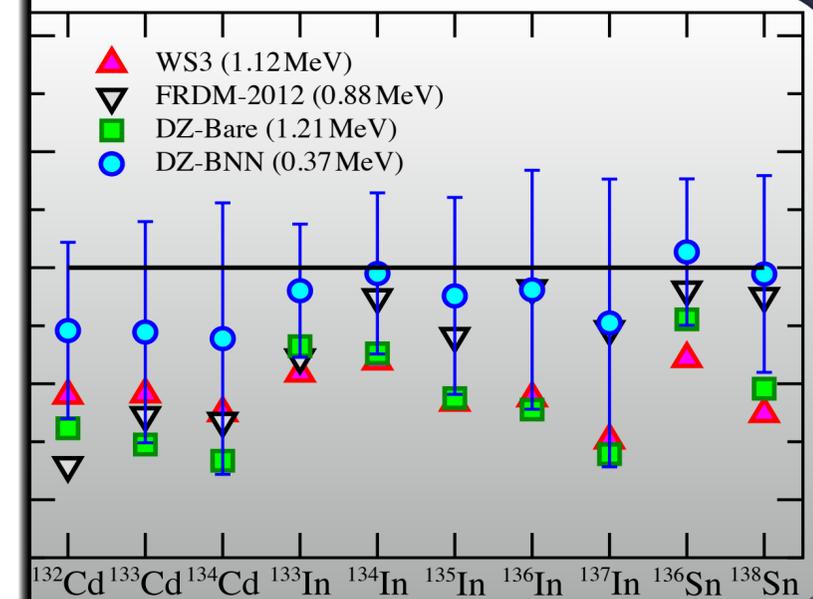
The Dangers of Extrapolation!

MY HOBBY: EXTRAPOLATING



very sad state of affairs

train with AME2012
then predict AME2016



Duflo-Zuker + BNN

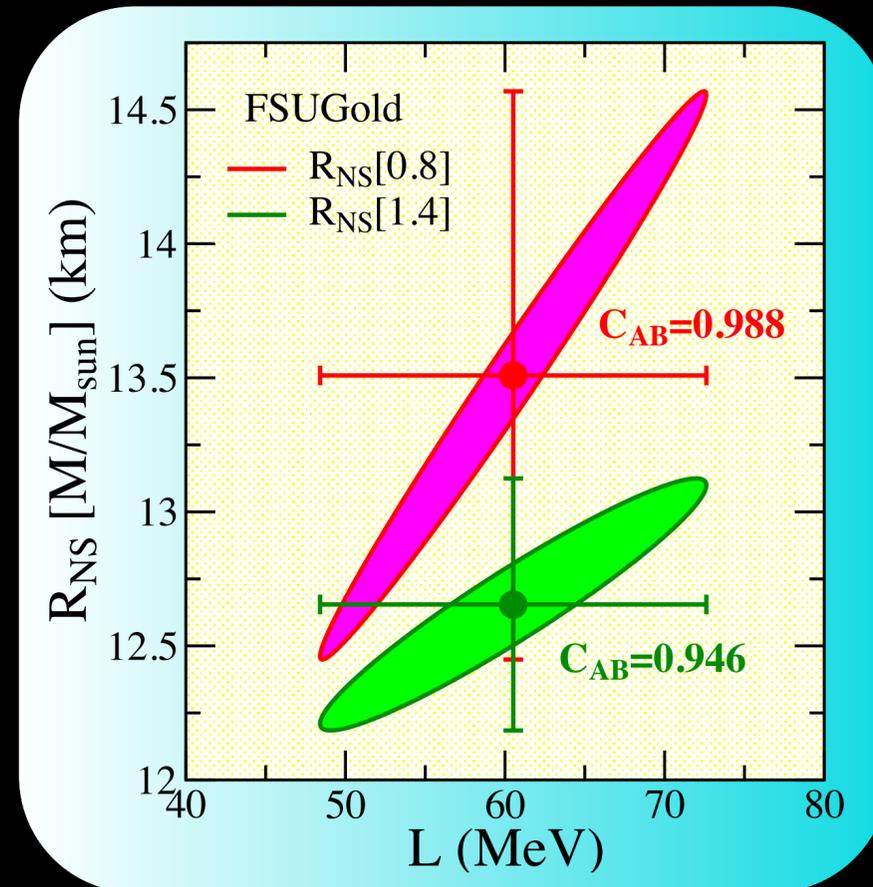
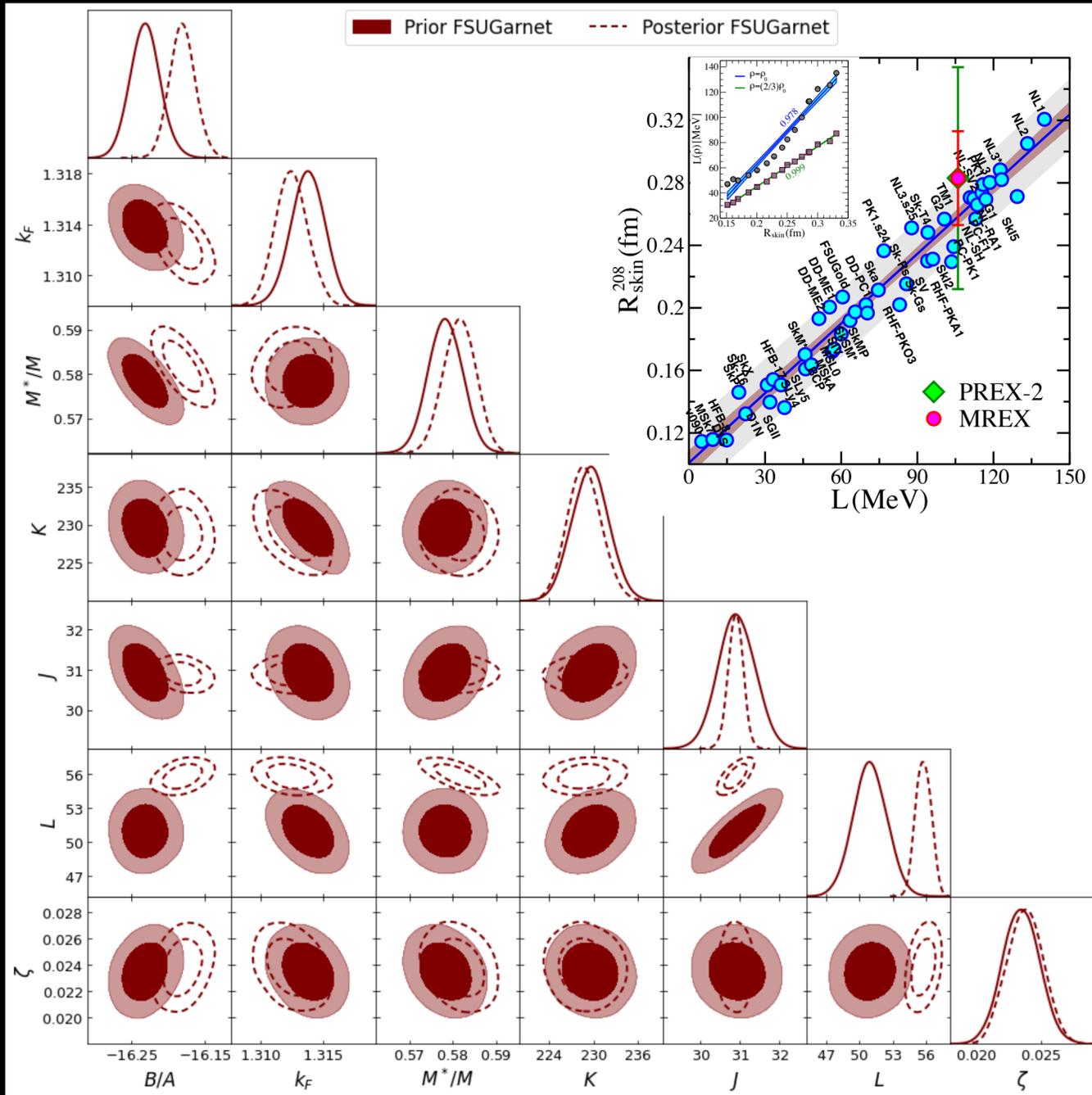
Bayesian Inference for Uncertainty Quantification: Model building for the understanding of atomic nuclei, neutron stars, and unveiling correlations



$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[g_s \phi - \left(g_v V_\mu + \frac{g_\rho}{2} \tau \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

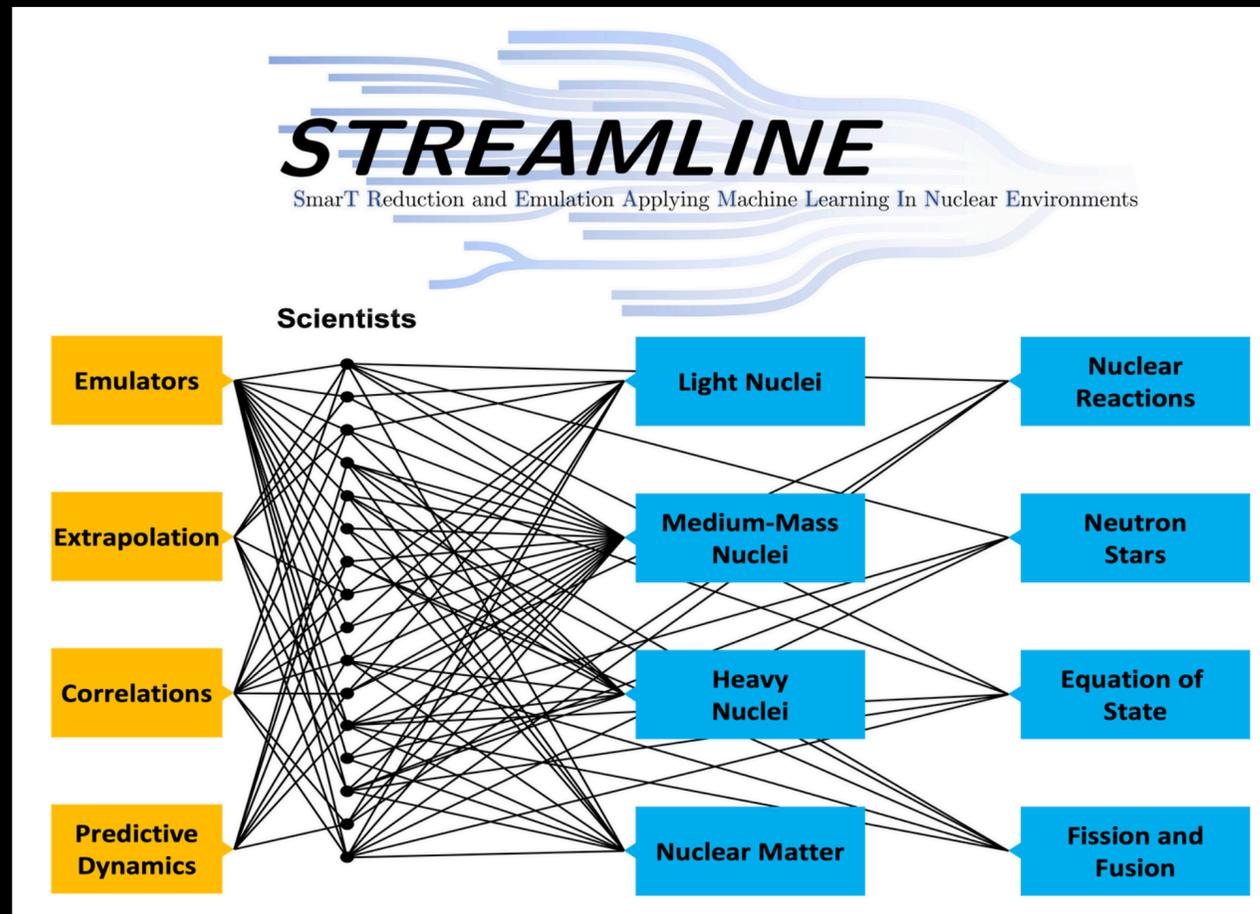
$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left(g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left(g_v^2 V_\nu V^\nu \right)$$

$$(g_s, g_v, g_\rho, \kappa, \lambda, \Lambda_v) \iff (\rho_0, \epsilon_0, M^*, K, J, L)$$



Neutron skins and stellar radii (quantities that differ by 18 orders of magnitude) are both sensitive to L!

Emulators and Reduced Order Models



Emulators are statistical ML models that faithfully reproduce the behavior of a complex physical system at a “tiny” fraction of the computational cost!

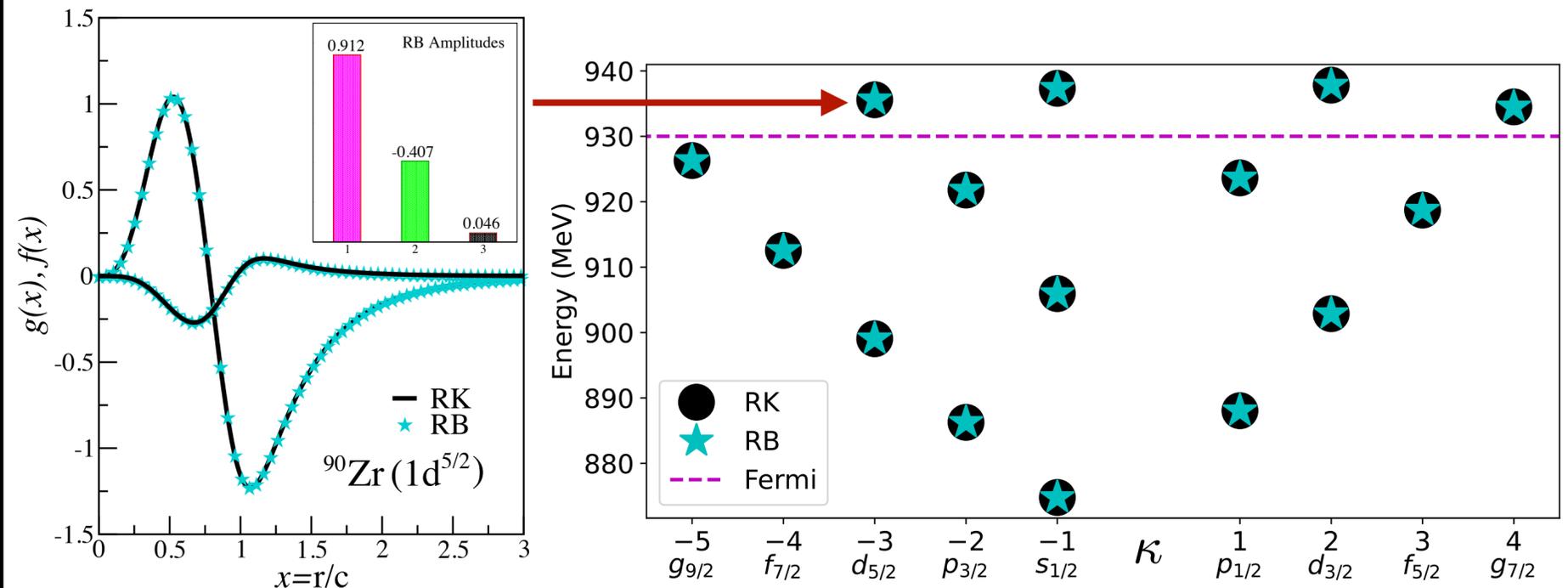
A universal reduced basis for the calibration of covariant energy density functionals

Amy L. Anderson* and J. Piekarewicz†

Department of Physics, Florida State University, Tallahassee, FL 32306, USA

(Dated: June 5, 2024)

The reduced basis method is used to construct a “universal” basis of Dirac orbitals that may be applicable throughout the nuclear chart to calibrate covariant energy density functionals. Relative to our earlier work using the non-relativistic Schrödinger equation, the Dirac equation adds an extra layer of complexity due to the existence of negative energy states. However, once this problem is mitigated, the resulting reduced basis is able to accurately and efficiently reproduce the high-fidelity model at a fraction of the computational cost. We are confident that the resulting reduced basis will serve as a foundational element in developing rapid and accurate emulators. In turn, these emulators will play a critical role in the Bayesian optimization of covariant energy density functionals.



Conclusions: We have entered the golden era of neutron-star physics

- Astrophysics:** What is the minimum mass of a black hole?
- C.Matter Physics:** Existence of Coulomb-Frustrated Nuclear Pasta?
- General Relativity:** Can BNS mergers constrain stellar radii?
- Nuclear Physics:** What is the EOS of neutron-rich matter?
- Particle Physics:** What exotic phases inhabit the dense core?
- Machine Learning:** Extrapolation to where no man has gone before?

Neutron Stars are the natural meeting place for interdisciplinary, fundamental, and exciting science!



My FSU Collaborators

- Genaro Toledo-Sanchez
- Karim Hasnaoui
- Bonnie Todd-Rutel
- Brad Futch
- Jutri Taruna
- **Farrukh Fattoyev**
- **Wei-Chia Chen**
- **Raditya Utama**



Brendan Reed

My Outside Collaborators

- B. Agrawal (Saha Inst.)
- M. Centelles (U. Barcelona)
- G. Colò (U. Milano)
- C.J. Horowitz (Indiana U.)
- W. Nazarewicz (MSU)
- N. Paar (U. Zagreb)
- M.A. Pérez-García (U. Salamanca)
- P.G.- Reinhard (U. Erlangen-Nürnberg)
- X. Roca-Maza (U. Milano)
- D. Vretenar (U. Zagreb)



The "Old" Generation

- **Pablo Giuliani**
- **Daniel Silva**
- **Junjie Yang**

The New Generation

- **Amy Anderson**
- **Marc Salinas**

HEAVEN AND EARTH
Connecting Atomic Nuclei to Neutron Stars – systems that differ in size by 18 orders of magnitude!

Nuclear Landscape
 Soft X-ray Timing
 Pulsar Timing
 Heavy-ion Collisions
 Chiral Effective Field Theory
 Neutron Skins

Multi-messenger Astronomy with Gravitational Waves

Binary Neutron Star Merger
 Gravitational Waves
 X-rays/Gamma-rays
 Visible/Infrared Light
 Radio Waves
 Neutrinos



La Universidad Nacional Autónoma
de México



otorga a
Jorge Piekarewicz Sigal
el título de
Físico

*en atención a que demostró tener hechos los estudios
conforme a los planes autorizados por el Consejo
Universitario y haber sido aprobado en el examen
profesional que sustentó el día 29 de junio
de 1981 según constancias archivadas en la misma
Universidad.*

*Por mi Raza hablará el Espíritu
Dado en la ciudad de México Distrito Federal,
el día 28 de agosto de 1981 179*

El Secretario General

Leo Raúl Bizar Navarro

El Rector

Dr. Octavio Rivero Lorrano

Muchas Gracias!!



Backup Slides



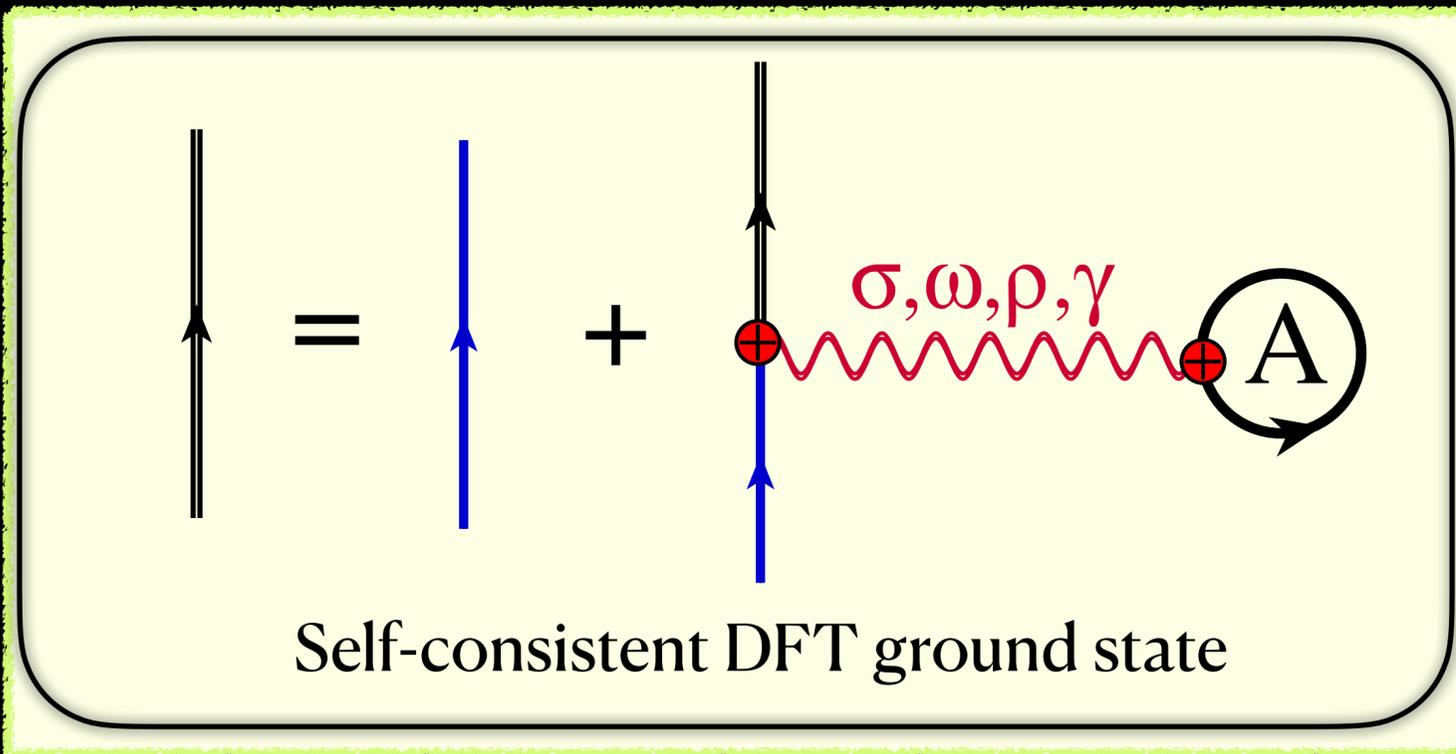
**KEEP
CALM
AND
CHECK
BACKUP SLIDES**

Covariant Density Functional Theory

$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[g_s \phi - \left(g_v V_\mu + \frac{g_\rho}{2} \boldsymbol{\tau} \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left(g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left(g_v^2 V_\nu V^\nu \right)$$

- σ : intermediate range scalar attraction (2π exchange)
- ω : short-range vector repulsion (contact term in χ EFT)
- ρ : isospin (flavor) dependent short-range interaction
- γ : long-range Coulomb repulsion between protons



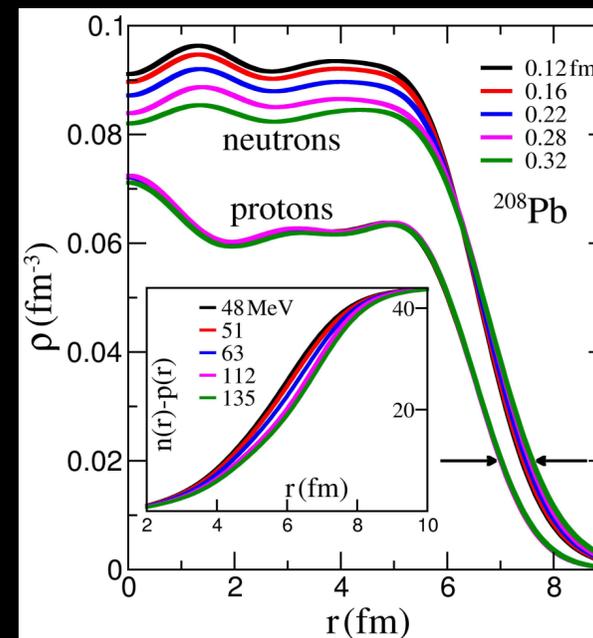
Walter Kohn
Nobel Laureate
Chemistry 1998

Anatomy of a self-consistent Covariant DFT calculation

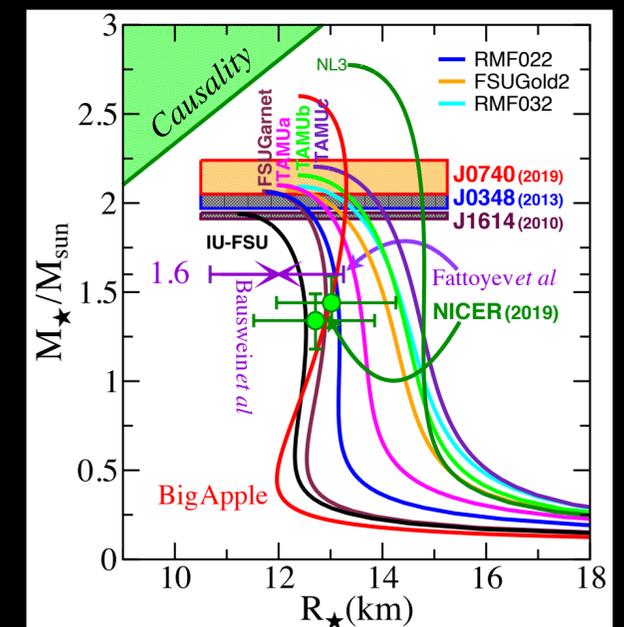
The Hohenberg-Kohn Theorem: The ground state energy can be obtained variationally: the density that minimizes the total energy is the exact ground state density

- Empirical parameters calibrated to physical observables
- Ground state properties emerge from functional minimization

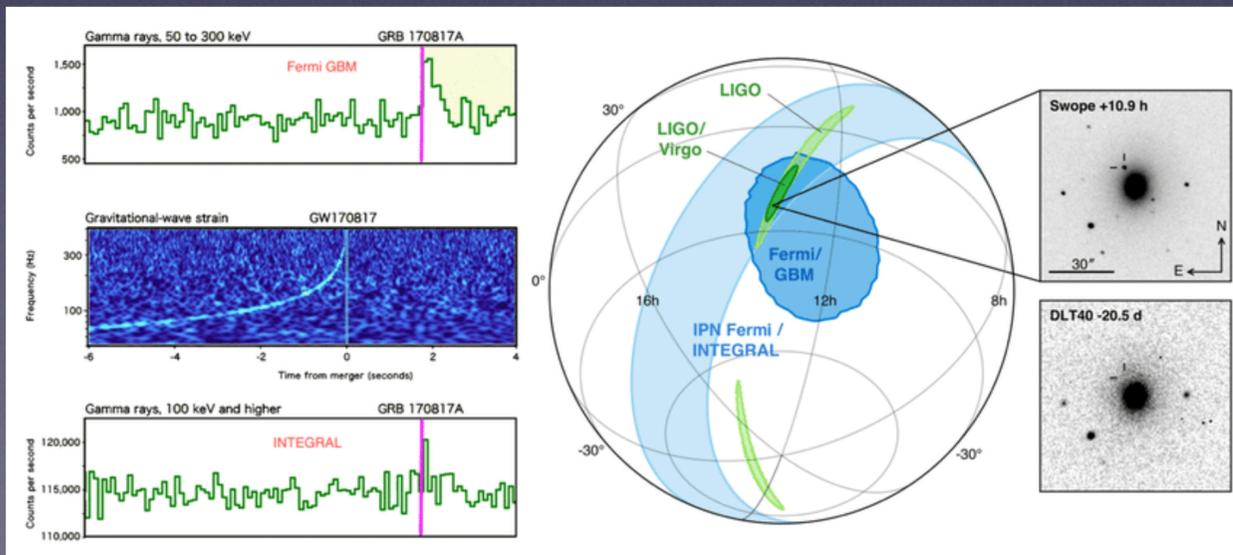
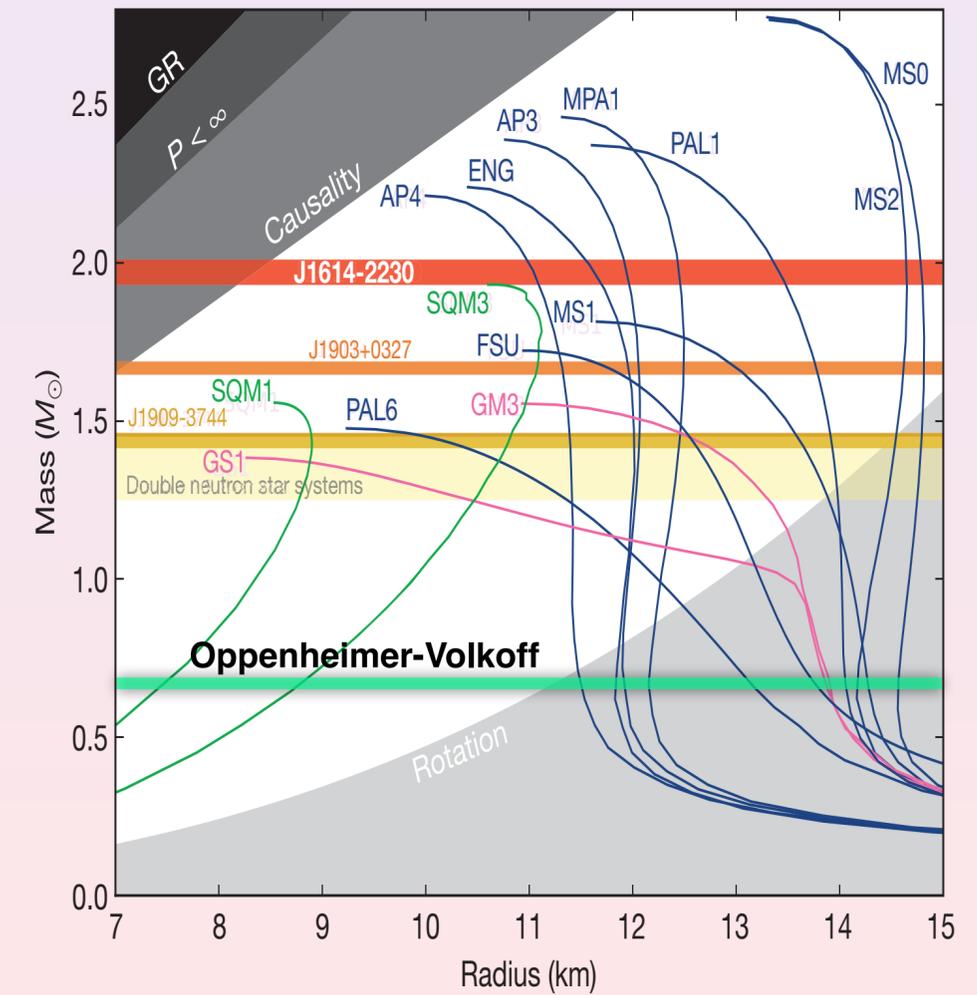
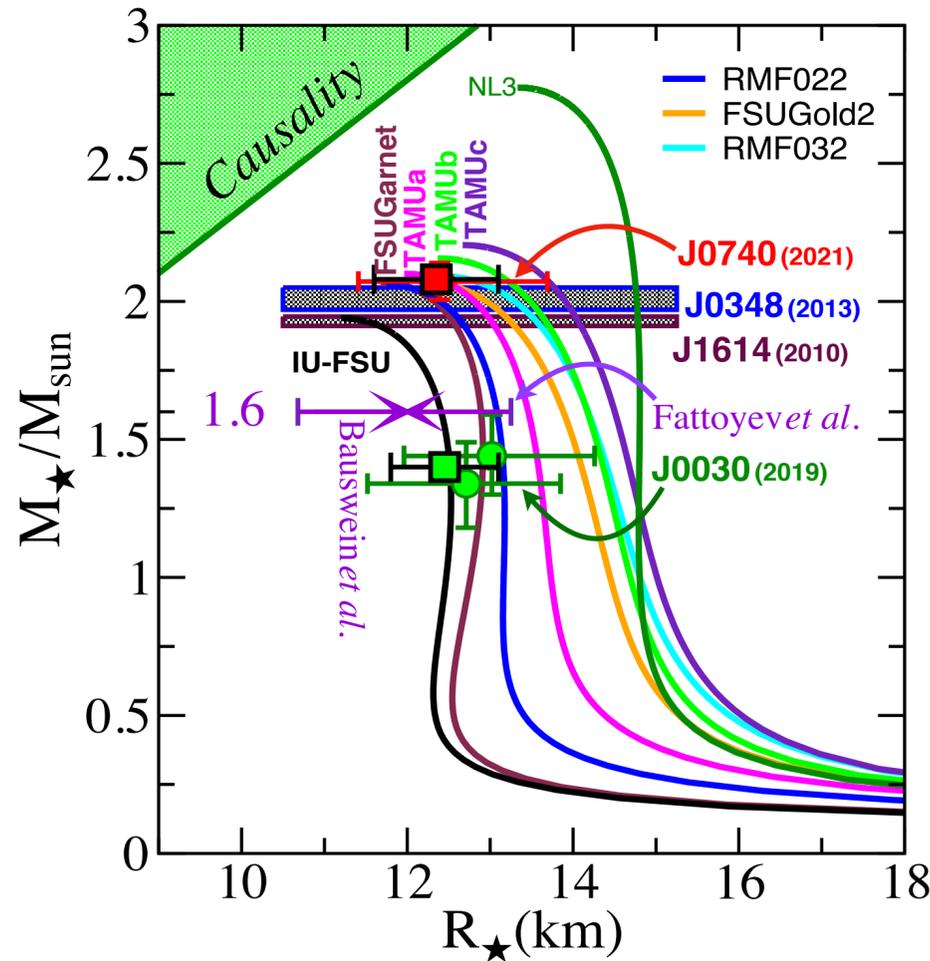
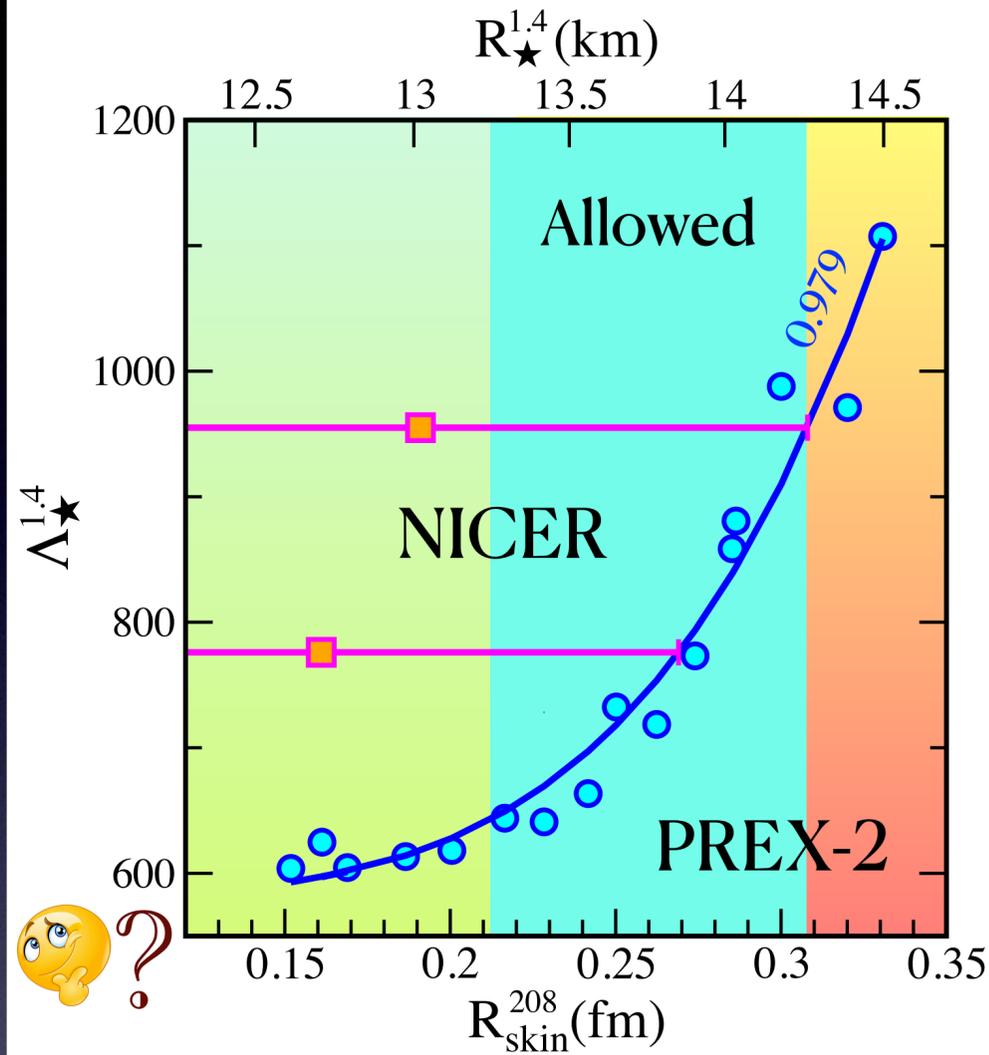
From finite nuclei to neutron stars!



18 orders
magnitude



The Dawn of a Golden Era in Neutron-Star Physics



What have we learned since GW170817

- PREX suggest a **stiff** EOS around saturation density
- LIGO-Virgo favor a **soft** EOS at around $2n_0$ although see Gamba et al., PRD 103, 124015 (2021)
- NICER/Pulsar Timing suggest a **stiff** EOS at $\sim 4n_0$



Who Ordered That?

Preliminary Observations:

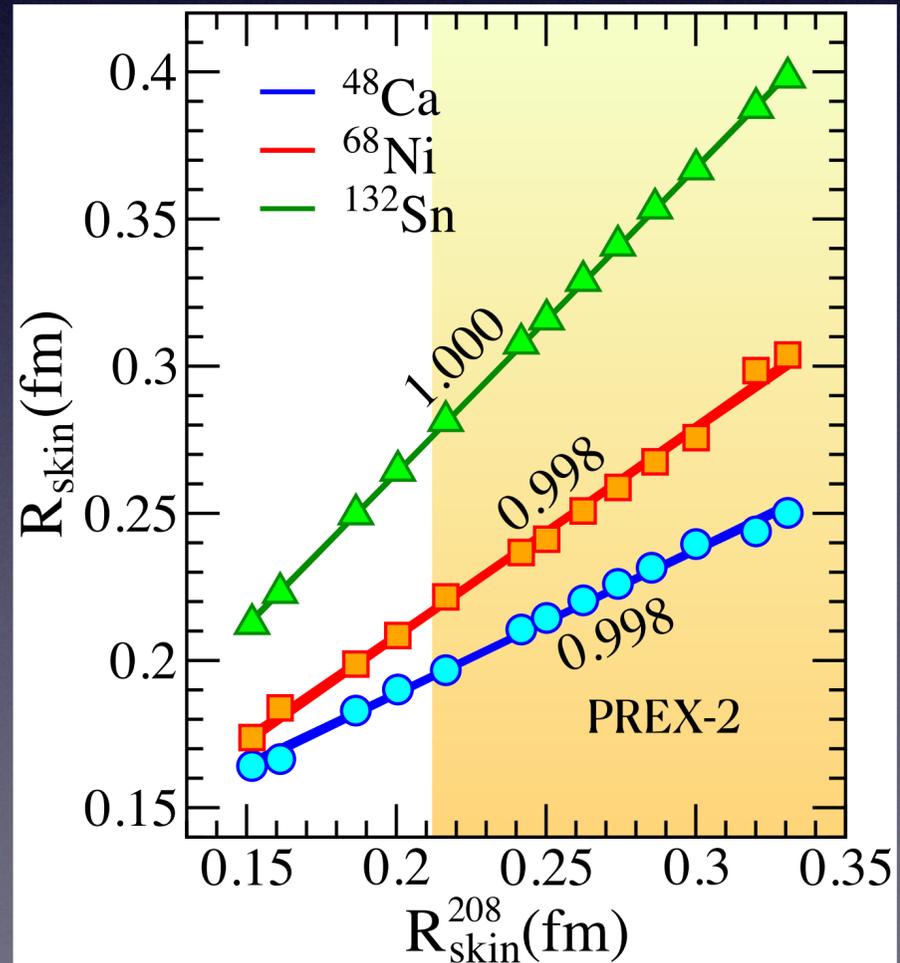
- CREX result is consistent with a thin neutron skin prediction (e.g. coupled cluster calculations) and is strongly inconsistent with predictions of a very thick skin
- At this point it appears potentially challenging for DFT models to reproduce both the CREX result of a thin skin in ^{48}Ca and the PREX result of a relatively thick skin in ^{208}Pb .



No theoretical model that I know of can reproduce both!



Isidor Isaac Rabi



Observation:

- CREX result is consistent with a thin neutron skin prediction (e.g. coupled cluster calculations) and is strongly inconsistent with predictions of a very thick skin

Comparing to Theory

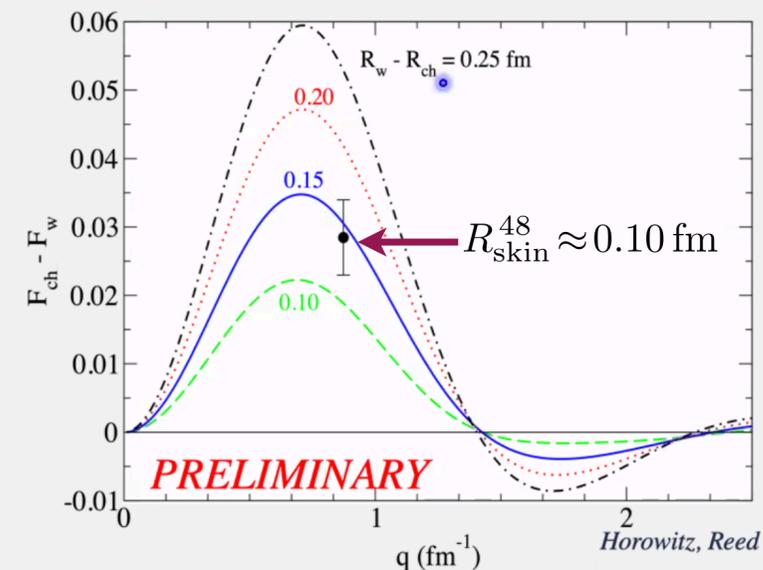


Fig 2: Charge form factor minus weak form factor for ^{48}Ca as a function of momentum transfer. The curves are for one family of models with the indicated $R_{\text{wskin}} = \text{weak minus charge rms radii}$. The error bar shows the CREX result.

Old theory graph

Eyeballing - Coupled cluster thin - DOM thick

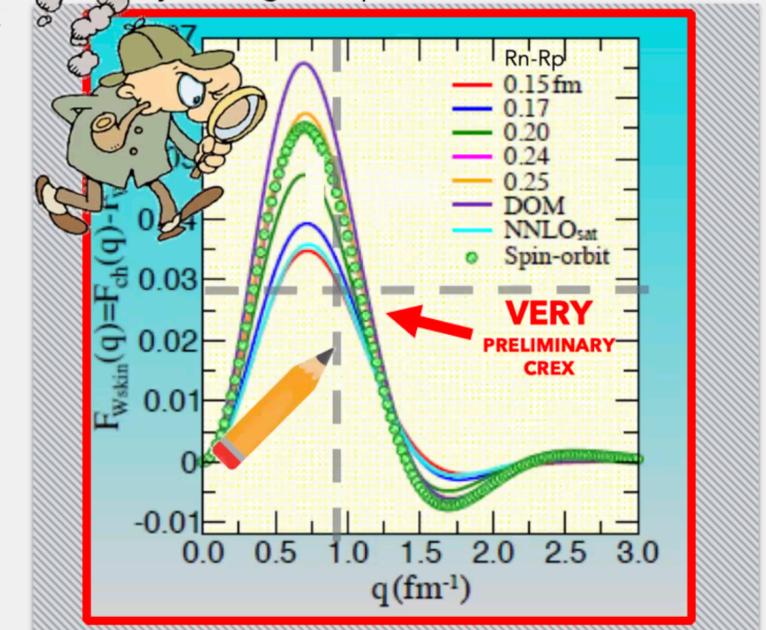
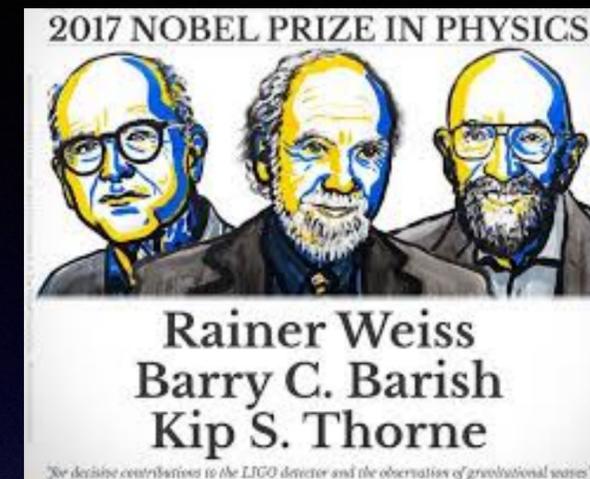


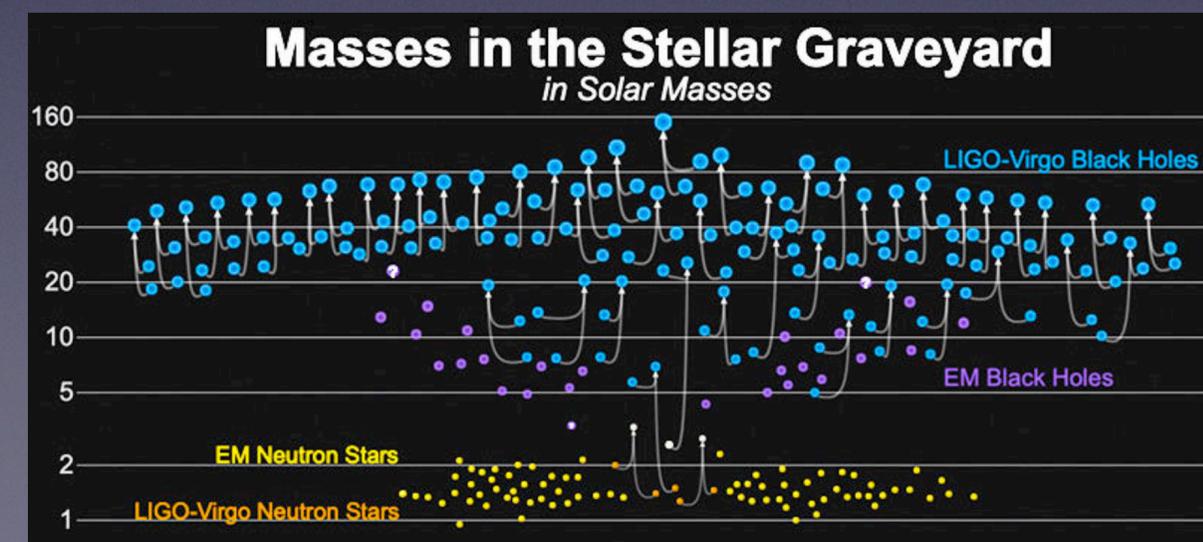
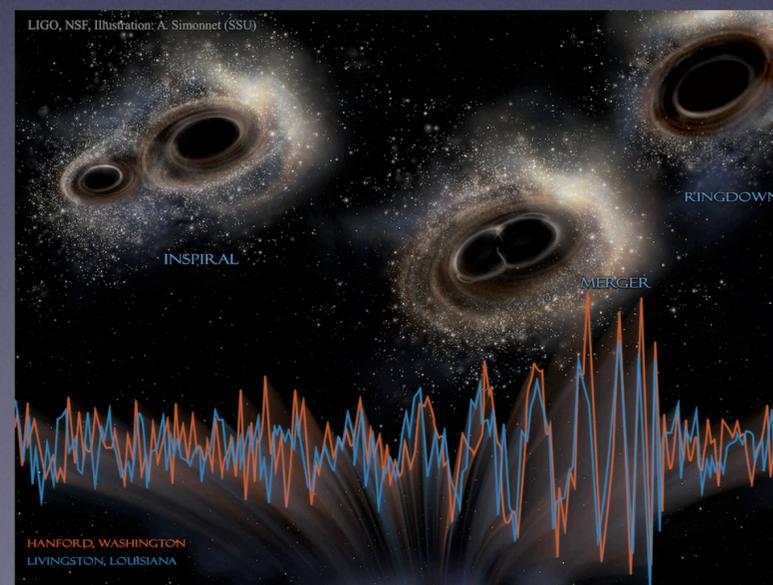
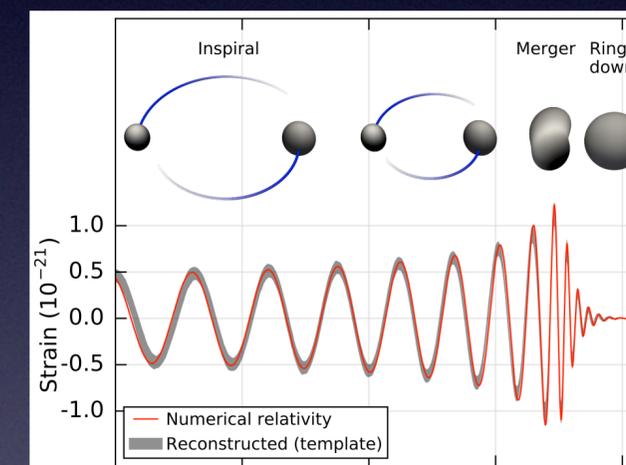
Figure taken from J.Mammei CevNS 2019 talk (Jorge Piekarewicz plot), shows various curves for a family of $R_{\text{nskin}} = R_n - R_p$ values. Also DOM and NNLO (coupled cluster). Warning: theories shown may (or may not) require further SO correction.

"We have detected gravitational waves; we did it"

David Reitze, February 11, 2016

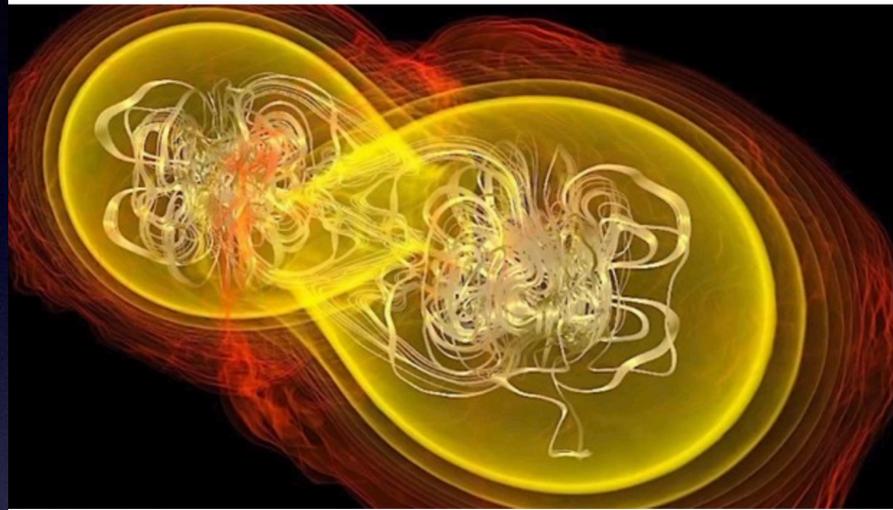


- The dawn of a new era: GW Astronomy
- Initial black hole masses are 36 and 29 solar masses
- Final black hole mass is 62 solar masses;
3 solar masses radiated in Gravitational Waves!



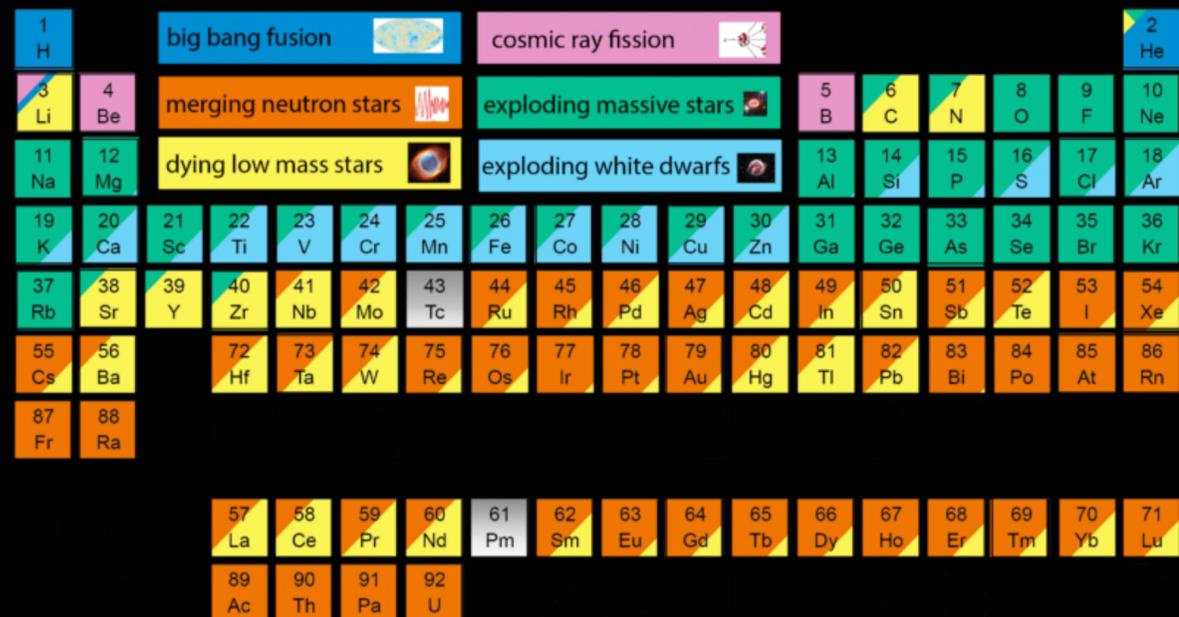
The New Periodic Table of the Elements

Colliding neutron stars revealed as source of all the gold in the universe



The optical counterpart SSS17a produced at least 5% solar masses (10^{29} kg!) of heavy elements - demonstrating that NS-mergers play a role in the r-process

The Origin of the Solar System Elements



Graphic created by Jennifer Johnson

Astronomical Image Credits: ESA/NASA/AASNova

