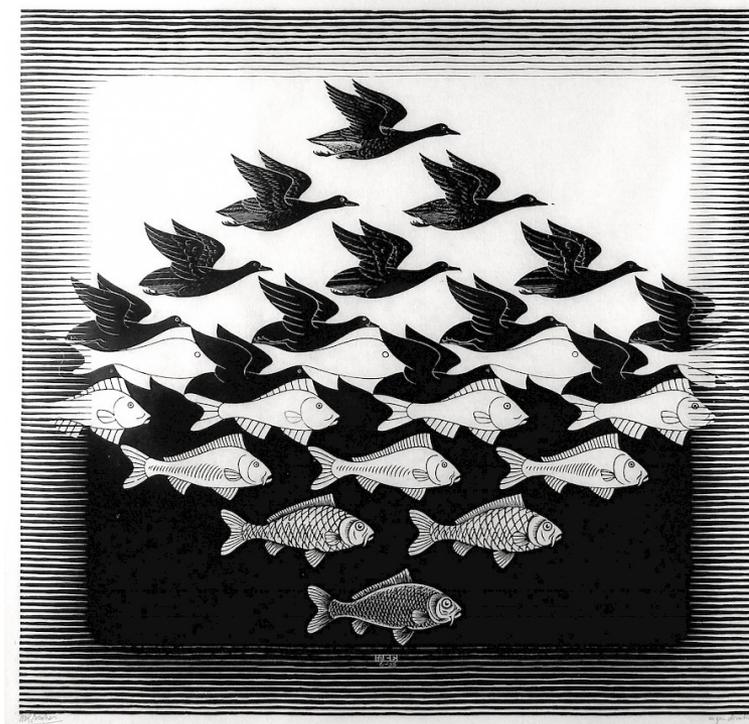


# Deconstructing nuclear wave functions



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Calvin W. Johnson, San Diego State University

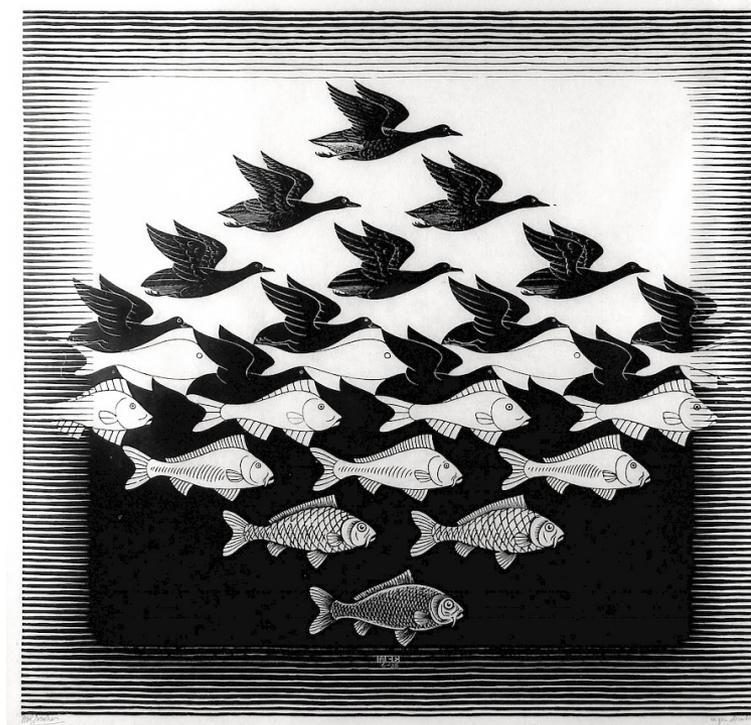
“This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics, under Award Number DE-FG02-03ER41272 ”

XIV LASNPA, June 17, 2024

# Deconstructing nuclear wave functions



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(75 YEARS!)



Calvin W. Johnson, San Diego State University

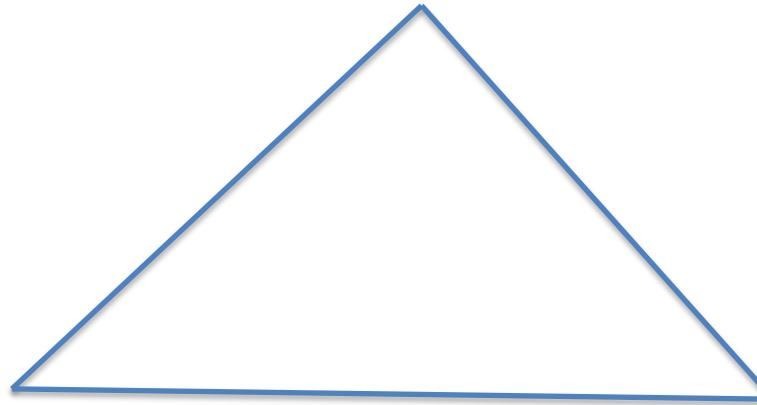
“This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics, under Award Number DE-FG02-03ER41272 ”

XIV LASNPA, June 17, 2024



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Themes of this talk  
(and my research):



Large-scale computing



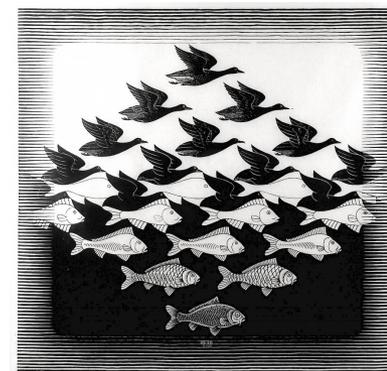
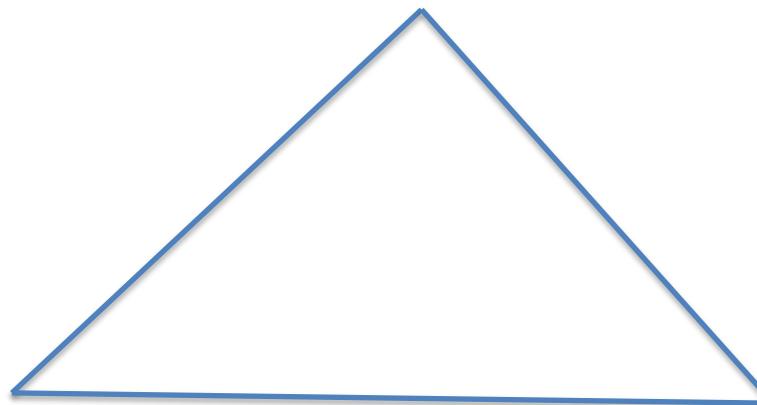
XIV LASNPA, June 17, 2024



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Themes of this talk  
(and my research):

Symmetries



Large-scale computing

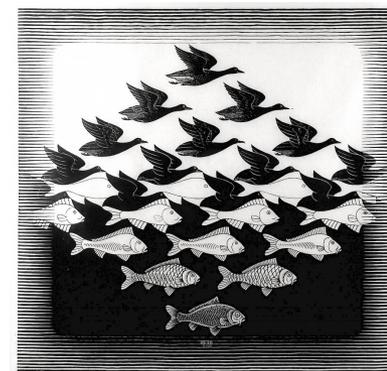
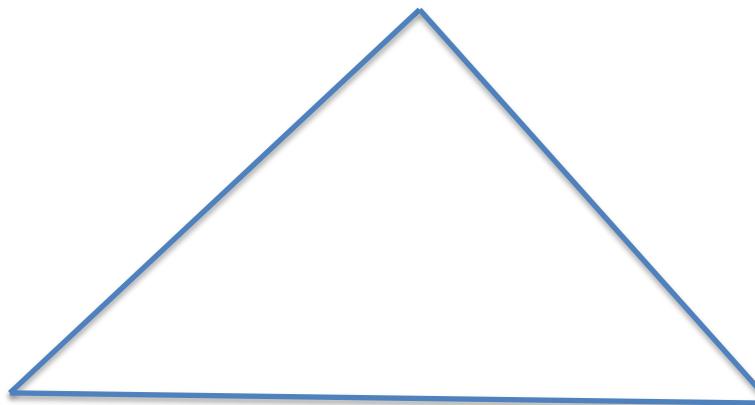




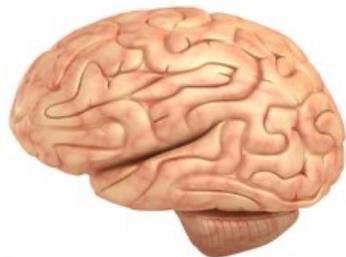
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Themes of this talk  
(and my research):

Symmetries



**Insight**



Large-scale computing



XIV LASNPA, June 17, 2024



- An outline of nuclear many-body calculations  
*focus on: large scale shell-model calculations*
- Using group theory to understand nuclear wave functions
- Apply to island of inversion nuclei  $^{11}\text{Li}$ ,  $^{29}\text{F}$

An all-too-common view:



Dark matter, string theory,  
neutrino physics....

An all-too-common view:



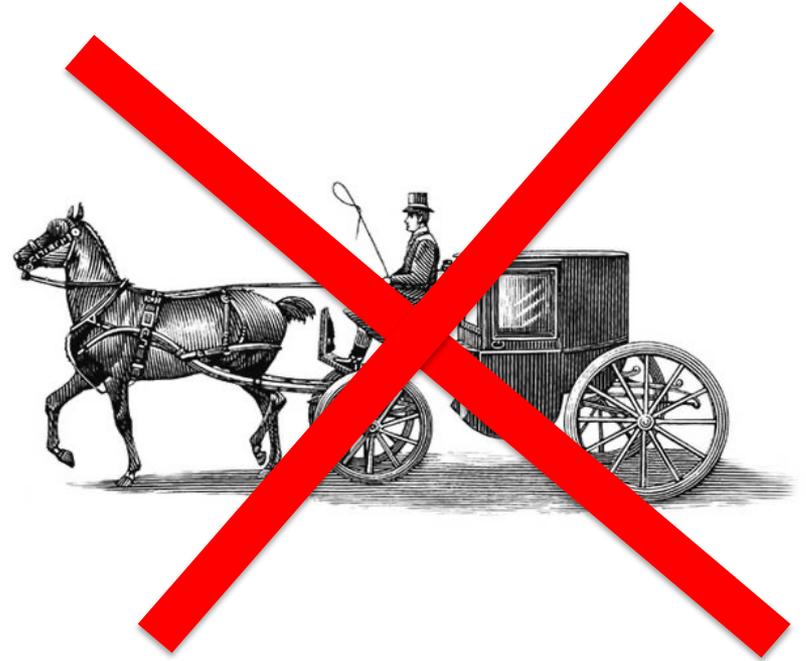
Dark matter, string theory,  
neutrino physics....

Nuclear structure physics

An all-too-common view:



Dark matter, string theory,  
neutrino physics....



Nuclear structure physics



Modern nuclear structure physics is rigorous, vigorous, and *the launchpoint for many other investigations.*



To detect dark matter,  
one needs **nuclear cross-sections**.

For neutrino physics, **nuclear cross-sections**.

For neutrinoless  $\beta\beta$  decay, **need nuclear matrix element**

For parity/time-reversal violation (e.g. EDM),  
**need nuclear matrix elements....**

The basic *science question* is to model detailed quantum structure of many-body systems, such the electronic structure of an atom, or structure of an atomic nucleus.

To answer this, we attempt to solve *Schrödinger's equation*:

$$\left( \sum_i -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i<j} V(\vec{r}_i - \vec{r}_j) \right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3 \dots) = E\Psi$$

or

$$\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$$

The basic *science question* is to model detailed quantum structure of many-body systems, such the electronic structure of an atom, or structure of an atomic nucleus.

This differential equation is too difficult to solve directly

$$\left( \sum_i -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i < j} V(\vec{r}_i - \vec{r}_j) \right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3 \dots) = E\Psi$$

so we use the matrix formalism

$$\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$$

The matrix formalism:  
expand in some (many-body) basis

$$\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$$

$$|\Psi\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle \quad H_{\alpha\beta} = \langle\alpha|\hat{\mathbf{H}}|\beta\rangle$$

$$\sum_{\beta} H_{\alpha\beta} c_{\beta} = E c_{\alpha}$$

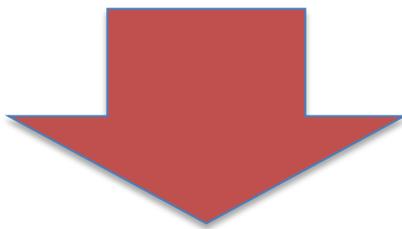
$$|\Psi\rangle = c_1|1110001\rangle + c_2|1101010\rangle + c_3|0110101\rangle + \dots$$

• How the basis is represented

“occupation representation”  $|\alpha\rangle = \hat{a}_{n_1}^+ \hat{a}_{n_2}^+ \hat{a}_{n_3}^+ \dots \hat{a}_{n_N}^+ |0\rangle$

$n_i$	1	2	3	4	5	6	7
$\alpha=1$	1	0	0	1	1	0	1
$\alpha=2$	1	0	1	0	0	1	1
$\alpha=3$	0	1	1	1	0	1	0

Nuclear Hamiltonian: 
$$\hat{H} = \sum_i -\frac{\hbar^2}{2M} \nabla_i^2 + \sum_{i<j} V(r_i, r_j)$$



In the occupation representation:

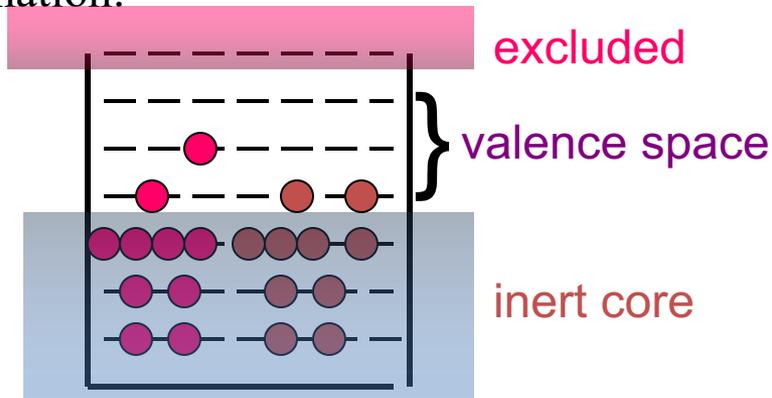
$$\hat{H} = \sum_i \varepsilon_i \hat{a}_i^+ \hat{a}_i + \frac{1}{4} \sum_{ijkl} V_{ijkl} \hat{a}_i^+ \hat{a}_j^+ \hat{a}_l \hat{a}_k$$

single-particle energies

two-body matrix elements

When running a fermion shell model code (e.g. MFD, **BIGSTICK**), one enters the following information:

(1) The single-particle valence space (such as *sd* or *pf*); assumes inert core



(2) The many-body model space (number of protons and neutrons, truncations, etc.)

(3) The interaction:

single-particle energies

and

two-body matrix elements

$V_{JT}(ab,cd)$

Interaction File

# of TBME	Single Particle Energies								
	a	b	c	d	J	T	V		
63							1.6465800	-3.9477999	-3.1635399
	1	1	1	1	0	1			-2.1845000
	1	1	1	1	1	0			-1.4151000
	1	1	1	1	2	1			-0.0665000
	1	1	1	1	3	0			-2.8842001
	2	1	1	1	1	0			0.5647000
	2	1	1	1	2	1			-0.6149000
	2	1	1	1	3	0			2.0337000
	2	1	2	1	1	0			-6.5057998
	2	1	2	1	1	1			1.0334001
	1	2	1	1	2	0			-3.8253000
	1	2	1	1	2	1			-0.2845000
	1	2	1	1	3	0			0.5647000

Single Particle States

iso	! orbits			
3	0	2	1.5	2
	0	2	2.5	4
	1	0	0.5	6

(1s<sub>1/2</sub>)  
(0d<sub>5/2</sub>)  
(0d<sub>3/2</sub>)

$$|\Psi\rangle = c_1|1110001\rangle + c_2|1101010\rangle + c_3|0110101\rangle + \dots$$



Maria Mayer

Convenient for computers...

...and computers are needed,  
for we need millions or  
billions of such simple  
states.....

Largest (?) known M-scheme calculation  
 $^{12}\text{Be}$ ,  $N_{\text{max}}=12$ , **35 billion basis states**  
(A. McCoy, arXiv:2402.12606)



Anna McCoy

in the matrix formalism

$$\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$$

$$|\Psi\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle \quad H_{\alpha\beta} = \langle \alpha | \hat{\mathbf{H}} | \beta \rangle$$

$$\sum_{\beta} H_{\alpha\beta} c_{\beta} = E c_{\alpha}$$



The shell-model wave functions can contain thousands, or millions, or billions of components

Normally it's very hard to understand what is going on

Group theory can illuminate how similar or different wave functions are (even for people who don't know group theory)



Largest (?) kn  
 $^{12}\text{Be}$ ,  $N_{\text{max}}=1$   
(A. McCoy, a

*“The purpose of computing  
is  
insight, not numbers”  
--Richard Hamming*

in

$\hat{H}$

$$c_\alpha |\alpha\rangle$$

$$H_{\alpha\beta} = \langle \alpha | \hat{H} | \beta \rangle$$

$$\sum_{\beta} H_{\alpha\beta} c_{\beta} = E c_{\alpha}$$



Largest (?) kn  
 $^{12}\text{Be}$ ,  $N_{\text{max}}=1$   
(A. McCoy, a

That's a lot of numbers!  
How can we understand them?

in

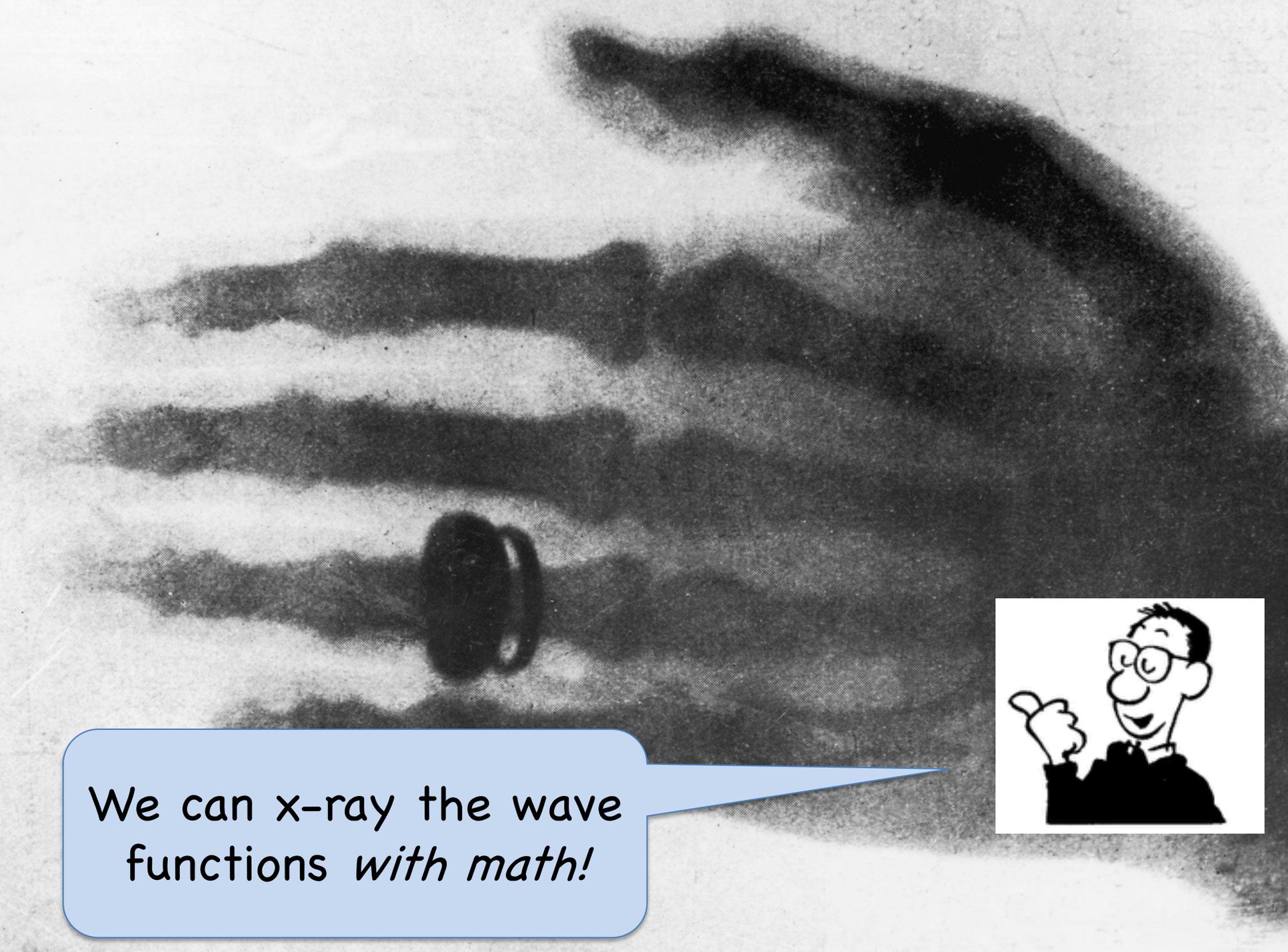
$\mathbf{H}$

$$c_\alpha |\alpha\rangle$$

$$H_{\alpha\beta} = \langle \alpha | \hat{\mathbf{H}} | \beta \rangle$$

$$\sum_{\beta} H_{\alpha\beta} c_{\beta} = E c_{\alpha}$$





We can x-ray the wave functions *with math!*





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Specifically, we use eigenvalues  
of Casimir operators to label  
subspaces (“irreps”)





Casimir

$$\hat{C} |z, \alpha\rangle = z |z, \alpha\rangle$$

The best known Casimir is  $\mathbf{J}^2$ ,  
which has eigenvalues  $j(j+1)$



Casimir

$$\hat{C} |z, \alpha\rangle = z |z, \alpha\rangle$$

Another is Elliott's representation  
of an  $SU(3)$  Casimir:

$$\hat{C}_{SU(3)} = \vec{Q} \cdot \vec{Q} - \frac{1}{4} \vec{L}^2$$

For this 2-body  $SU(3)$  Casimir,  
the eigenvalue  $z = \lambda^2 + \lambda\mu + \mu^2 + 3(\lambda + \mu)$ ,  
where  $\lambda, \mu$  label the irreps



Casimir

$$\hat{C} |z, \alpha\rangle = z |z, \alpha\rangle$$

If the Casimir(s) commute(s)  
with the Hamiltonian,  $[\hat{H}, \hat{C}] = 0$   
then the Hamiltonian is block-diagonal  
in the *irreps* (irreducible representation)

This is known as *dynamical symmetry*



A key idea: A Casimir can be used to divide up a Hilbert space into subspaces, labeled by eigenvalues

*even if the Casimir does not commute with the Hamiltonian*



Casimir

$$\hat{C} |z, \alpha\rangle = z |z, \alpha\rangle$$

For some wavefunction  $|\Psi\rangle$ , we define  
the *fraction of the wavefunction in an irrep*

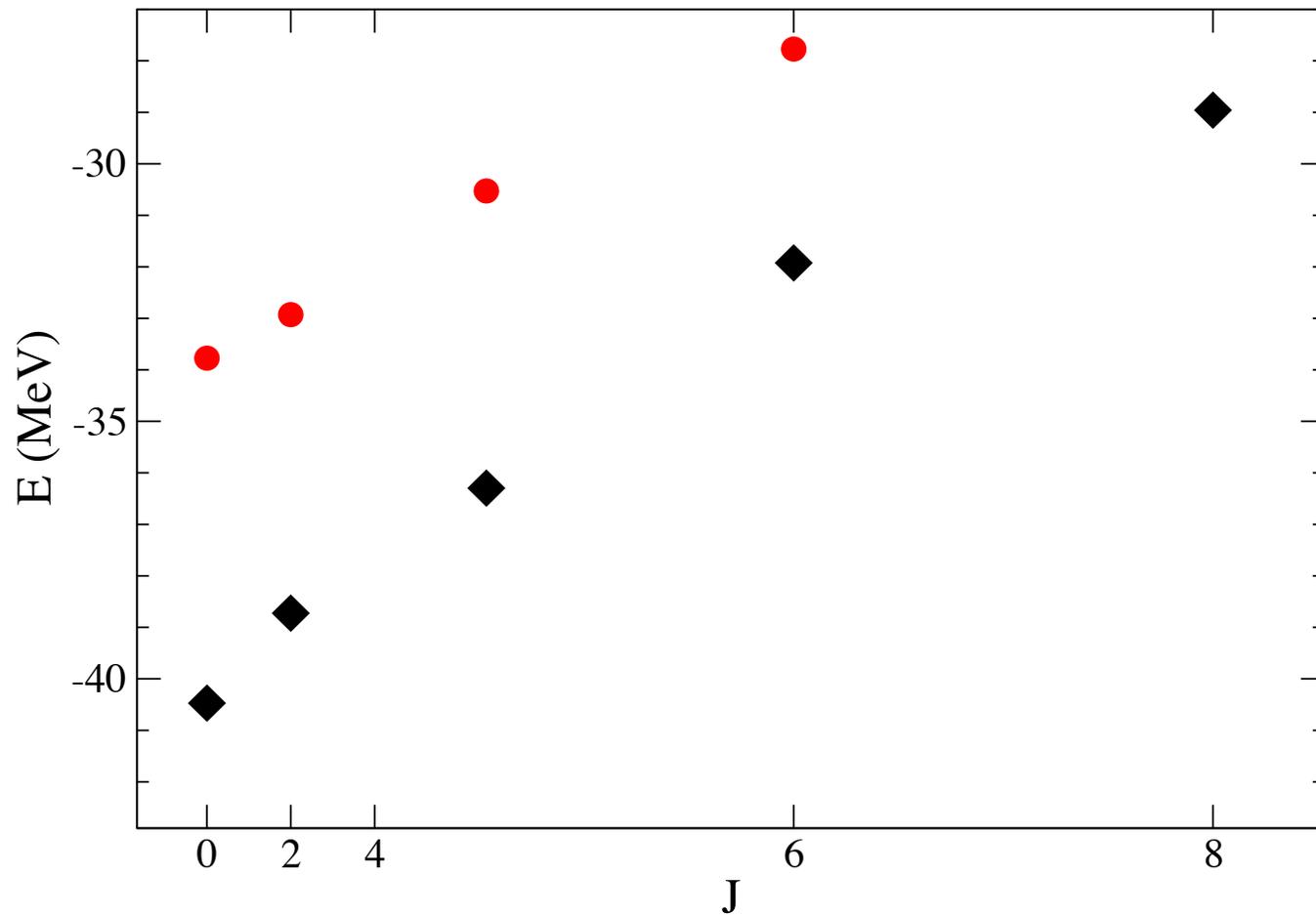
$$F(z) = \sum_{\alpha} \left| \langle z, \alpha | \Psi \rangle \right|^2$$



This can be done efficiently using a variant of the Lanczos algorithm:  
CWJ, PRC **91**, 034313 (2015)



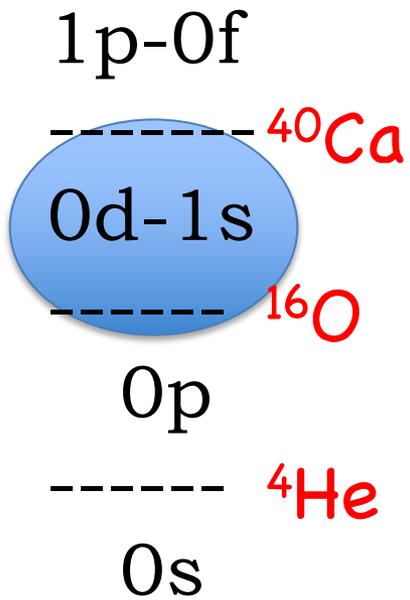
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$^{20}\text{Ne}$

USDB interaction

# $^{20}\text{Ne}$ using phenomenological USDB force

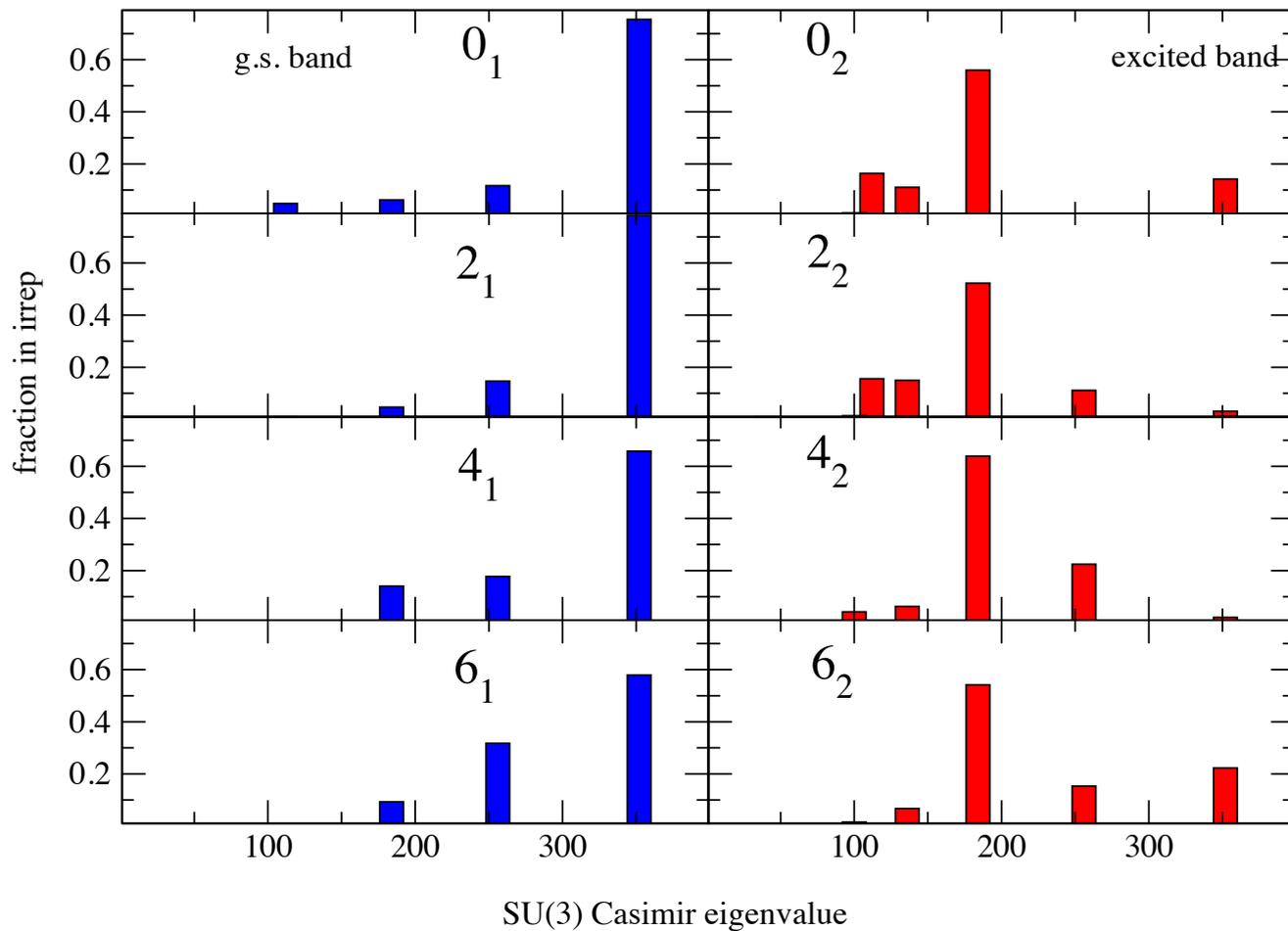


Model space  
is *sd*-shell





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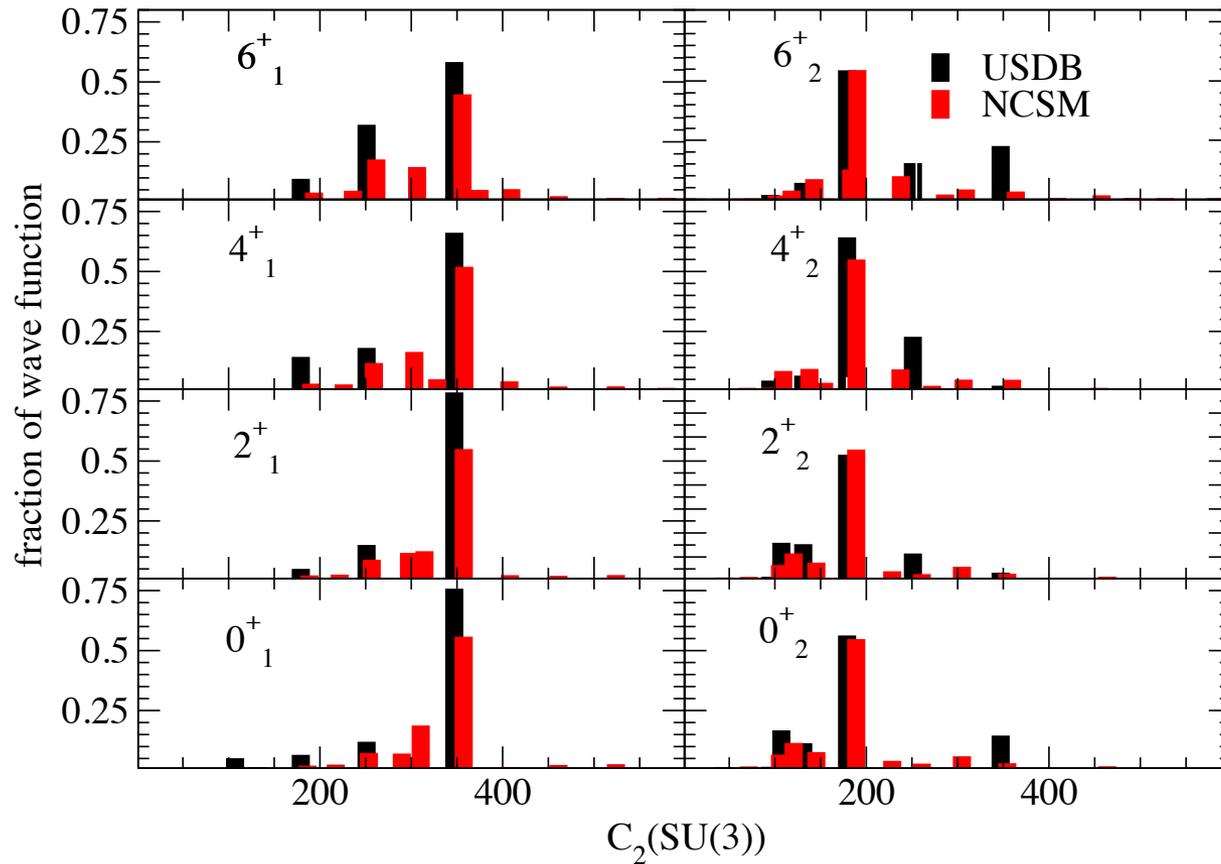


$^{20}\text{Ne}$

USDB interaction

dimension = 640

# $^{20}\text{Ne}$



By looking at the group-theoretical decomposition, we can even show that the valence-space empirical and *ab initio* multi-shell wave functions have similar structure!



# Backbending in $^{48}\text{Cr}$ (using GXPF1)

0f - 1p

-----  $^{40}\text{Ca}$

0d - 1s

-----  $^{16}\text{O}$

0p

-----  $^4\text{He}$

0s

Model space  
is *pf*-shell

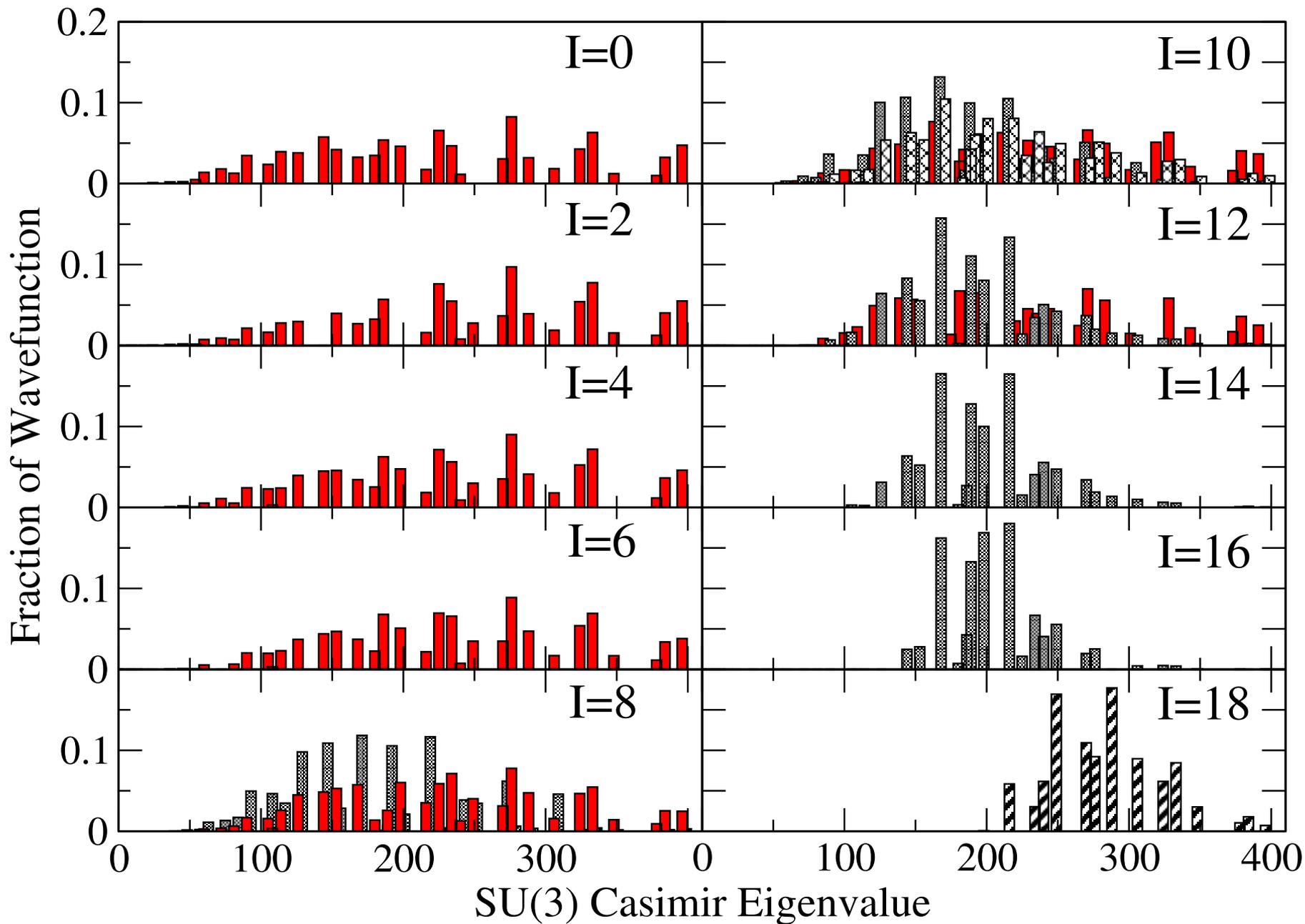


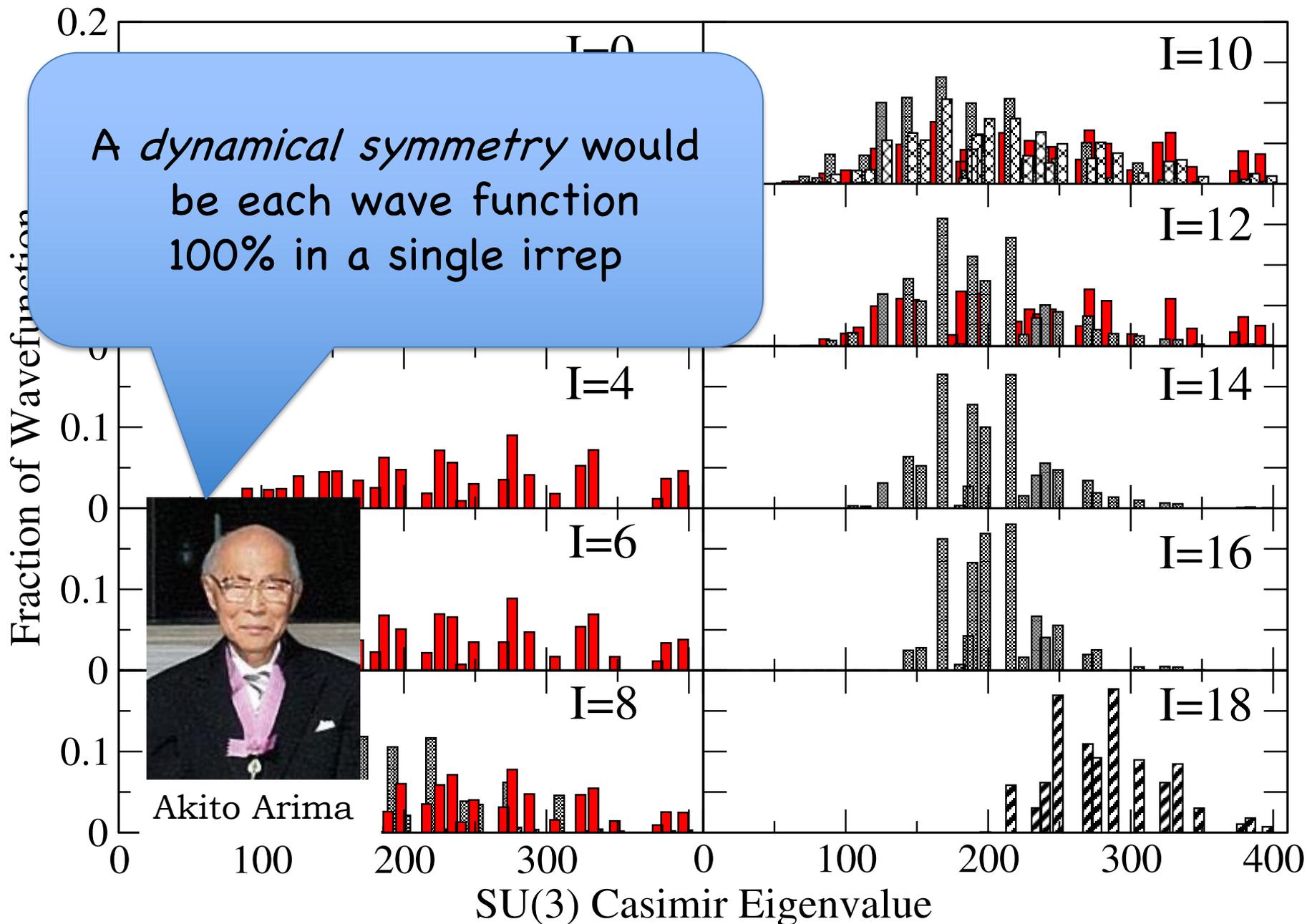
## Backbending in $^{48}\text{Cr}$ (using GXPF1)

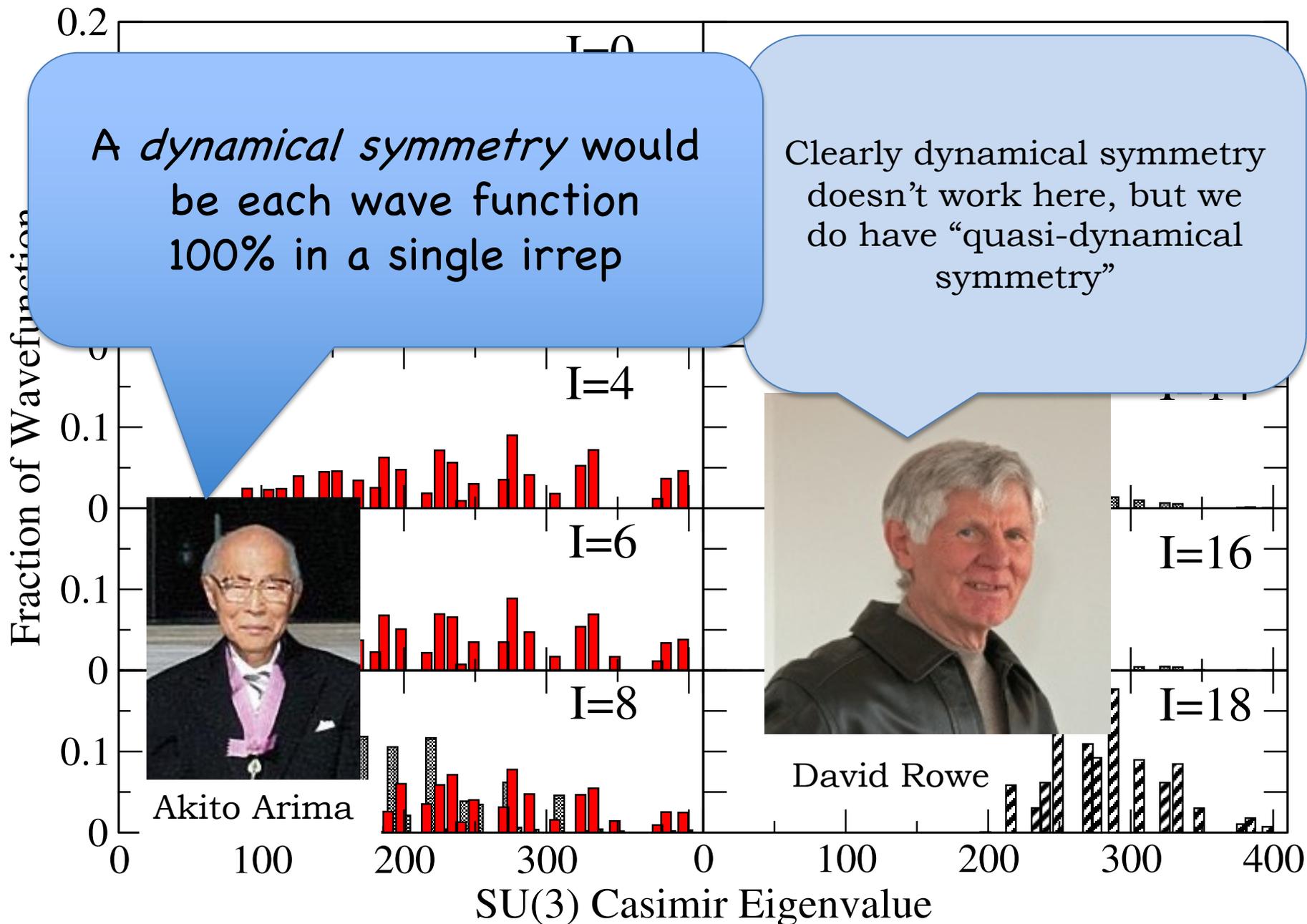
Wave functions computed in interacting shell model\* using GXPF1 interaction; then SU(3) 2-body Casimir read in and decomposition done with Lanczos



R. Herrera and CWJ,  
Phys. Rev. C **95**, 024303 (2017)



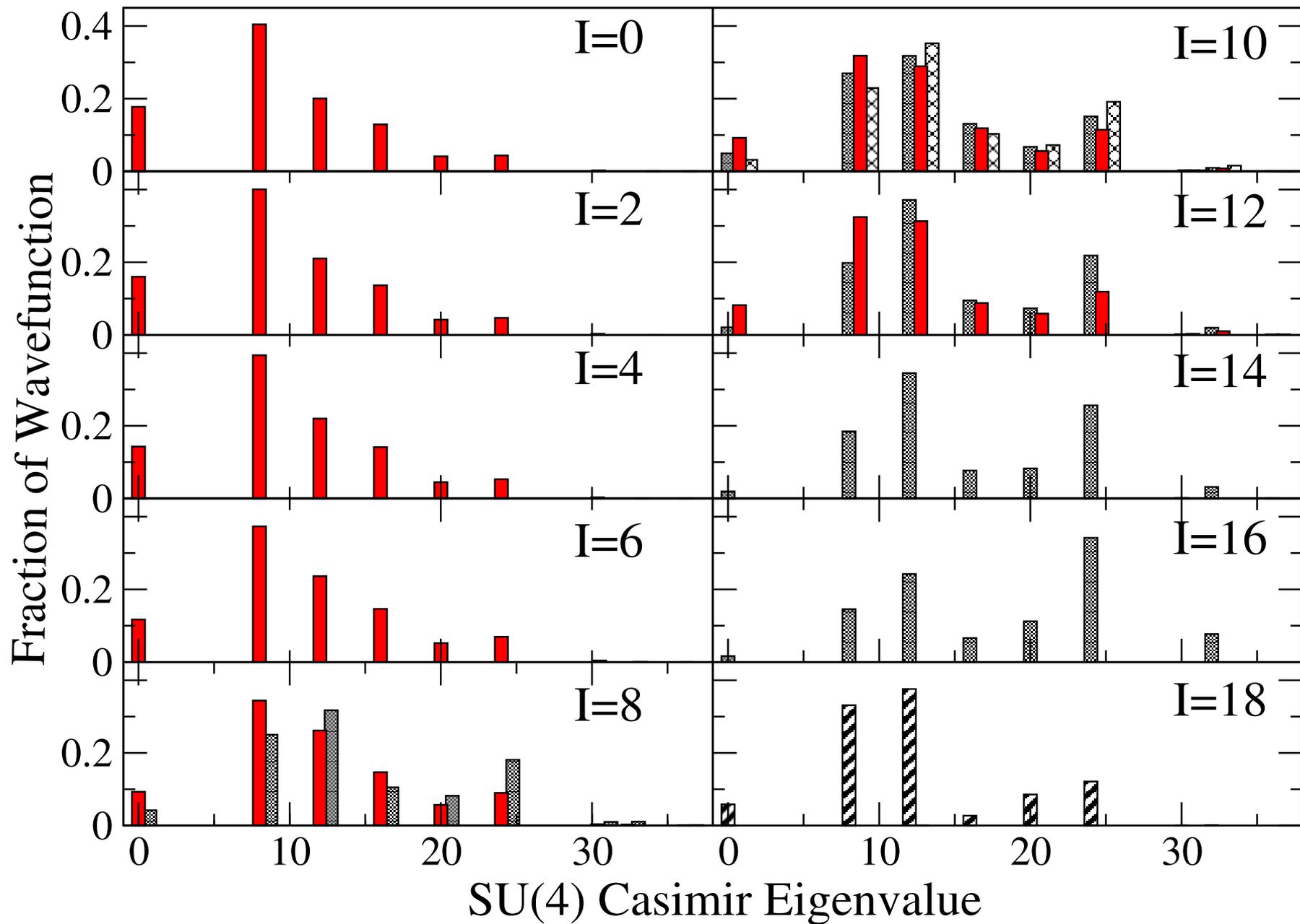




What about  
other groups?



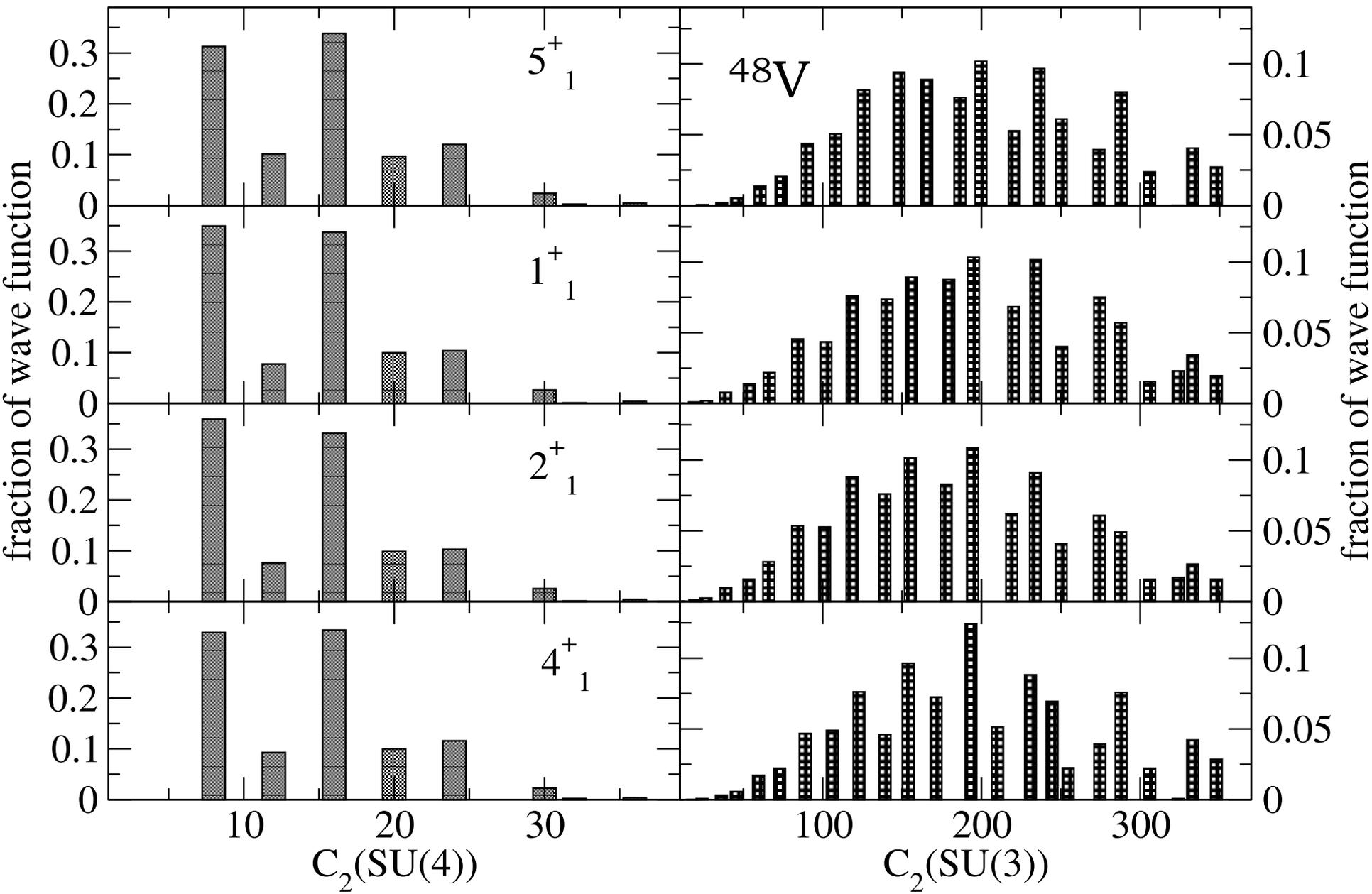
Eugene Wigner

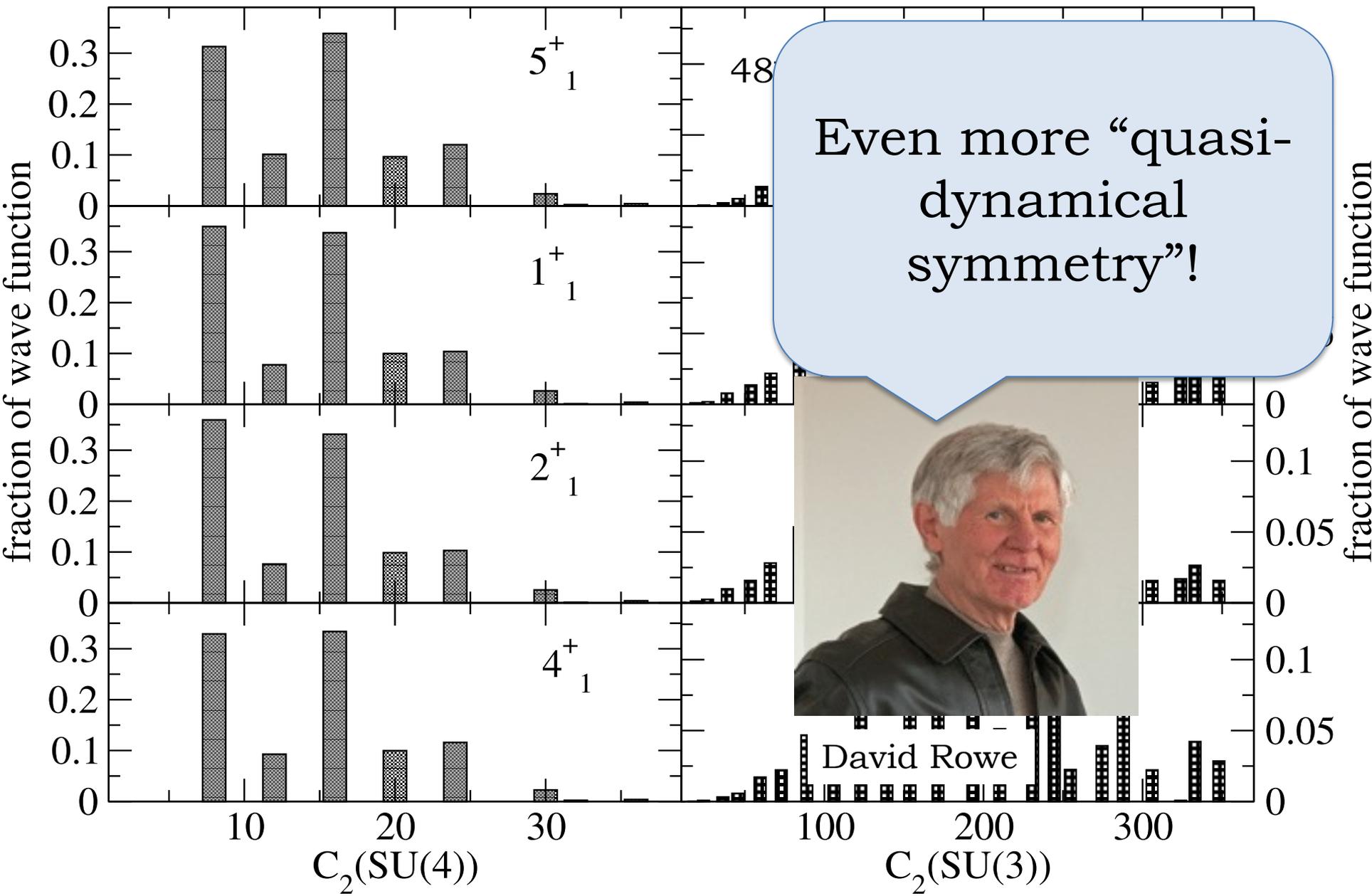


What about non-rotational nuclei?



Eugene Wigner



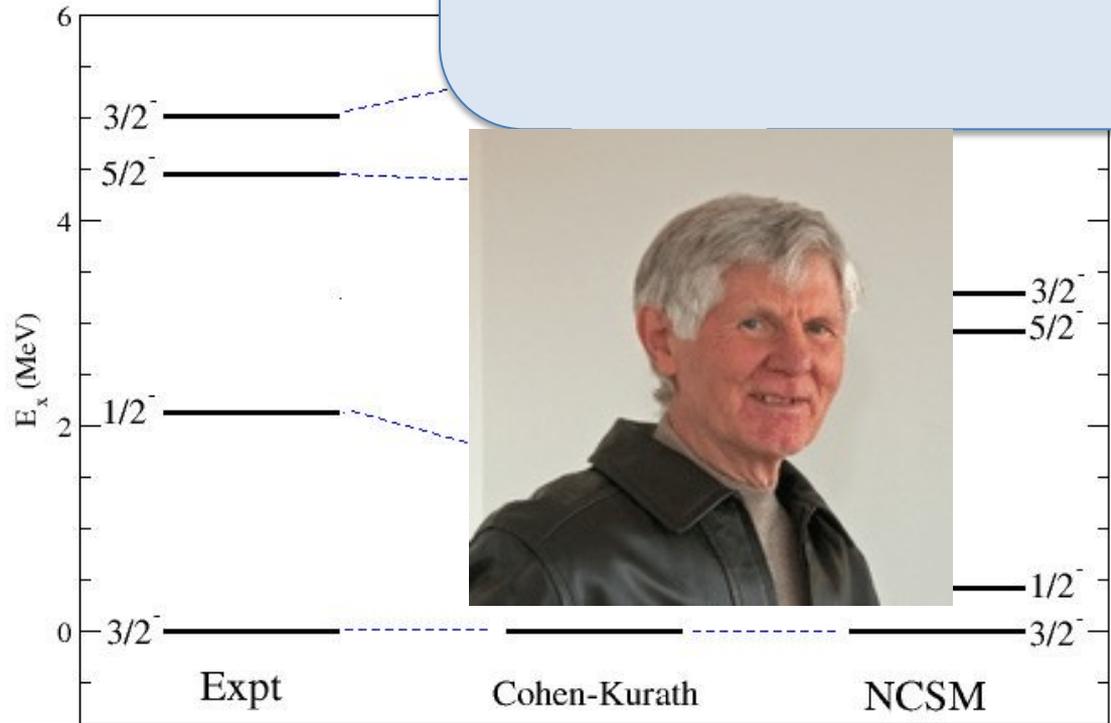


# $^{11}\text{B}$

Phenomenological Cohen-Kurath  $m$ -scheme dim

NCSM: N<sup>3</sup>LO chiral 2-body force SRG evolved  
 $m$ -scheme dimension: 20 million

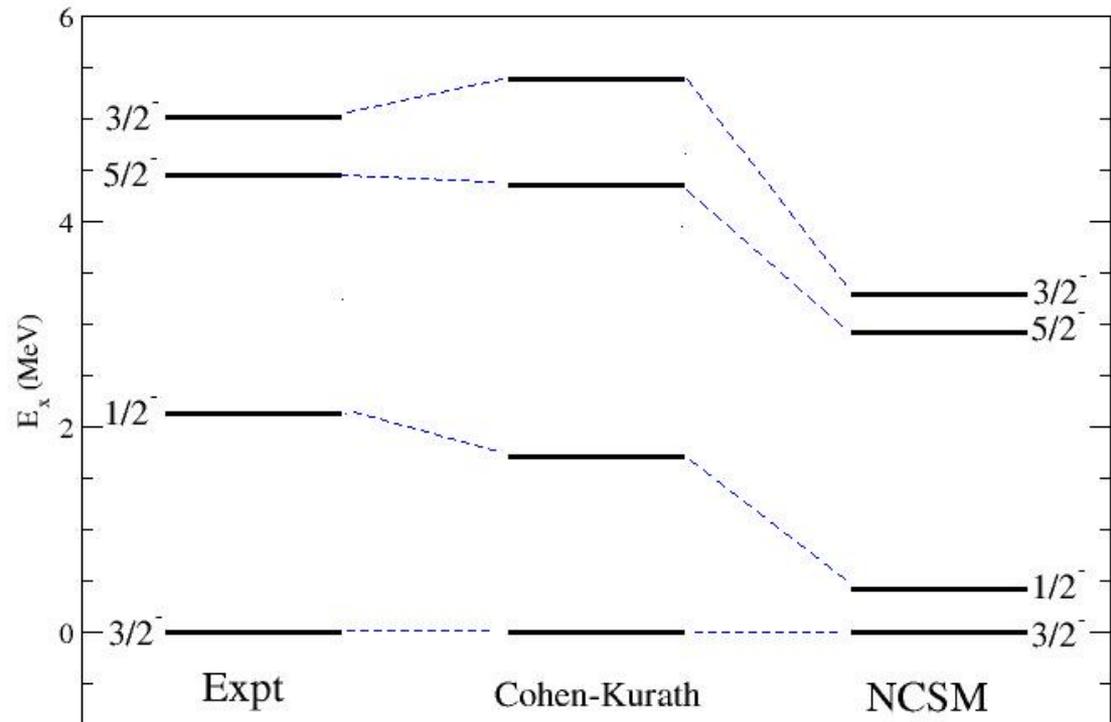
What about  
in the  
NCSM?

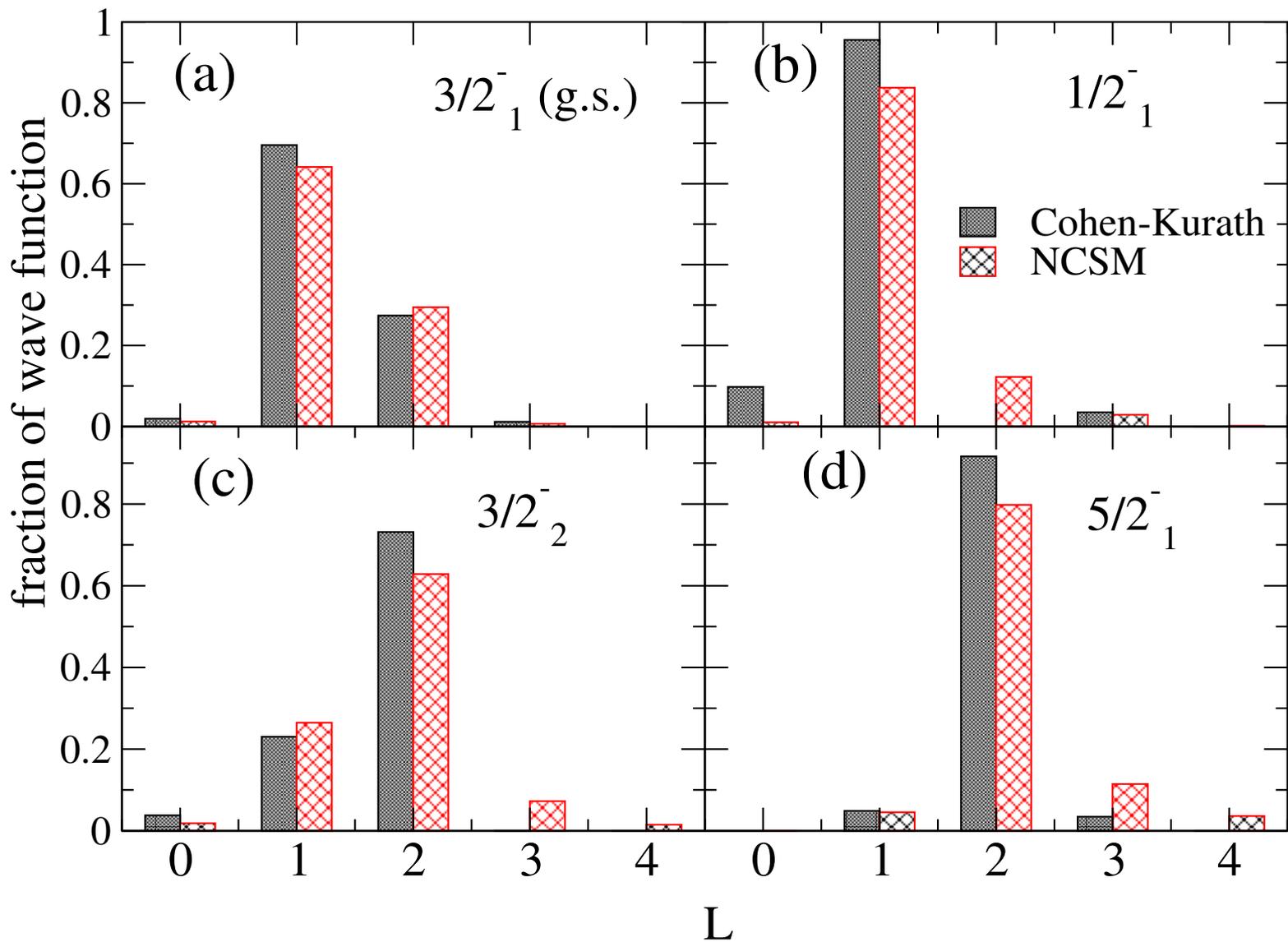


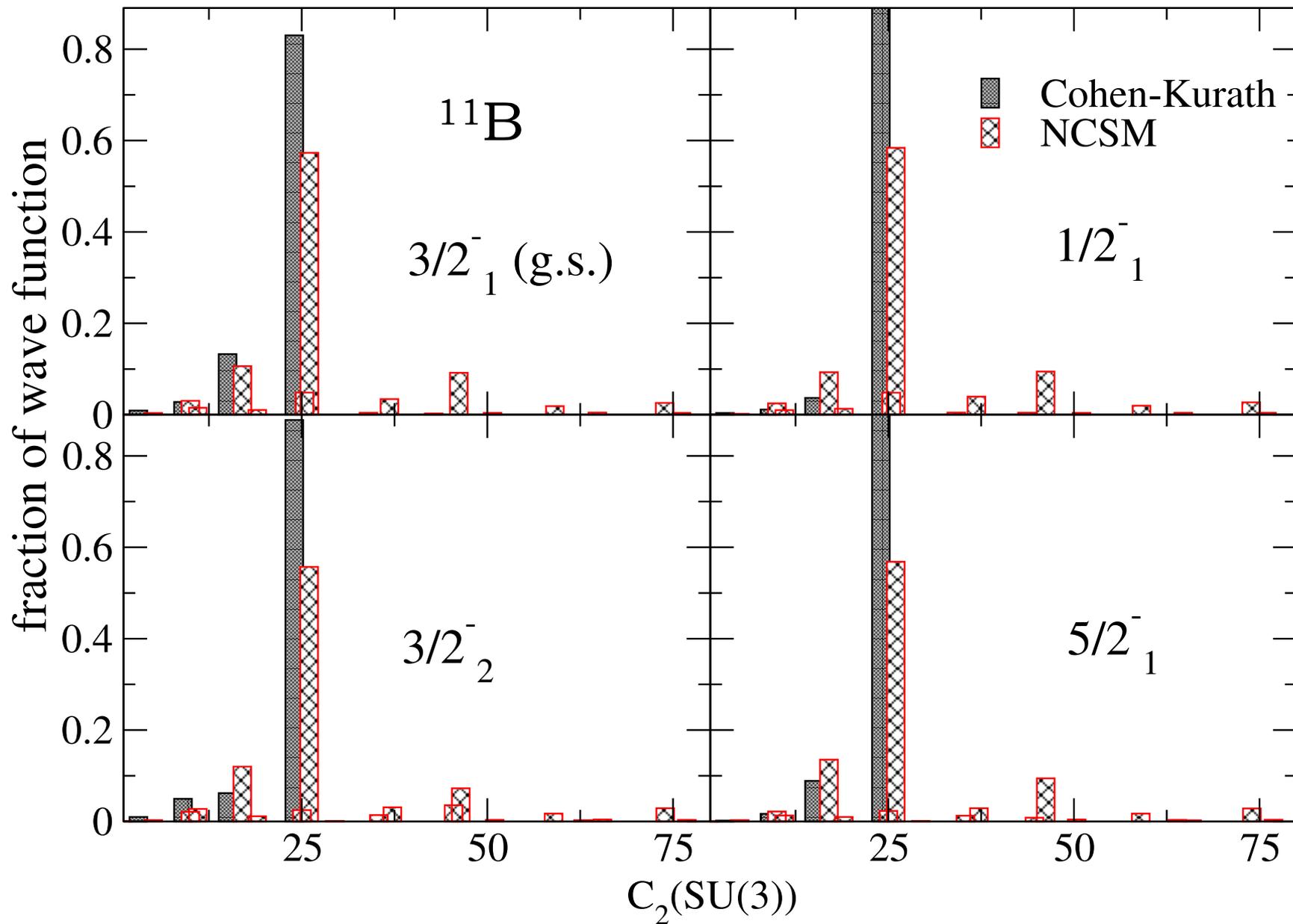
# $^{11}\text{B}$

Phenomenological Cohen-Kurath  $m$ -scheme dimension: 62

NCSM: N<sup>3</sup>LO chiral 2-body force SRG evolved to  $\lambda = 2.0 \text{ fm}^{-1}$ ,  $N_{\text{max}} = 6$ ,  $\hbar\omega = 22 \text{ MeV}$   
 $m$ -scheme dimension: 20 million







Islands of inversions  
and halo nuclei  
form a **challenge** to standard  
shell-model pictures



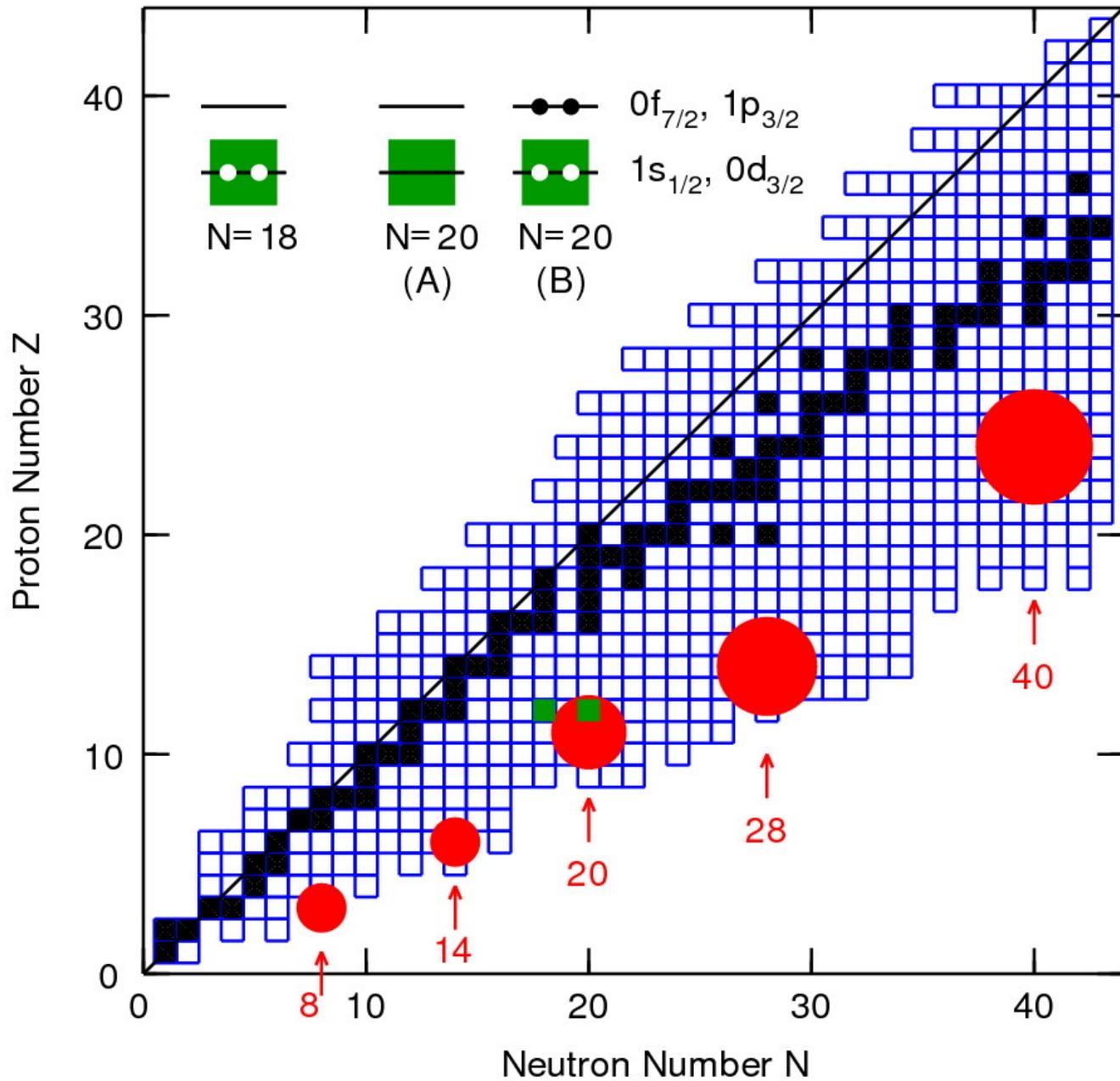


Figure:  
Alex Brown



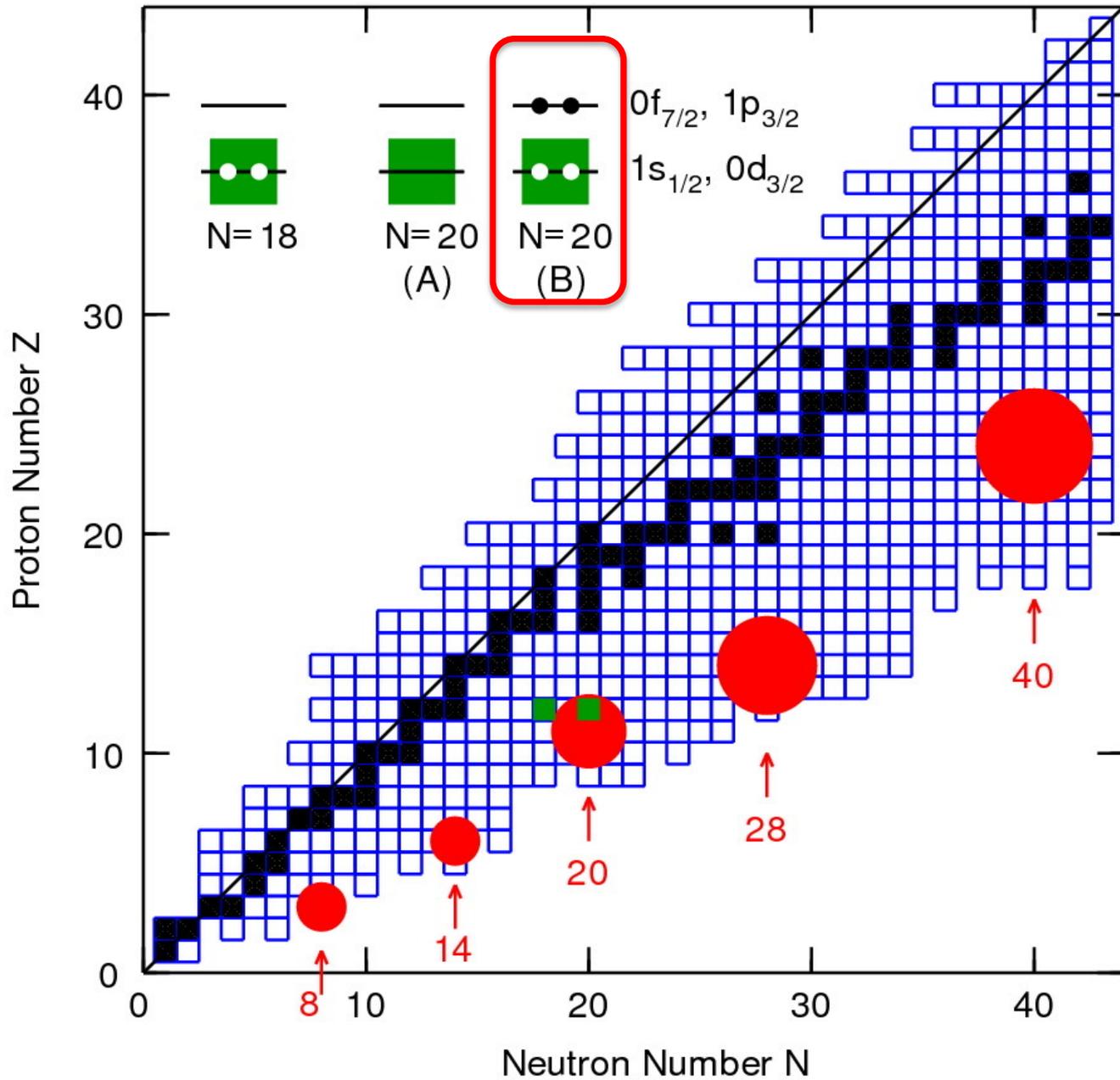


Figure:  
Alex Brown



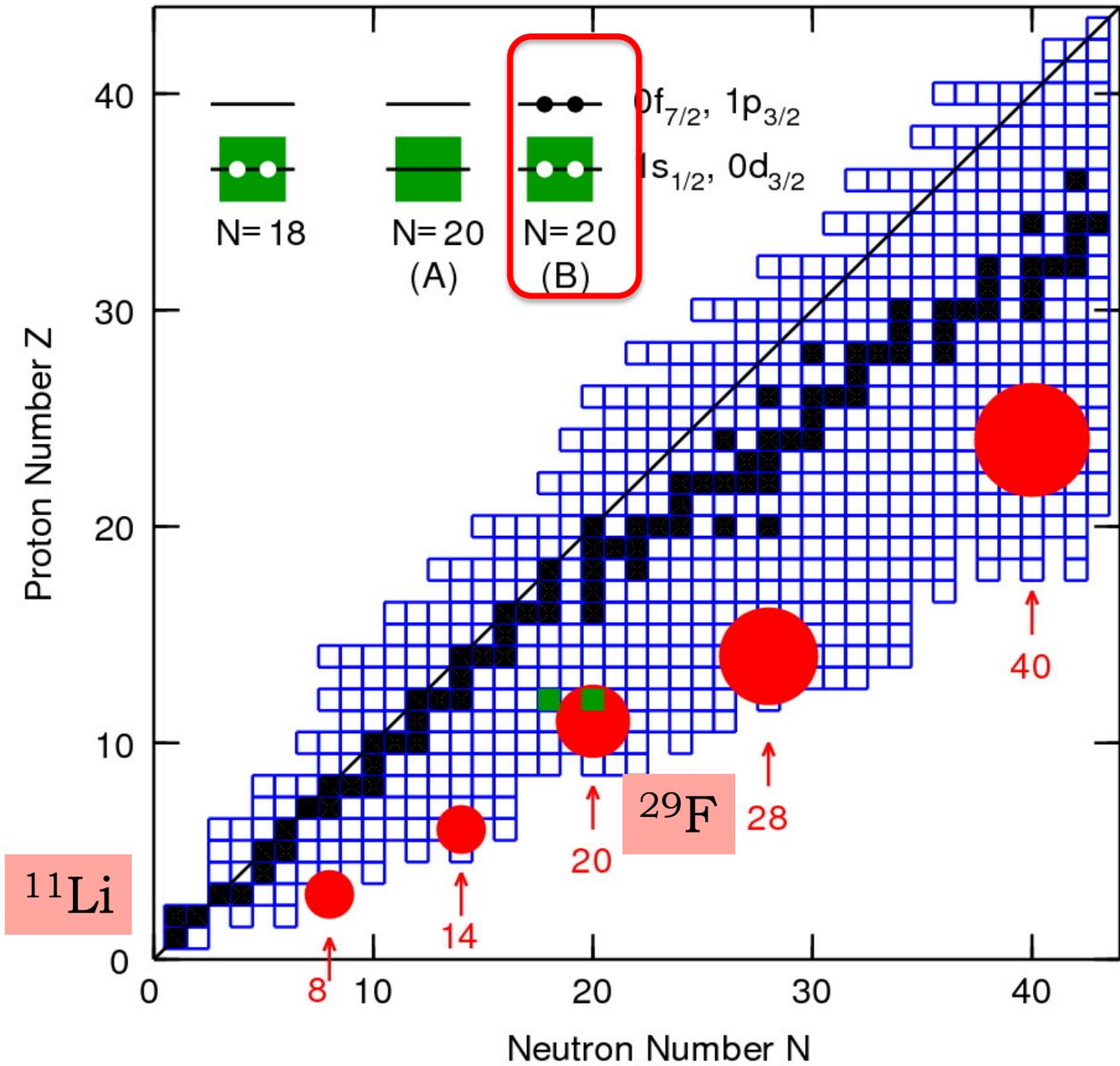


Figure:  
Alex Brown

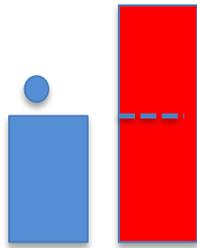


# CASE STUDY: $^{11}\text{Li}$

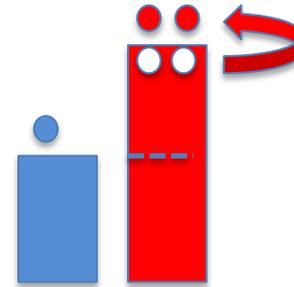
$^{11}\text{Li}$  makes for an excellent case study:

- Example of “island of inversion”
- Halo or extended state; large deformation
- Small enough to be tackled numerically
- Testbed for techniques

# CASE STUDY: $^{11}\text{Li}$



One proton outside a  
filled shell  
+ filled neutron shell



One proton outside a  
filled shell  
+ neutron 2p-2h

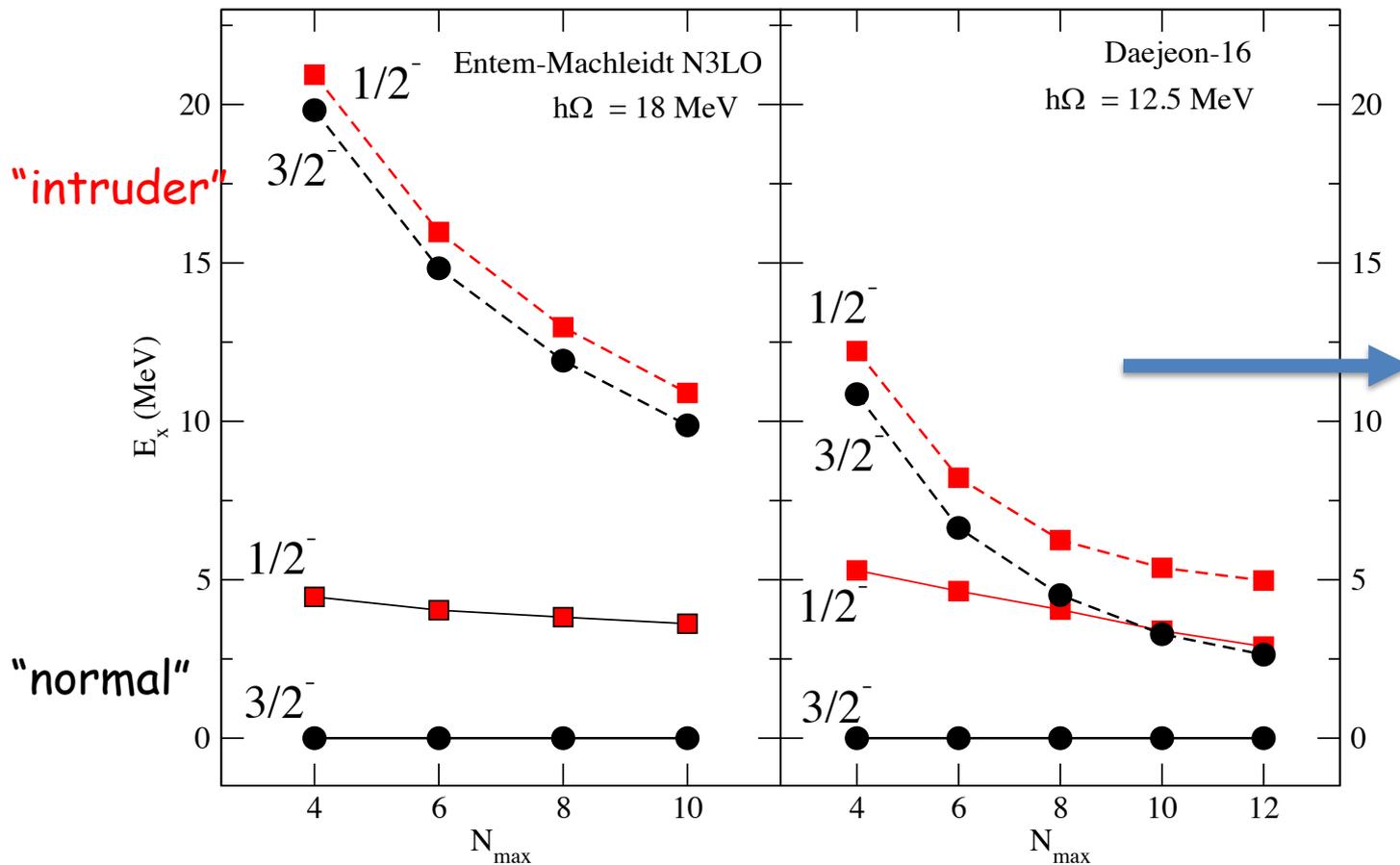
“island of inversion”

# CASE STUDY: $^{11}\text{Li}$

$^{11}\text{Li}$  makes for an excellent case study

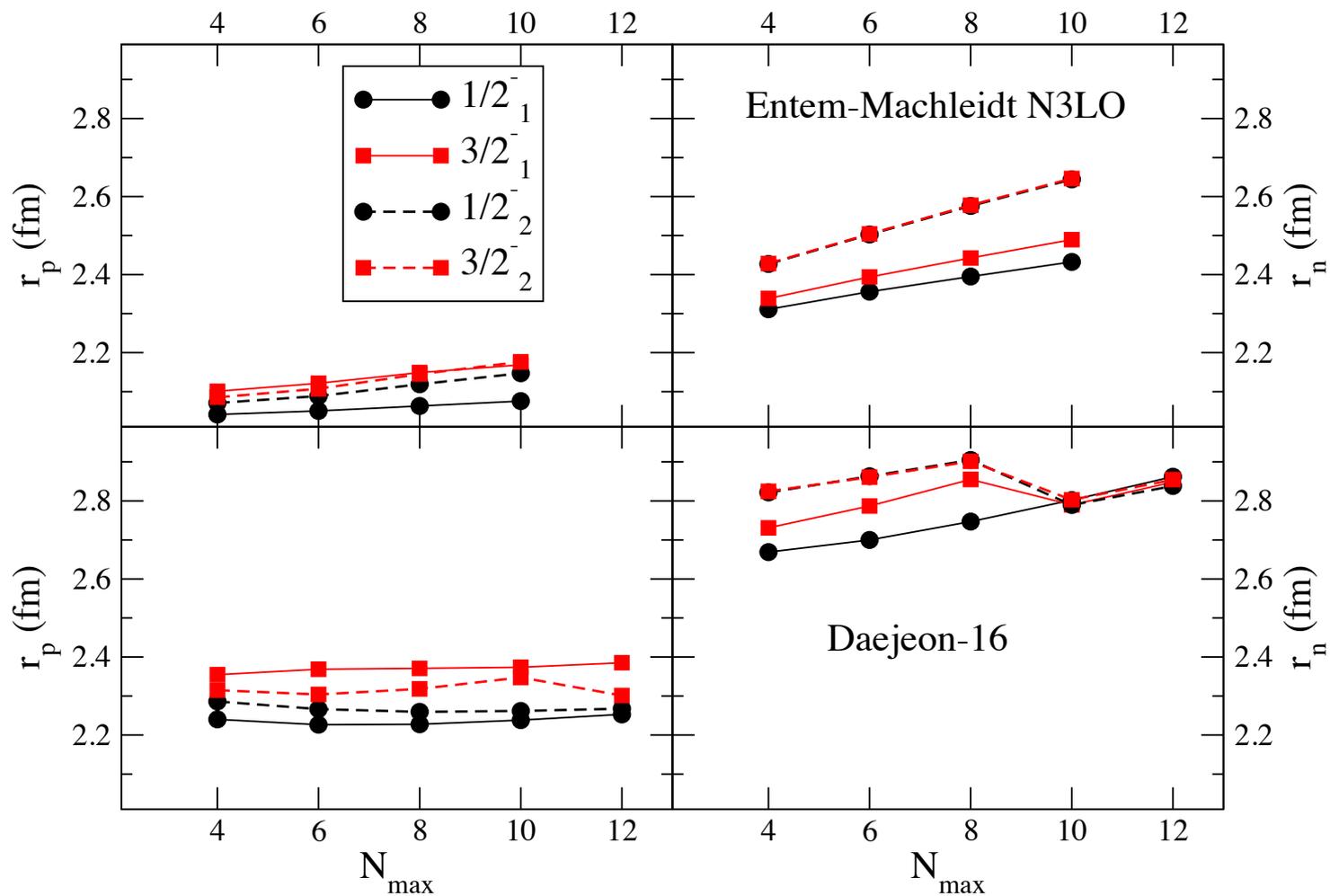
$3/2^-$  g.s. is a halo state and on an island of inversion

# CASE STUDY: $^{11}\text{Li}$

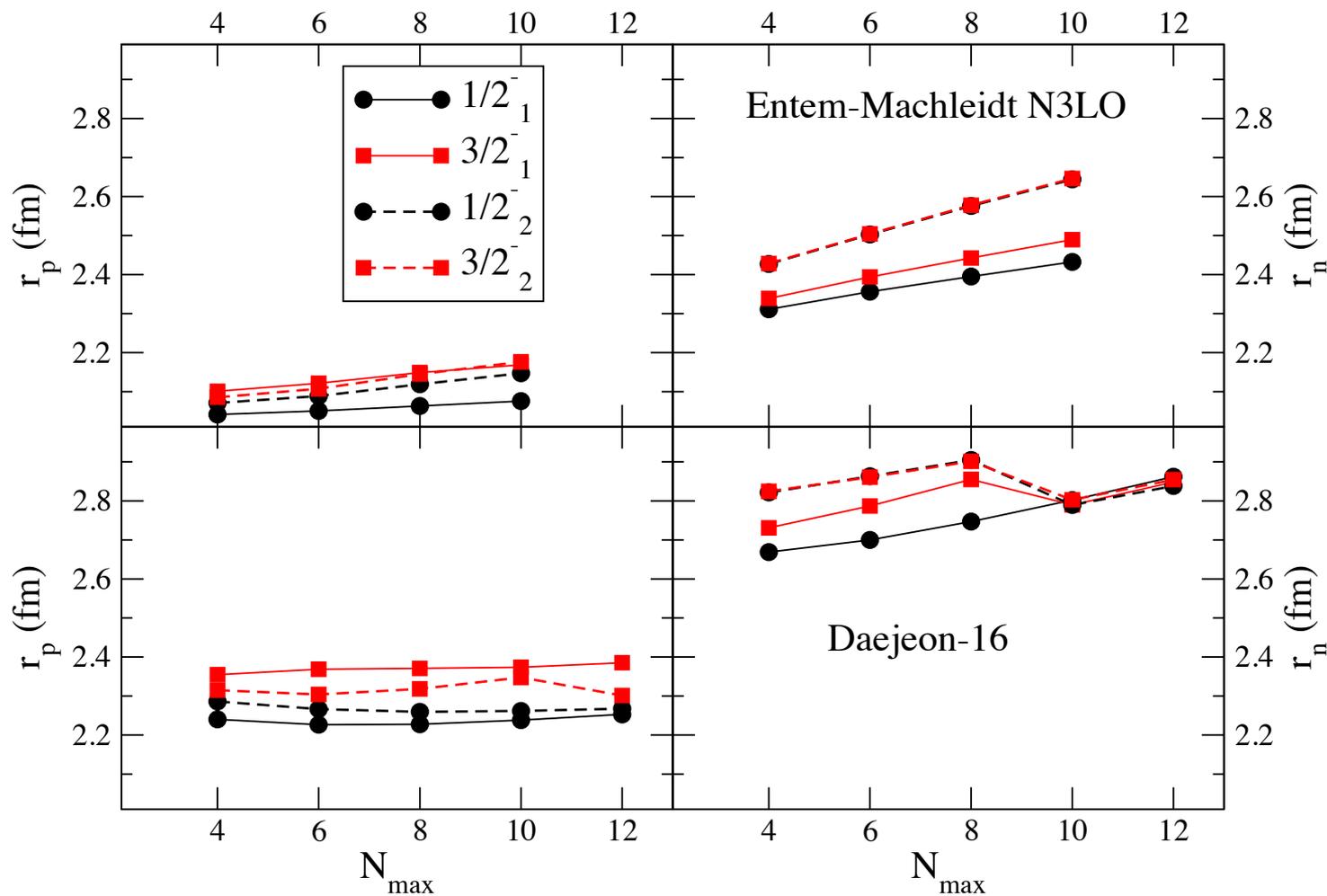


Mark Caprio

# CASE STUDY: $^{11}\text{Li}$



# CASE STUDY: $^{11}\text{Li}$

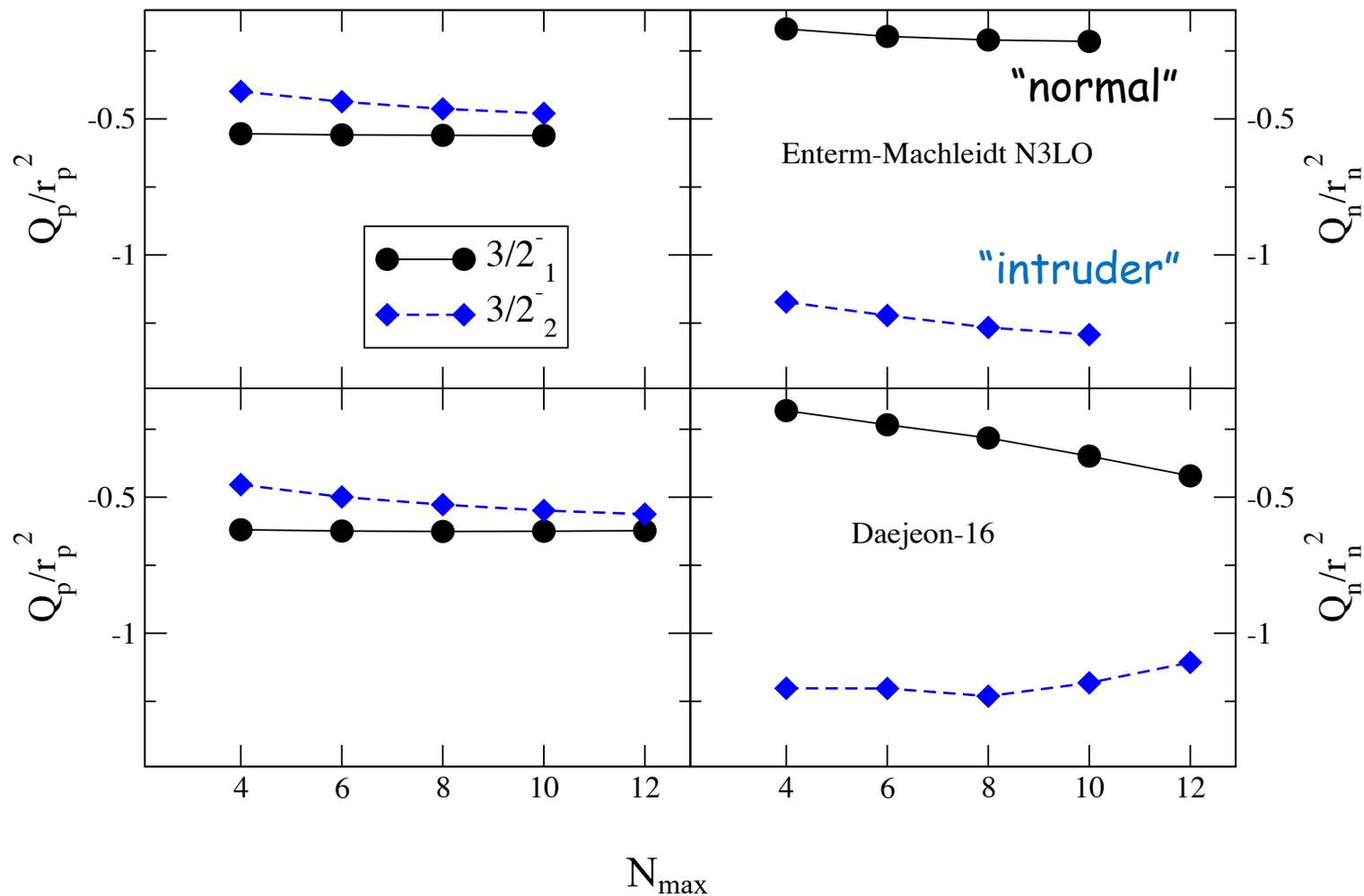


Radii are notorious difficult to get right

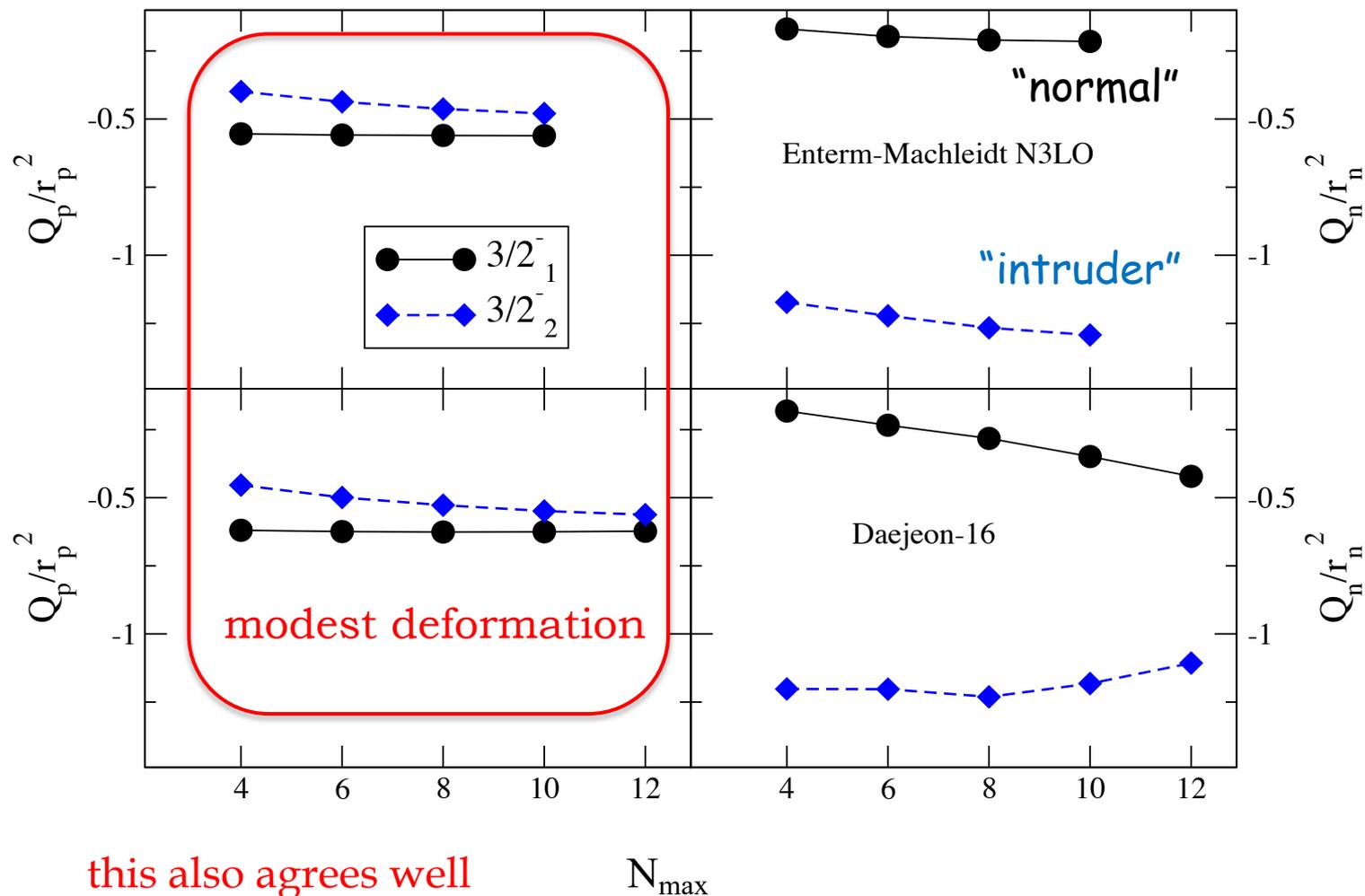


Mark Caprio

# CASE STUDY: $^{11}\text{Li}$

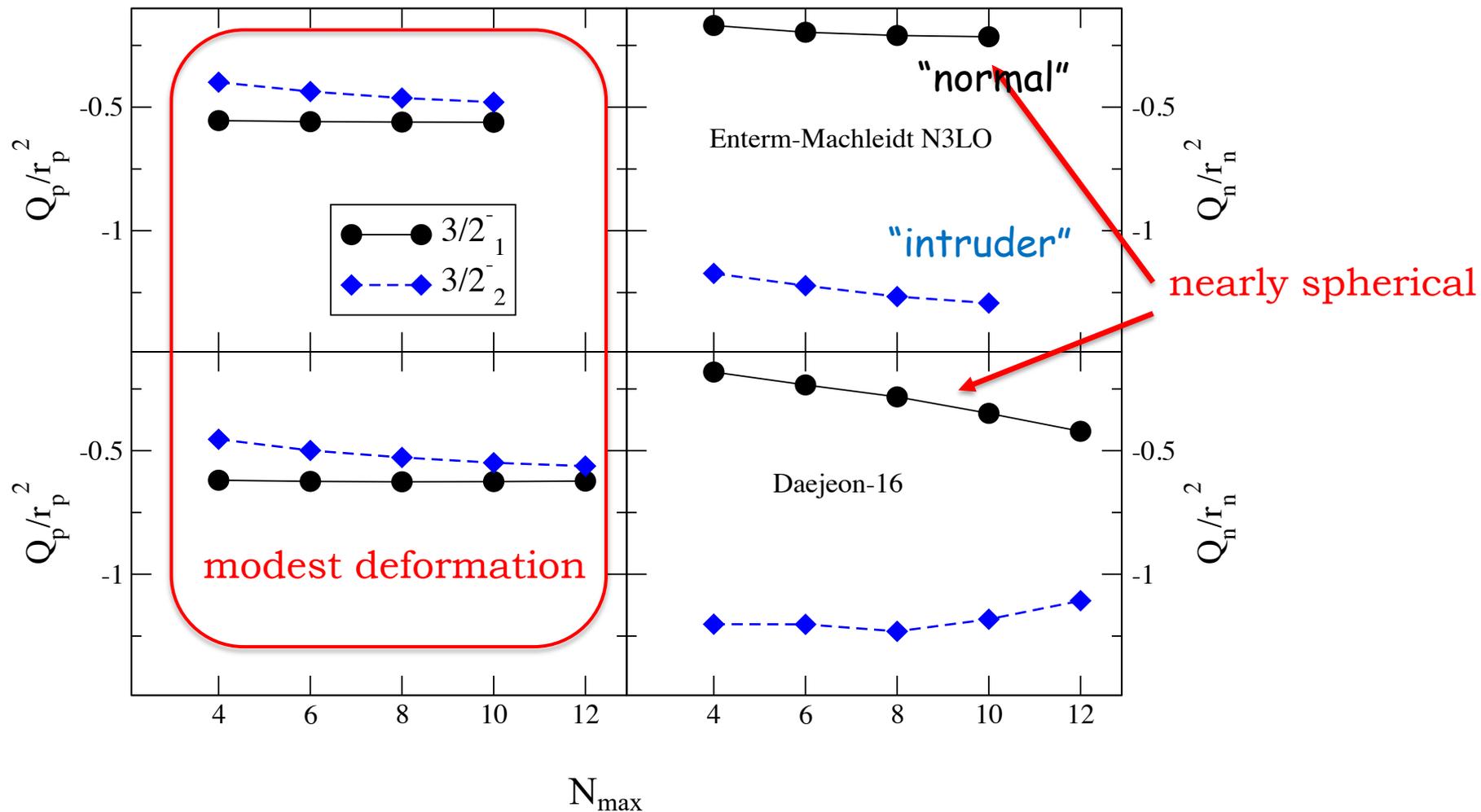


# CASE STUDY: $^{11}\text{Li}$

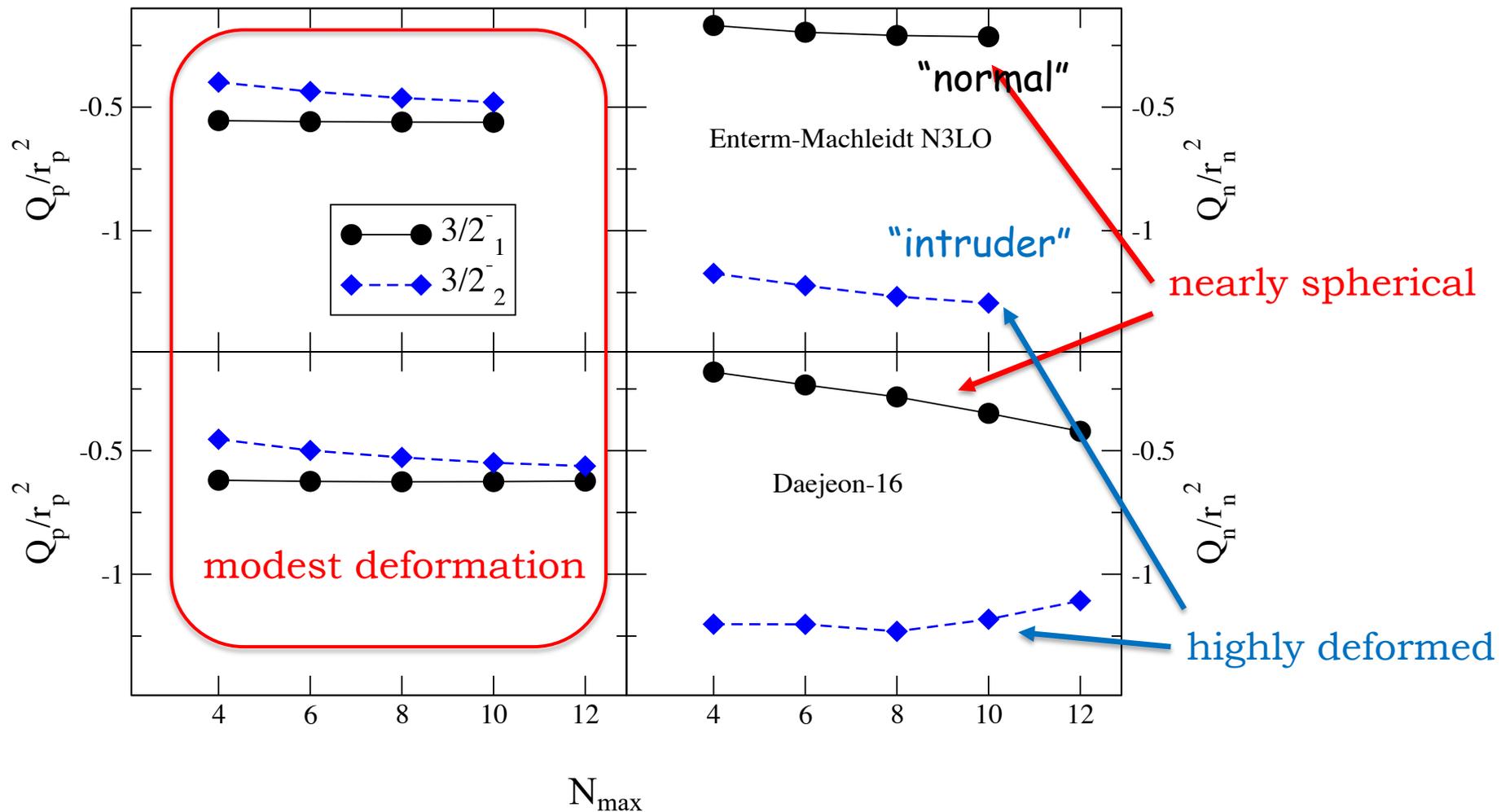


this also agrees well  
with experiment

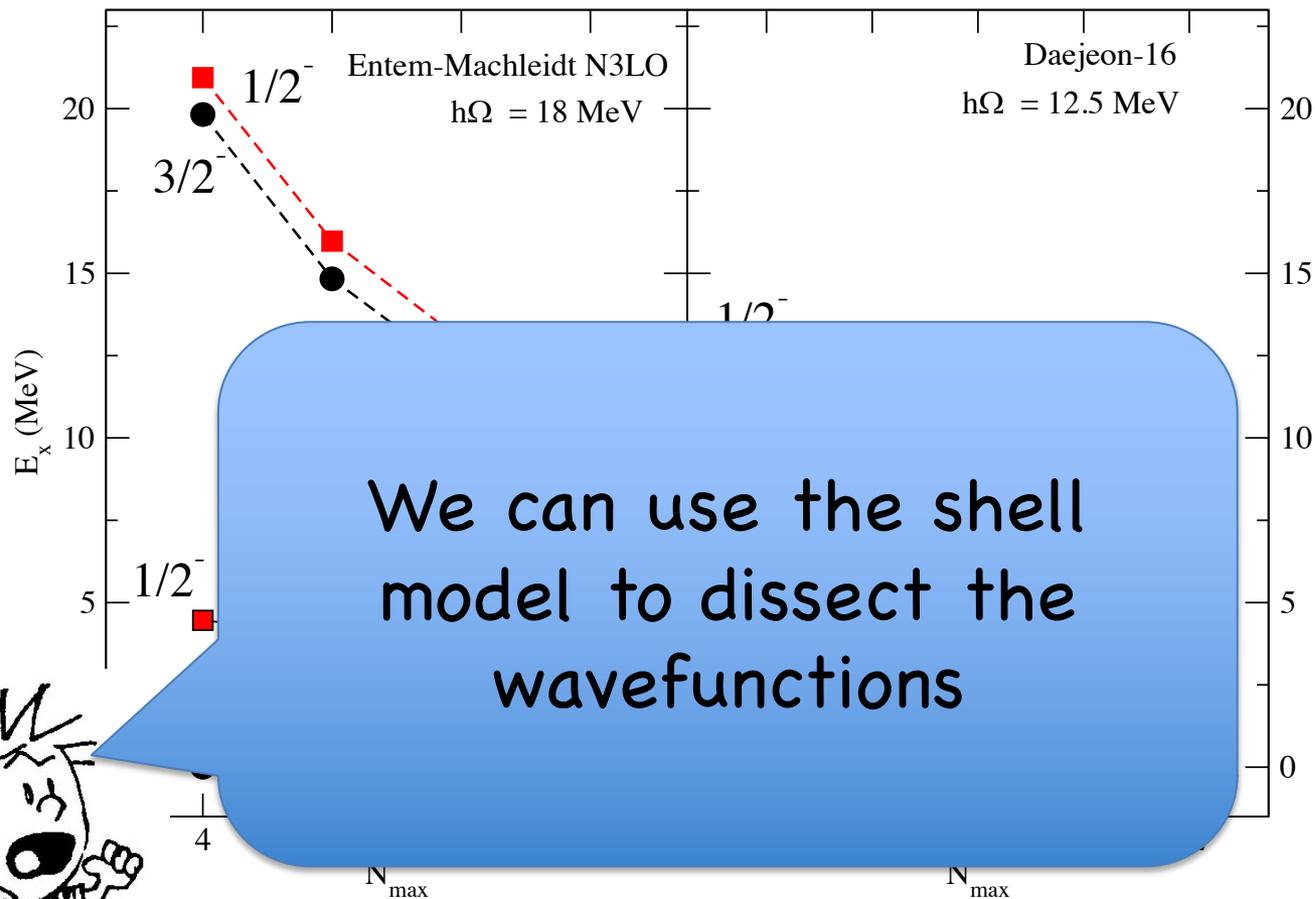
# CASE STUDY: $^{11}\text{Li}$



# CASE STUDY: $^{11}\text{Li}$



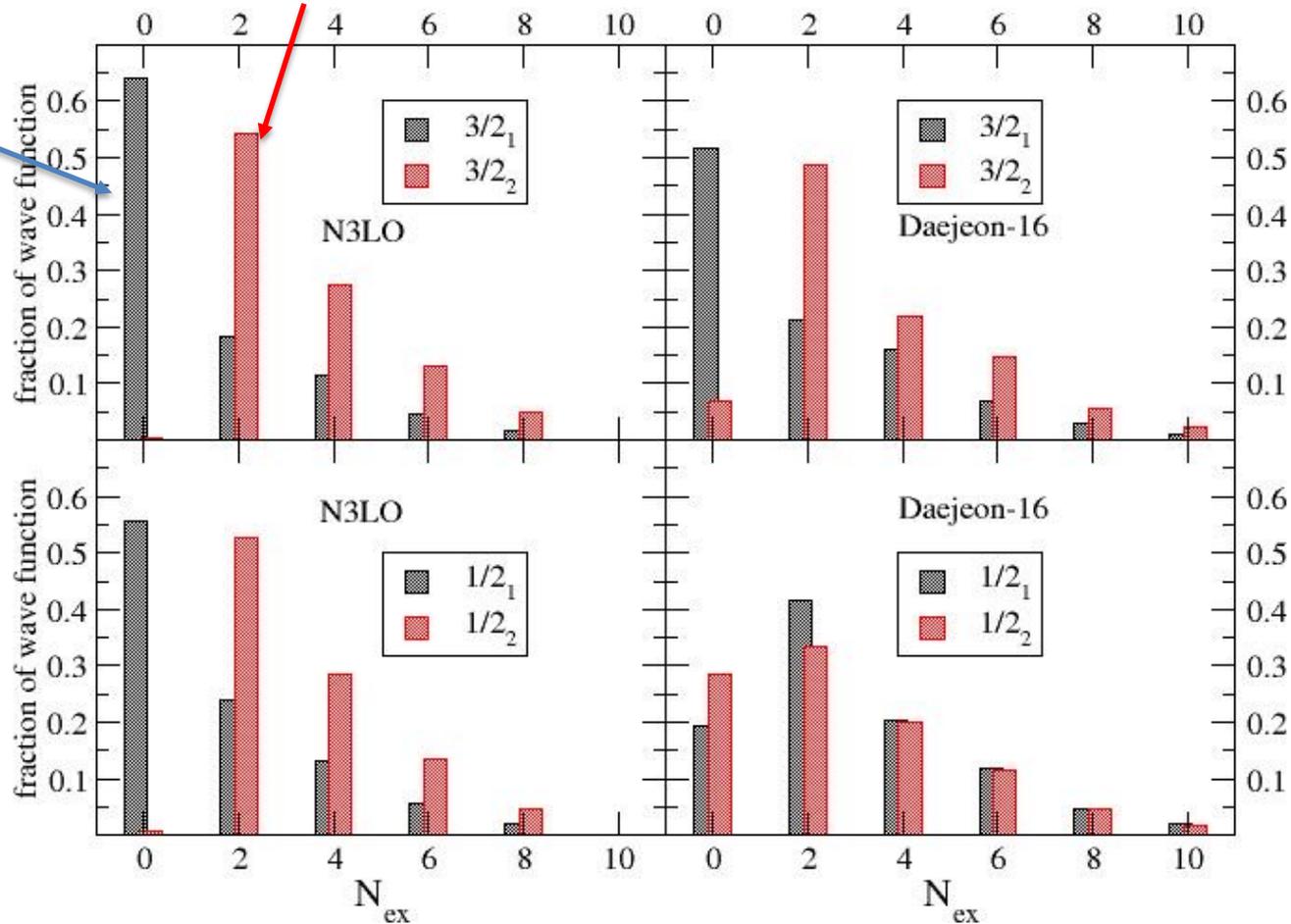
# CASE STUDY: $^{11}\text{Li}$



# CASE STUDY: $^{11}\text{Li}$

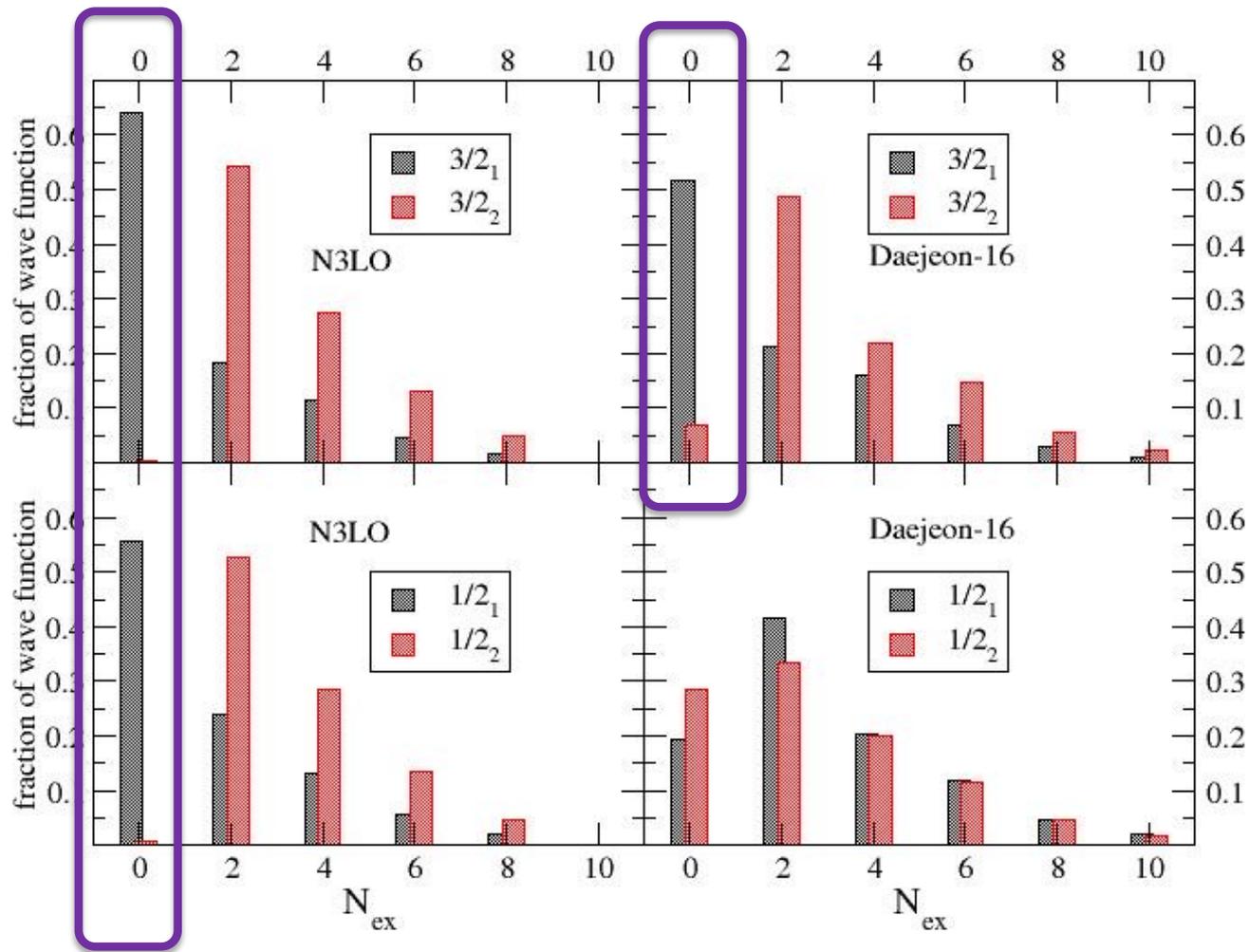
"intruder"

"normal"



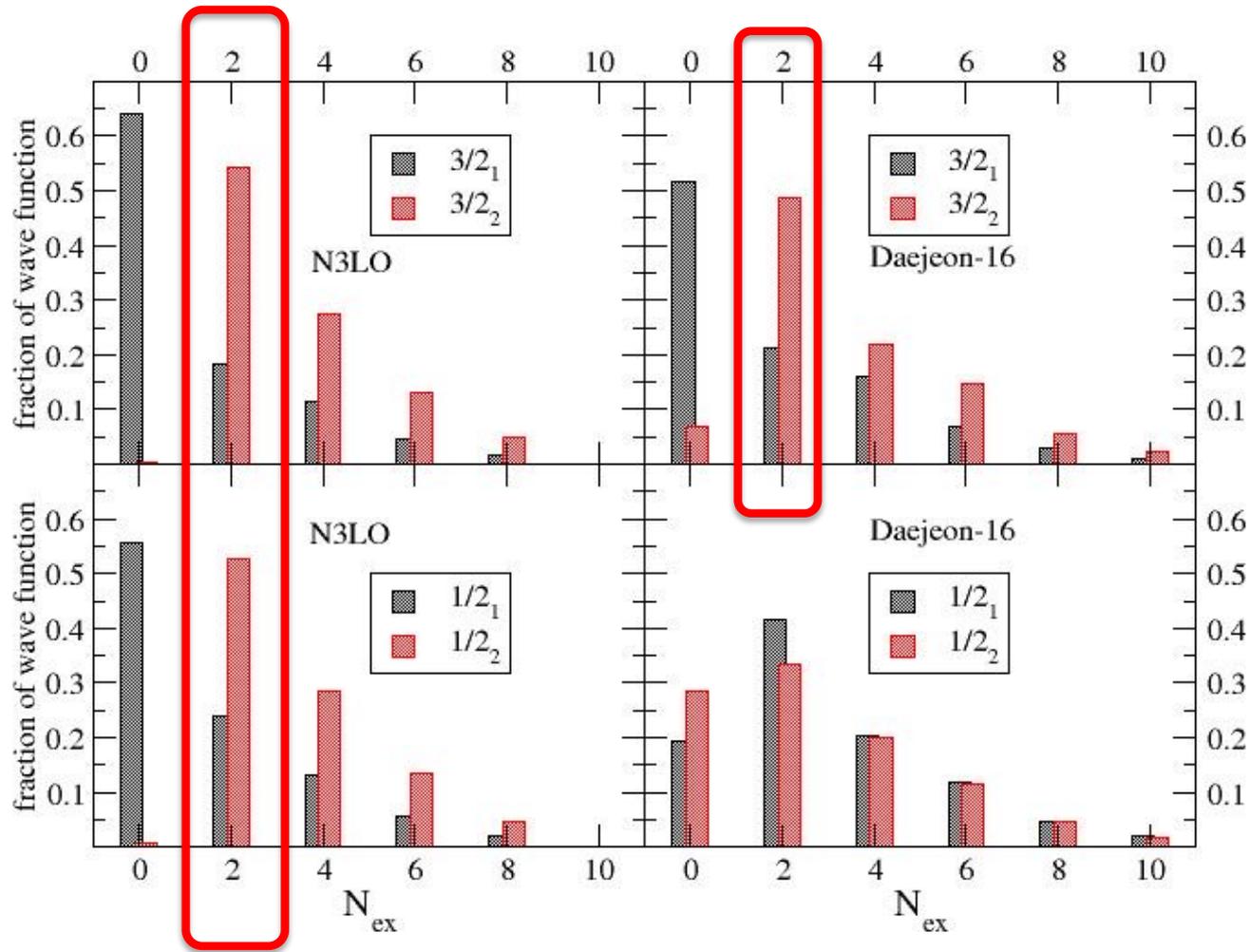
Primarily  
valence space

# CASE STUDY: $^{11}\text{Li}$

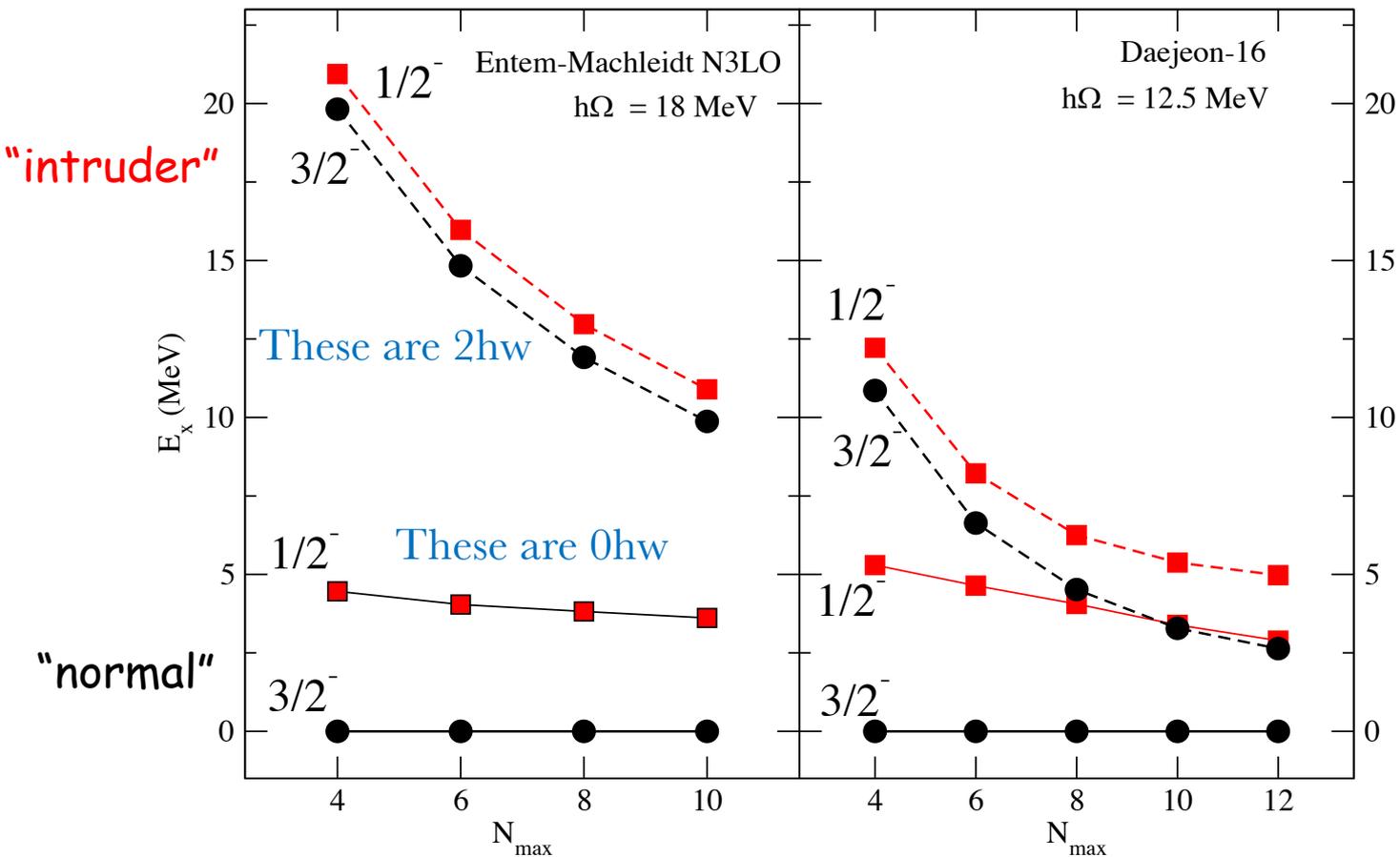


# "intruder" CASE STUDY: $^{11}\text{Li}$

Primarily  
2p-2h

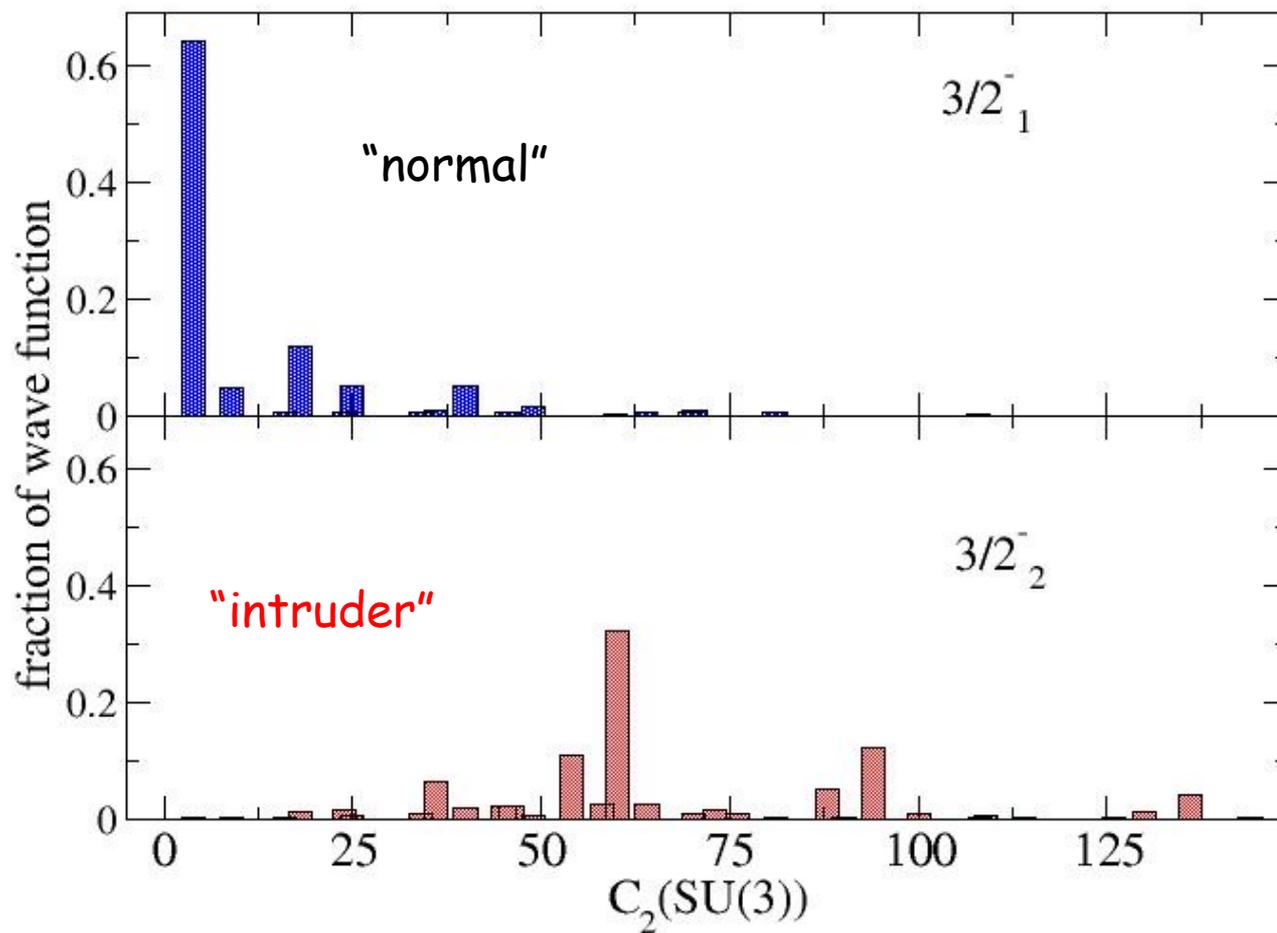


# CASE STUDY: $^{11}\text{Li}$



# CASE STUDY: $^{11}\text{Li}$

→ more deformed

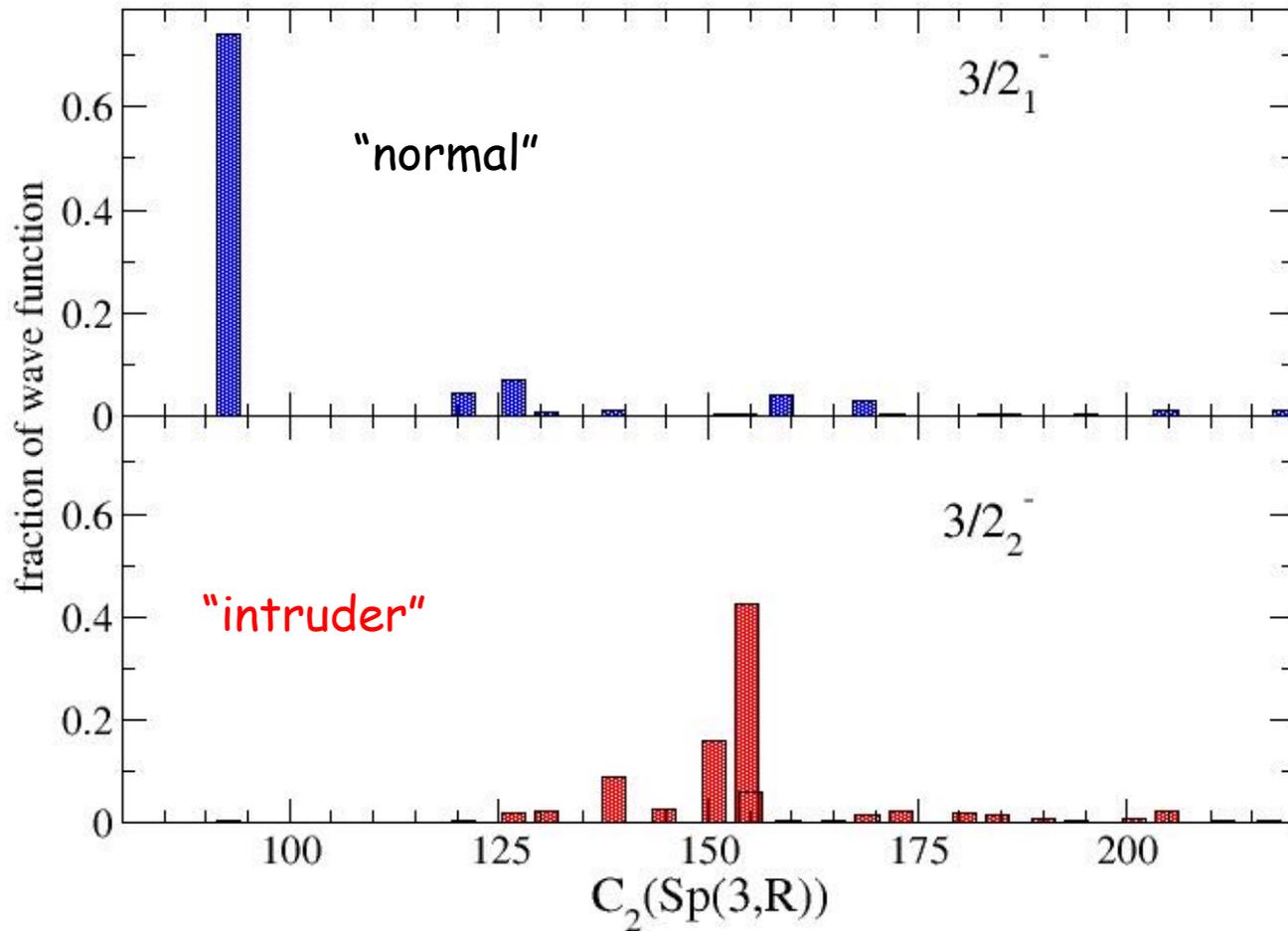


Group-  
theoretical  
Decomposition

Elliot SU(3)

# CASE STUDY: $^{11}\text{Li}$

→ more deformed

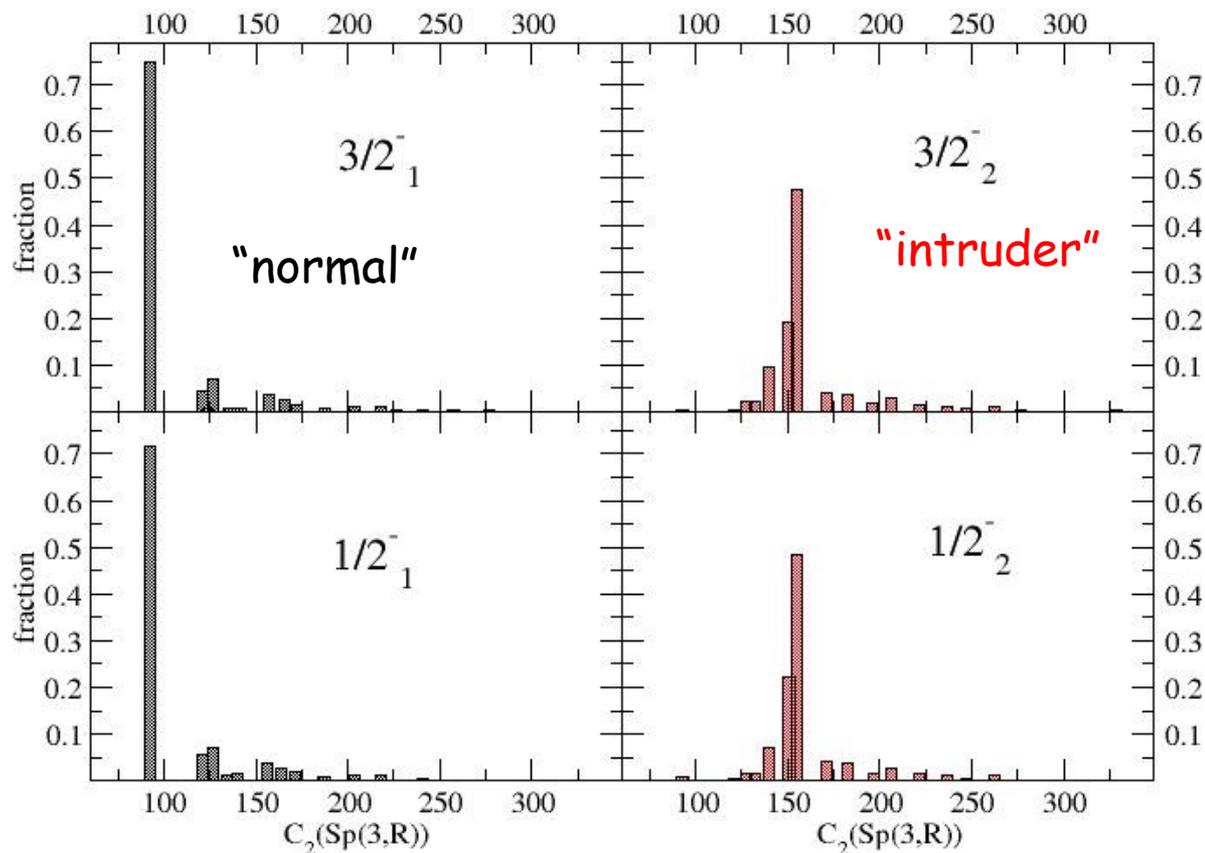


Group-theoretical  
Decomposition

Symplectic  
 $\text{Sp}(3,\mathbb{R})$

# CASE STUDY: $^{11}\text{Li}$

→ more deformed

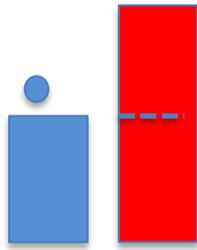


Group-theoretical  
Decomposition

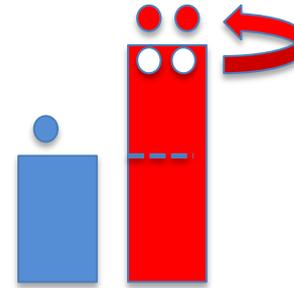
Symplectic  
 $\text{Sp}(3,\mathbb{R})$

# CASE STUDY: $^{29}\text{F}$

$^{29}\text{F}$  is an analog of  $^{11}\text{Li}$



One proton outside a  
filled shell  
+ filled neutron shell

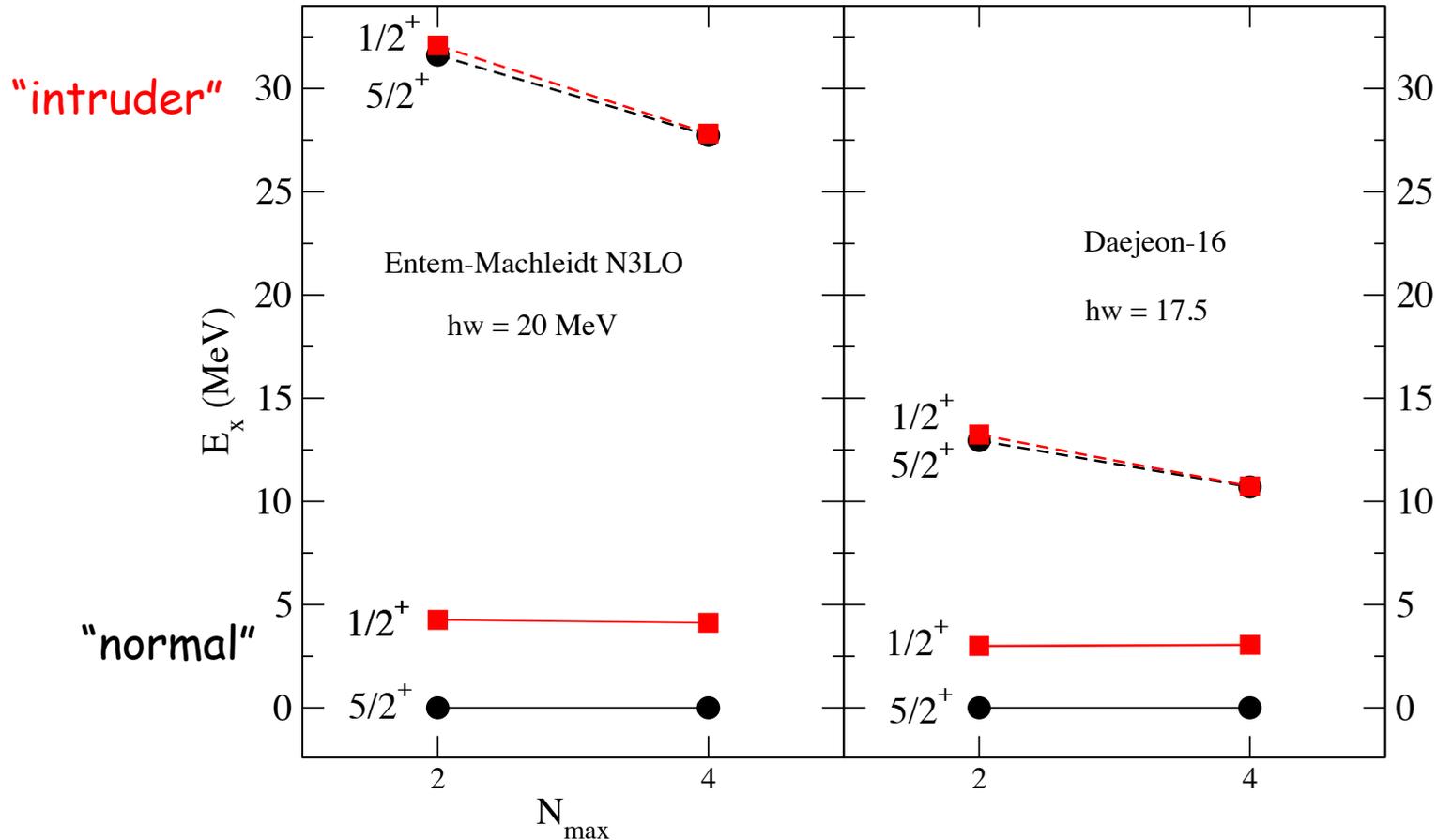


One proton outside a  
filled shell  
+ neutron 2p-2h

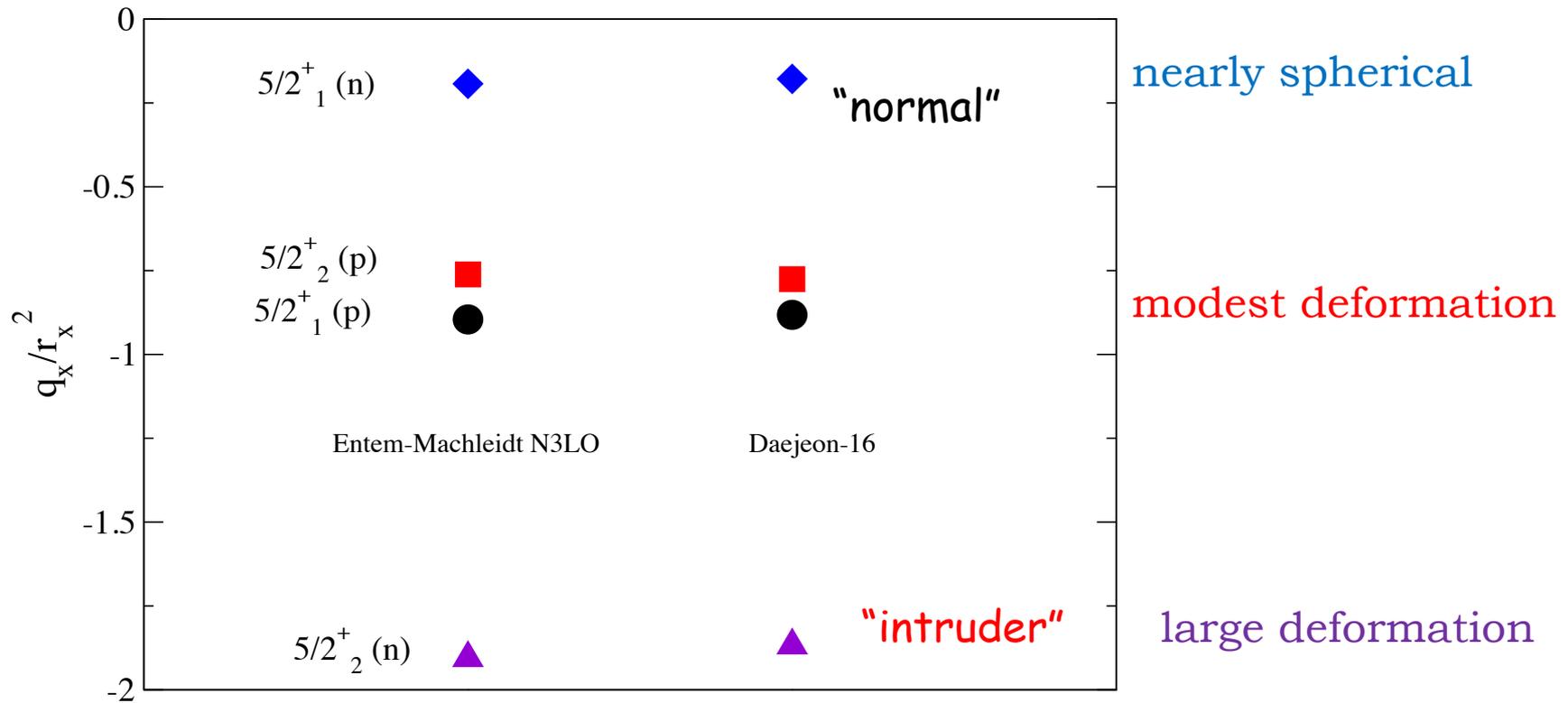
“island of inversion”

# CASE STUDY: $^{29}\text{F}$

$^{29}\text{F}$  is an analog of  $^{11}\text{Li}$

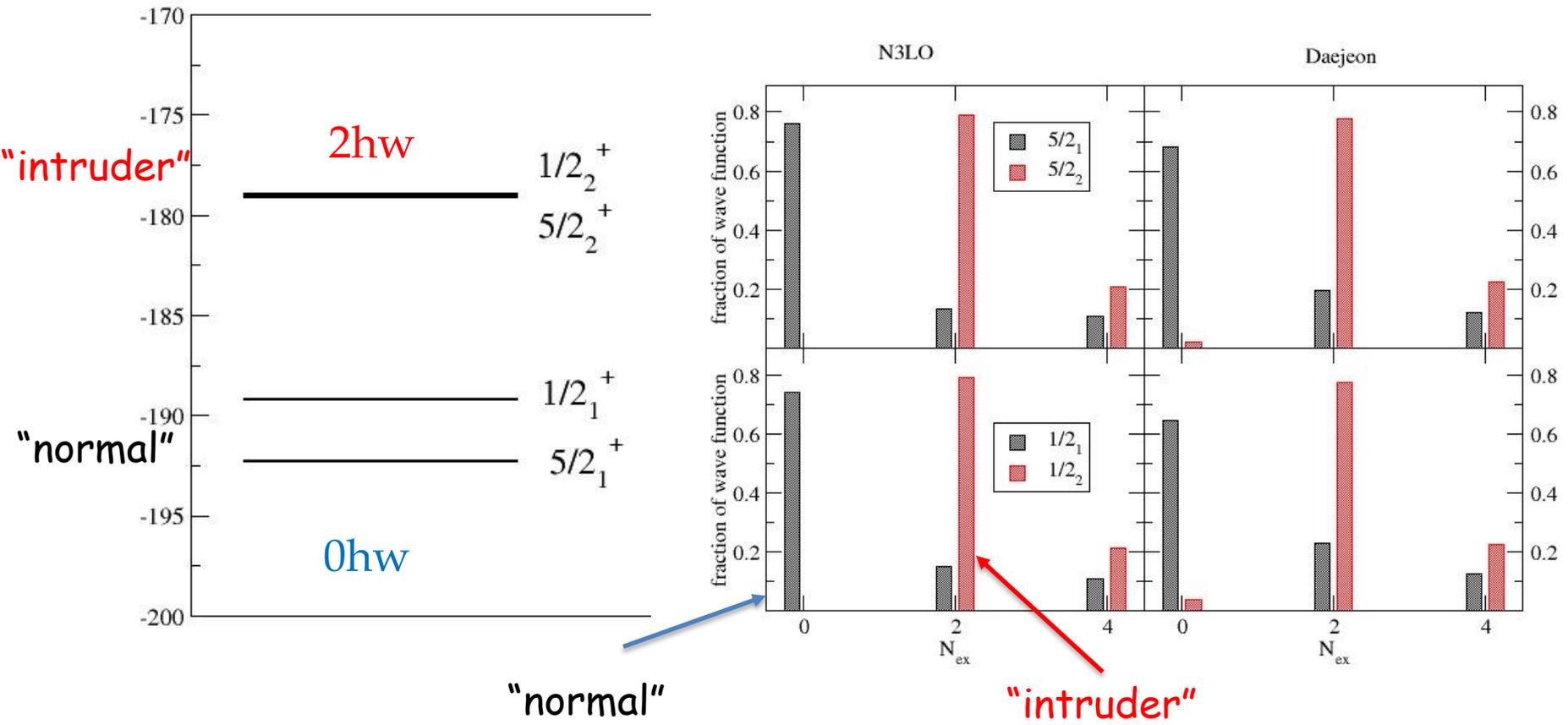


# CASE STUDY: $^{29}\text{F}$

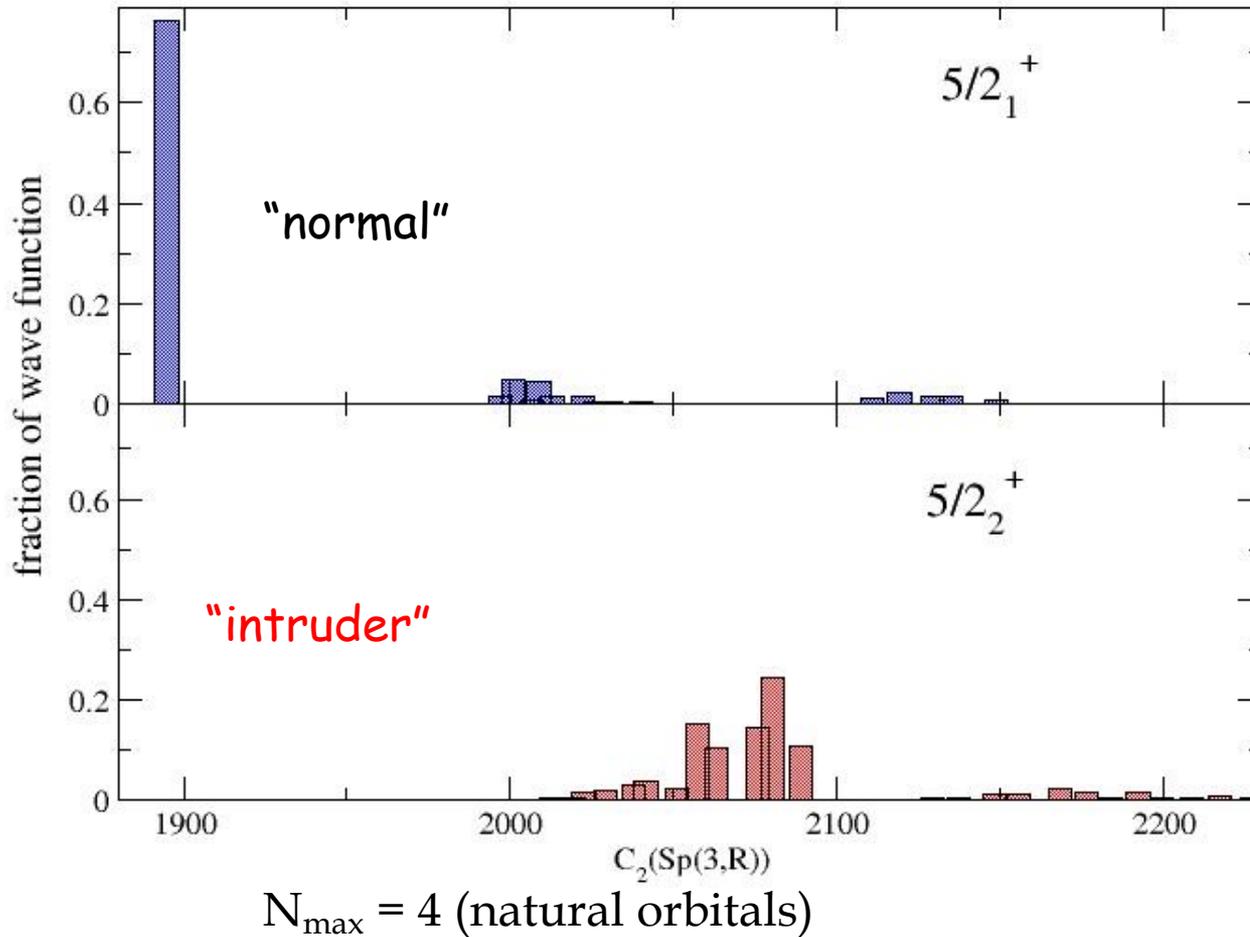


# CASE STUDY: $^{29}\text{F}$

$^{29}\text{F}$  is an analog of  $^{11}\text{Li}$



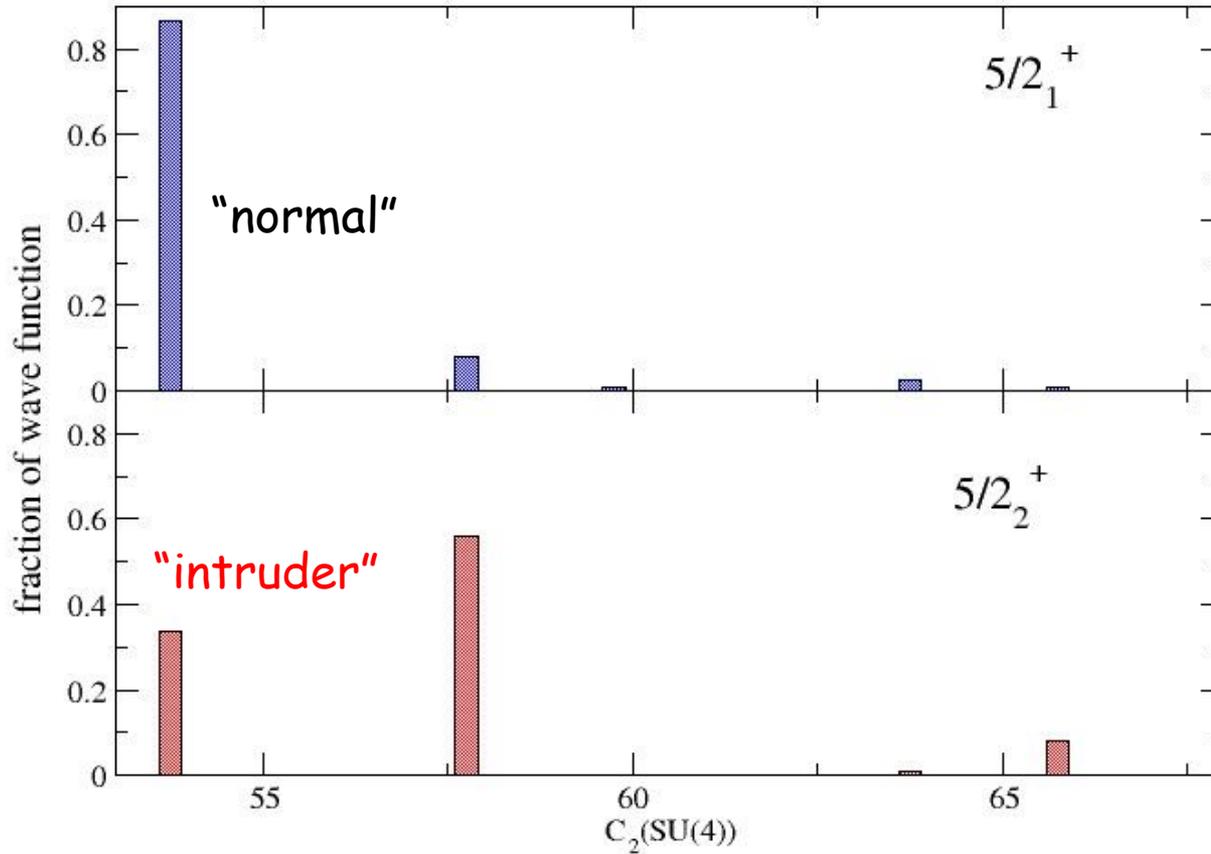
# CASE STUDY: $^{29}\text{F}$



Group-  
theoretical  
Decomposition

Symplectic  
 $\text{Sp}(3,\mathbb{R})$

# CASE STUDY: $^{29}\text{F}$



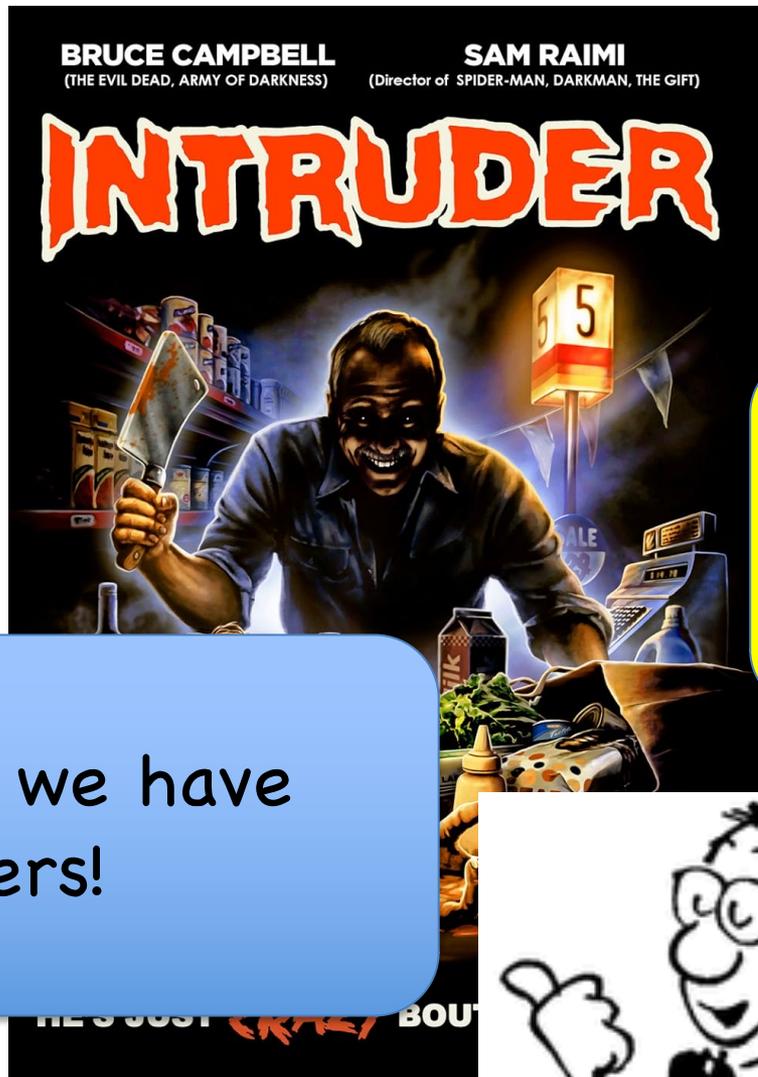
$N_{\text{max}} = 4$ , natural orbitals

Group-  
theoretical  
decomposition

$\text{SU}(4)$

So basically we have intruders!





Yikes! Intruders are scary!

So basically we have intruders!



# Summary

- Today we can perform huge configuration-interaction calculations, with billions of basis states – how do we understand all those numbers?
- \* We can turn to group theory to gain insight – even without understanding much group theory – and **see** band structure
- We can also use group decompositions to analyze the island of inversion, where we can see the states actually look quite ‘simple’
- This suggests – without prior assumption – that group theory can indeed assist in tackling large problems.

Gracias!

Additional slides  
for curious people



## Some technical details

Casimir

$$\hat{C} |z, \alpha\rangle = z |z, \alpha\rangle$$

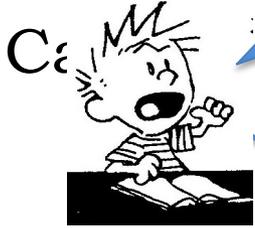
For some wavefunction  $|\Psi\rangle$ , we define  
the *fraction of the wavefunction in an irrep*

$$F(z) = \sum_{\alpha} \left| \langle z, \alpha | \Psi \rangle \right|^2$$





How are those  
decompositions calculated?



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the *fraction of the wavefunction in an irrep*

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How are those decompositions calculated?

Naïve method: Solve eigenpair problems, e.g.

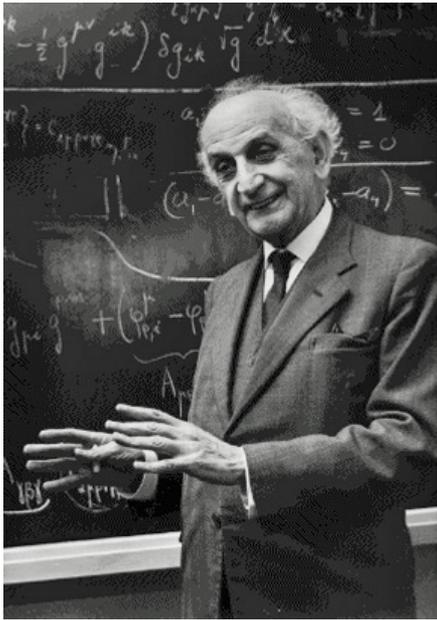
$$\mathbf{H} | \Psi_n \rangle = E_n | \Psi_n \rangle$$

and

$$\mathbf{L}^2 | l; \alpha \rangle = l(l+1) | l; \alpha \rangle$$

...and then take overlaps,  $|\langle l; \alpha | \Psi_n \rangle|^2$

**PROBLEM:** the spectrum of  $\mathbf{L}^2$  is highly degenerate (labeled by  $\alpha$ );  
Need to sum over all  $\alpha$  not orthogonal to  $|\Psi_n \rangle$  !



(Cornelius Lanczos)

$$\mathbf{A}\vec{v}_1 = \alpha_1\vec{v}_1 + \beta_1\vec{v}_2$$

$$\mathbf{A}\vec{v}_2 = \beta_1\vec{v}_1 + \alpha_2\vec{v}_2 + \beta_2\vec{v}_3$$

$$\mathbf{A}\vec{v}_3 = \beta_2\vec{v}_2 + \alpha_3\vec{v}_3 + \beta_3\vec{v}_4$$

$$\mathbf{A}\vec{v}_4 = \beta_3\vec{v}_3 + \alpha_4\vec{v}_4 + \beta_4\vec{v}_5$$

Starting from some initial vector (the “pivot”)  $v_1$ , the Lanczos algorithm iteratively creates a new basis (a “Krylov space”) in which to diagonalize the matrix  $\mathbf{A}$ .

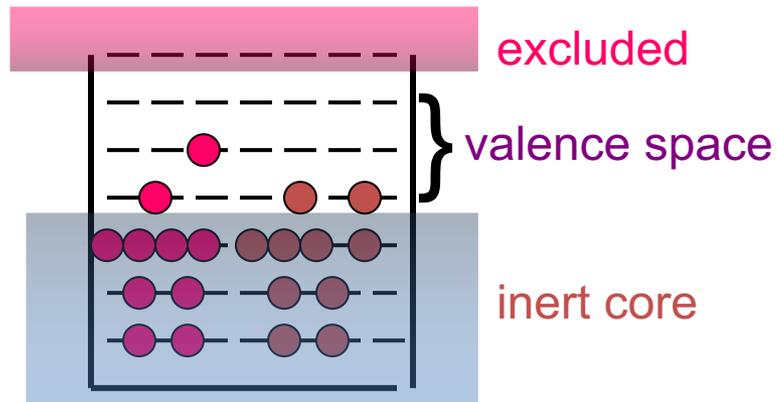
Eigenvectors are then expressed as a linear combination of the “Lanczos vectors”:

$$|\psi\rangle = c_1 |v_1\rangle + c_2 |v_2\rangle + c_3 |v_3\rangle + \dots$$

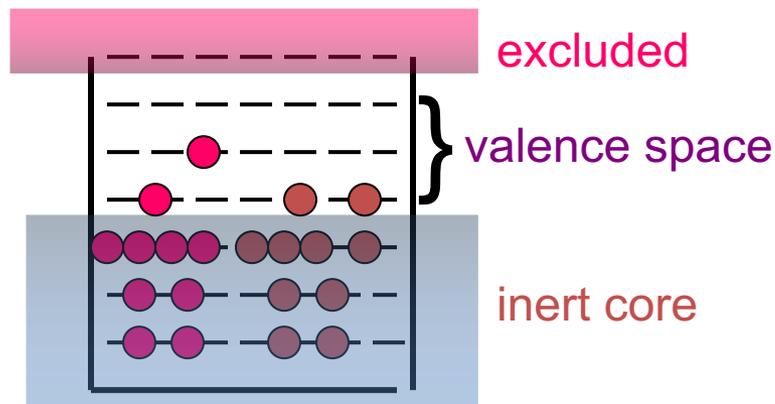
There are roughly two  
kinds of shell model  
calculations



“Phenomenological” calculations work in a fixed space, usually with a core

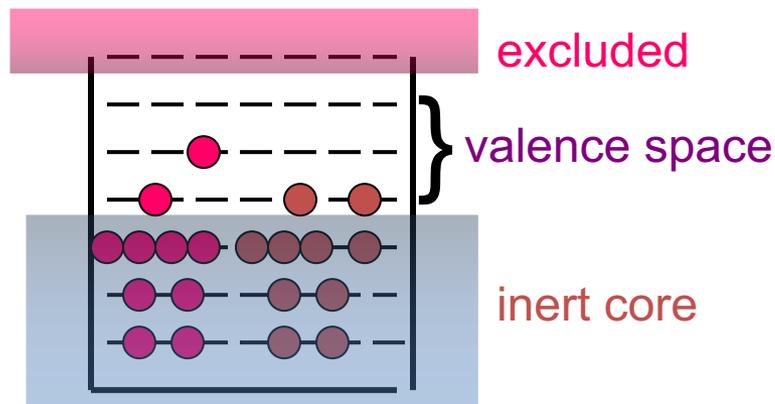


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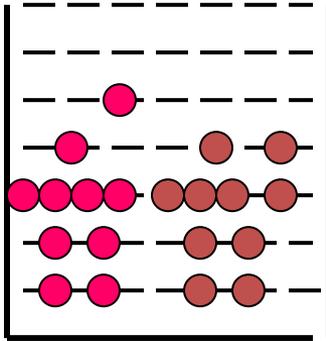


The interactions are fit to many-body spectra (e.g., to nuclear spectra between oxygen and calcium...)

Such interactions, however, are limited to a specific model space (e.g., the *sd* shell)

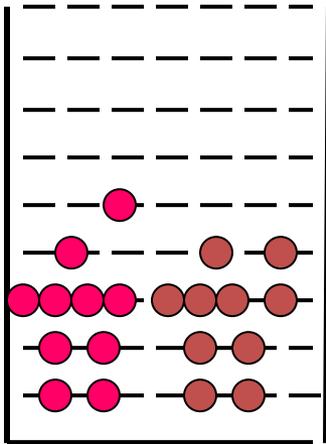


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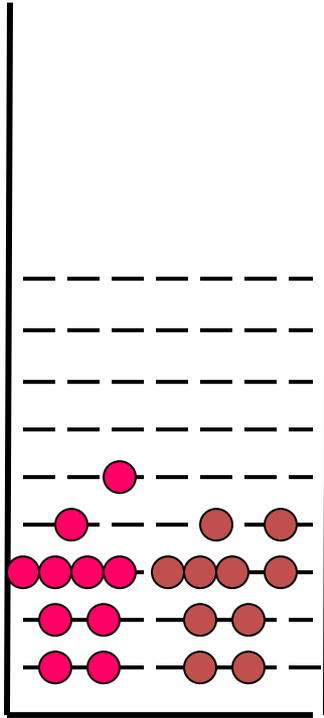


"No-core" shell model (NCSM)  
calculations do not have a fixed space

Instead they take the limit as the model space is increased



"No-core" shell model (NCSM) calculations do not have a fixed space



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"No-core" shell model (NCSM) calculations do not have a fixed space

The interaction is calculated from an *ab initio* theory, such as chiral effective field theory

Nuclear force  
from, e.g.,  
EFT breaking  
chiral  
symmetry



$$\left( \sum_i -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i<j} V(\vec{r}_i - \vec{r}_j) \right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3 \dots) = E\Psi$$