From Exact to Partial Dynamical Symmetries: Lessons From the Interacting Boson Model

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International Conference "Beauty in Physics: Theory and Experiment", In honor of Francesco Iachello on the occasion of his 70th birthday Cocoyoc, Mexico, May 14-18, 2012 Dynamical Symmetry

$$G_{\text{dyn}} \supset G \supset \dots \supset G_{\text{sym}}$$

$$\downarrow \qquad \downarrow \qquad \qquad \downarrow$$

$$[N] \quad \langle \Sigma \rangle \qquad \qquad \Lambda$$

$$\hat{H} = \sum_{G} a_{G} \hat{C}_{G}$$

- Solvability of the complete spectrum
- Quantum numbers for **all** eigenstates

eigenvalues
$$E = E_{[N]\langle\Sigma\rangle\dots\Lambda}$$

eigenstates $|[N]\langle\Sigma\rangle\Lambda\rangle$
operators $\hat{T}_{[n]\langle\sigma\rangle\lambda}$

• IBM: s (L=0), d (L=2) bosons, N conserved (Arima, Iachello 75)

 $U(6) \supset U(5) \supset O(5) \supset O(3)$ $|[N] n_d \tau n_A L\rangle$ Spherical vibrator $U(6) \supset SU(3) \supset O(3)$ |[N] (λ , μ) K L > Axial rotor $U(6) \supset O(6) \supset O(5) \supset O(3)$ $|[N] \sigma \tau n_{\Lambda} L \rangle \gamma$ -unstable rotor

• IBM: s (L=0), d (L=2) bosons, N conserved (Arima, Iachello 75)

 $\begin{array}{ll} U(6) \supset U(5) \supset O(5) \supset O(3) & |[N] \ n_d \ \tau \ n_\Delta \ L \rangle & \text{Spherical vibrator} \\ U(6) \supset SU(3) \ \supset O(3) & |[N] \ (\lambda \ ,\mu \) \ K \ L \ \rangle & \text{Axial rotor} \\ U(6) \supset O(6) \supset O(5) \supset O(3) & |[N] \ \sigma \ \tau \ n_\Delta \ L \ \rangle & \gamma \text{-unstable rotor} \end{array}$

• K-band degeneracies

$$E_{\beta}(L) = E_{\gamma}(L)$$
SU(3)

- rotational splitting CL(L+1)
 deviations: odd-even staggering in the γ-band
- band anharmonicities

$$R = \frac{E(v=2)}{E(v=1)} - 2$$

• **QPTs:** incompatible symmetries

 $R_{O(6)} = -\frac{2}{N+1}$

⇒ need to break the exact dynamical symmetry (how?) higher order terms (1+2+3 body terms 2+7+17 parameters) Dynamical Symmetry

$$\begin{array}{ccc} G_{\mathrm{dyn}} \supset \ G \ \supset \cdots \supset G_{\mathrm{sym}} \\ \downarrow & \downarrow & \downarrow \\ [N] & \langle \Sigma \rangle & & \Lambda \end{array}$$
$$\hat{H} = \mathop{\scriptstyle \sum}_{G} a_G \, \hat{C}_G \end{array}$$

Solvability of the complete spectrum

 $E = E_{[N]\langle \Sigma \rangle \dots \Lambda}$

• Quantum numbers for **all** eigenstates

eigenstates
$$|[N]\langle \Sigma \rangle \Lambda \rangle$$

operators $\hat{T}_{[n]\langle \sigma \rangle \lambda}$

Partial Dynamical Symmetry

Only part of these properties are obeyed

PDS

$$G_{\rm dyn} \supset G \supset \cdots \supset G_{\rm sym}$$

$$[N] \quad \langle \Sigma \rangle \qquad \Lambda$$

n-particle annihilation operator

Equivalently:

$$\hat{T}_{[n]\langle\sigma\rangle\lambda}|[\mathbf{N}]\langle\Sigma_{\mathbf{0}}\rangle\Lambda\rangle=\mathbf{0}$$

$$\hat{T}_{[n]\langle\sigma\rangle\lambda}|[\mathbf{N}]\langle\Sigma_{\mathbf{0}}\rangle\rangle=\mathbf{0}$$

for all possible Λ contained in the irrep $\left< \Sigma_0 \right>$ of G

Lowest weight state \rangle

• Condition is satisfied if $\langle \sigma \rangle \otimes \langle \Sigma_0 \rangle \notin [N-n]$

n-body
$$\hat{H}' = \sum_{\alpha,\beta} A_{\alpha\beta} \hat{T}^{\dagger}_{\alpha} \hat{T}_{\beta}$$

 $\hat{H}_{PDS} = \hat{H}_{DS} + \hat{H}'$

DS is **broken** but solvability of states with $\langle \Sigma \rangle = \langle \Sigma_0 \rangle$ Is preserved

SU(3) PDS

 $\begin{array}{ll} U(6) \supset SU(3) \supset SO(3) \\ [N] & (\lambda, \mu) & K & L \end{array}$

 $\hat{B}^{\dagger}_{[n](\lambda,\mu)\ell m}$

$$\left. \begin{array}{l} P_0^{\dagger} = d^{\dagger} \cdot d^{\dagger} - 2 \, (s^{\dagger})^2 \\ P_{2,\mu}^{\dagger} = 2 \, s^{\dagger} d_{\mu}^{\dagger} + \sqrt{7} (d^{\dagger} d^{\dagger})_{\mu}^{(2)} \end{array} \right\} \, \left(\lambda, \mu \right) = \left(0, 2 \right)$$

$$P_{\ell,\mu}|[N](2N,0)L\rangle = 0 \qquad |N;\beta = \sqrt{2}\rangle = (N!)^{-1/2} (b_c^{\dagger})^N |0\rangle \quad (\lambda,\mu) = (2N,0)$$
$$P_{\ell,\mu}|N;\beta = \sqrt{2}\rangle = 0 \qquad b_c^{\dagger} = (\sqrt{2} d_0^{\dagger} + s^{\dagger})/\sqrt{3}$$

SU(3) PDS

$$H = h_0 P_0^{\dagger} P_0 + h_2 P_2^{\dagger} \cdot \tilde{P}_2$$
 (λ,μ) = (0,0) \oplus (2,2)

SU(3) DS

$$H(h_0 = h_2) = \left[-\hat{C}_{SU(3)} + 2\hat{N}(2\hat{N} + 3) \right] \qquad (\lambda, \mu) = (0, 0)$$

 $P_0|[N](2N-4k,2k), K=2k,L\rangle = 0$ k=1,2,...

• Solvable bands: g(K=0), γ^{k} (K=2k) good SU(3) symmetry (2N-4k,2k) $E_{k} = 6h_{2}(2N + 1 - 2k)$ • Other bands: mixed

¹⁶⁸Er

B(E2) branching ratios from states in the γ band

J_i^{π}	J_f^{π}	EXP	PDS	WCD	J_i^{π}	J_f^{π}	EXP	PDS	WCD
2^+_{γ}	0_{g}^{+}	54.0	64.27	66.0	6^+_{γ}	4_{g}^{+}	0.44	0.89	0.97
	2_{g}^{+}	100.0	100.0	100.0		6_{g}^{+}	3.8	4.38	4.3
	4_{g}^{+}	6.8	6.26	6.0		8_{g}^{+}	1.4	0.79	0.73
3^+_{γ}	2_{g}^{+}	2.6	2.70	2.7		4^+_{γ}	100.0	100.0	100.0
	4_{g}^{+}	1.7	1.33	1.3		5^+_{γ}	69.0	58.61	59.0
	2^+_{γ}	100.0	100.0	100.0	7^+_{γ}	6_{g}^{+}	0.74	2.62	2.7
4^+_{γ}	2_{g}^{+}	1.6	2.39	2.5		5^+_{γ}	100.0	100.0	100.0
	4_{g}^{+}	8.1	8.52	8.3		6^+_{γ}	59.0	39.22	39.0
	6_{g}^{+}	1.1	1.07	1.0	8^+_{γ}	6_{g}^{+}	1.8	0.59	0.67
	2^+_{γ}	100.0	100.0	100.0		8^+_{γ}	5.1	3.57	3.5
5^+_{γ}	4_{g}^{+}	2.91	4.15	4.3		6^+_{γ}	100.0	100.0	100.0
	6_{g}^{+}	3.6	3.31	3.1		7^+_{γ}	135.0	28.64	29.0
	3^+_{γ}	100.0	100.0	100.0					
	4^+_{γ}	122.0	98.22	98.5					



 $T(E2) = \alpha \,\hat{Q} + \theta \,(d^{\dagger}s + \tilde{d}s)$

SU(3) PDS and higher-order terms

$$\begin{array}{ll} U(6) \supset SU(3) \supset SO(3) \\ [N] & (\lambda, \mu) & K & L \end{array}$$

$$\hat{B}^{\dagger}_{[n](\lambda,\mu)K;\ell m}$$
 $\hat{H}_{PDS} = \sum_{\alpha\beta} u_{\alpha\beta} \hat{B}^{\dagger}_{\alpha} \hat{B}_{\beta}$

 $\hat{B}_{\alpha}|[\mathbf{N}] (\mathbf{2N,0})\mathbf{K=0,L}\rangle = \mathbf{0}$

for **all L** contained in the SU(3) irrep $(\lambda, \mu) = (2N, 0)$

n = 2 (λ,μ) = (0,2) $P_0^{\dagger} = d^{\dagger} \cdot d^{\dagger} - 2(s^{\dagger})^2$, $P_{2,\mu}^{\dagger} = 2s^{\dagger}d_{\mu}^{\dagger} + \sqrt{7}(d^{\dagger}d^{\dagger})_{\mu}^{(2)}$

$$\hat{\theta}_{2} \equiv P_{0}^{\dagger}P_{0} + P_{2}^{\dagger} \cdot \tilde{P}_{2} = \left[-\hat{C}_{2} + 2\hat{N}(2\hat{N}+3)\right]$$
$$\hat{C}_{2} = 2\hat{Q} \cdot \hat{Q} + \frac{3}{4}\hat{L} \cdot \hat{L}$$

n = 3 (λ,μ) = (2,2)

 $W_0^{\dagger} = 5P_0^{\dagger}s^{\dagger} - P_2^{\dagger} \cdot d^{\dagger} \quad , \quad W_{2,\mu}^{\dagger} = P_0^{\dagger}d_{2,\mu}^{\dagger} + 2P_{2,\mu}^{\dagger}s^{\dagger}$

 $V_{2,\mu}^{\dagger} = 6P_0^{\dagger} d_{2,\mu}^{\dagger} - P_{2,\mu}^{\dagger} s^{\dagger} , \quad W_{\ell,\mu}^{\dagger} = (P_2^{\dagger} d^{\dagger})_{\mu}^{(\ell)} \quad \ell = 3, 4$

n = 3 $(\lambda,\mu) = (0,0)$ $\Delta^{\dagger} = P_0^{\dagger} s^{\dagger} + P_2^{\dagger} \cdot d^{\dagger}$ $\hat{C}_3 = -4\sqrt{7} \hat{Q} \cdot (\hat{Q} \times \hat{Q})^{(2)} - \frac{9}{2}\sqrt{3} \hat{Q} \cdot (\hat{L} \times \hat{L})^{(2)}$

$$\hat{H}_{DS} = \xi_1 \hat{\theta}_2 + \xi_2 \Lambda^{\dagger} \Lambda + \rho \hat{L} \cdot \hat{L}$$

solvable $|[N](\lambda,\mu)K,L\rangle \quad (\lambda,\mu) = (2N - 4k - 6m, 2k)$ full DS $\hat{H}_{PDS} = \sum_{\alpha\beta} u_{\alpha\beta} \hat{B}^{\dagger}_{\alpha} \hat{B}_{\beta}$ $\hat{B}_{\alpha}|[N](2N,0), K=0, L\rangle = 0$ g(K=0) solvable, other bands mixed PDS $\hat{H}_{PDS-1} = \hat{H}_{DS} + \xi_3 P_0^{\dagger} P_0 + \xi_4 P_0^{\dagger} s^{\dagger} s P_0 + \xi_5 \left(\Lambda^{\dagger} s P_0 + P_0^{\dagger} s^{\dagger} \Lambda \right)$ $\begin{array}{ll} \mathsf{PDS-1} & P_0|[N](2N-4k,2k), K=2k,L\rangle = 0\\ & \\ & \Lambda|[N](2N-4k,2k), K,L\rangle = 0 \end{array} \end{array} \left. \begin{array}{l} \mathsf{g(K=0), \gamma^k(K=2k) \ solvable} \end{array} \right.$ $\hat{H}_{PDS-2} = \hat{H}_{DS} + \xi_6 W_2^{\dagger} \cdot \tilde{W}_2 + \xi_7 W_3^{\dagger} \cdot \tilde{W}_3$ **PDS-2** $W_{\ell,\mu}[N](2N-4,2), K=0, L = 0$ $\ell = 2, 3$ **g(K=0), \beta(K=0) solvable**

 $\hat{\Omega} = -4\sqrt{3}\,\hat{Q}\cdot(\hat{L}\times\hat{L})^{(2)}$ solvable, diagonal in (λ , μ), lifts K-degeneracy! can be expressed in terms of \hat{H}_{PDS-1} and \hat{H}_{PDS-2} SU(3) PDS and staggering in the γ -band



 $U(6) \supset SU(3) \supset SO(3)$ $[N] \quad (\lambda,\mu) \quad K \quad L$ $E_{DS} = A f(\lambda,\mu) + B L(L+1)$

• 156Gd a good example of SU(3)-DS

• SU(3)-PDS provides a good description of ground (2N,0) and β (2N-4,2) bands



 poor description of odd-even staggering in the γ-band at high L

- SU(3)-DS: good description of states in the ground (2N,0) and beta (2N-4,2) bands poor description of odd-even staggering in the gamma band
- Need to **break** the SU(3)-DS in the gamma band but **preserve** it in the ground and beta bands

⇒ Partial Dynamical Symmetry (PDS)



odd even staggering (OES) in terms of band mixing

- SU(3) conserving: $\hat{H} = \hat{H}_{DS} + \hat{\Omega}$ β , $\gamma \in (2N-4,2)$ (Bonatsos, 1988) cannot describe OES in nuclei for which $E_{\beta} > E_{\gamma}$ (e.g. ¹⁵⁶Gd)
- VBM: **g**, $\gamma \in (\lambda, 2)$ cannot reproduce OES in ¹⁵⁶Gd (Minkov et al., 2000)
- SU(3)-PDS: γ band mixed with higher bands



O(6) PDS and higher-order terms

2

¹⁹⁶Pt (N=6)



anharmonicity
$$R = \frac{E(v=2)}{E(v=1)}$$

EXP

SO(6)-DS

$$R = -\frac{2}{N+1} = -0.29$$

• fit to energies of excited bands is quite poor

R = -0.70

 $\begin{array}{l} U(6) \supset SO(6) \supset SO(5) \supset SO(3) \\ [N] \quad & \langle \Sigma \rangle \qquad (\tau) \qquad L \end{array}$

 $\mathbf{E}_{\mathsf{DS}} = \mathsf{A} \Sigma(\Sigma + 4) + \mathsf{B}\tau(\tau + 3) + \mathsf{C} \mathsf{L}(\mathsf{L}+1)$

 SO(6)-DS provides a good description for states in the ground band (Σ = N)

• SO(6)-DS is manifested empirically in ¹⁹⁶Pt

Transition	Experiment	DS		
$2^+_1 ightarrow 0^+_1$	0.274(1)	0.274		
$2^+_2 \rightarrow 2^+_1$	0.368(9)	0.358		
$2^+_2 ightarrow 0^+_1$	$3.10^{-8}(3)$	0.0018		
$4^+_1 \rightarrow 2^+_1$	0.405(6)	0.358		
$0^+_2 ightarrow 2^+_2$	0.121~(67)	0.365		
$0^+_2 \rightarrow 2^+_1$	0.019(10)	0.003		
$4^+_2 \rightarrow 4^+_1$	0.115(40)	0.174		
$4^+_2 \rightarrow 2^+_2$	0.196(42)	0.191		
$4^+_2 \rightarrow 2^+_1$	0.004(1)	0.001		
$6^+_1 \rightarrow 4^+_1$	0.493(32)	0.365		
$2^+_3 ightarrow 0^+_2$	0.034(34)	0.119		
$2^+_3 \rightarrow 4^+_1$	0.0009 (8)	0.0004		
$2^+_3 \rightarrow 2^+_2$	0.0018(16)	0.0013		
$2^+_3 \rightarrow 0^+_1$	0.00002(2)	0		
$6^+_2 \rightarrow 6^+_1$	0.108(34)	0.103		
$6^{\overline{+}}_2 ightarrow 4^{\overline{+}}_2$	0.331(88)	0.221		
$6_2^{\overline{+}} \rightarrow 4_1^{\overline{+}}$	0.0032(9)	0.0008		

- SO(6)-DS: good description of states in the ground band ($\Sigma = N$) poor description of anharmonicity of excited bands
- IBM: large anharmonicities can be incorporated only by the inclusion of at least cubic terms Garcia-Ramos, Arias, Van Isacker, PRC 62, 064309 (2000)

17 possible three-body interactions

 Need to select suitable higher-order terms that can break the SO(6)-DS in excited bands but preserve it in the ground band

⇒ Partial Dynamical Symmetry (PDS)

O(6) PDS and higher-order terms

$$\begin{array}{c|c} U(6) \supset SO(6) \supset SO(5) \supset SO(3) \\ [N] & \langle \Sigma \rangle & (\tau) & L \end{array}$$

$$\hat{B}^{\dagger}_{[n]\langle\sigma\rangle(\tau)\ell m} \quad \sigma < n$$

$$\tilde{B}_{[n^5]\langle\sigma\rangle(\tau)\ell m} \left| \left[\mathbf{N} \right] \left\langle \boldsymbol{\Sigma} \right\rangle = \left\langle \mathbf{N} \right\rangle(\boldsymbol{\tau}) \mathbf{L} \right\rangle = \mathbf{0}$$

for all τ and L contained in the SO(6) irrep $\langle \Sigma \rangle = \langle N \rangle$

$$n = 2 \qquad \hat{B}_{[2]\langle 0 \rangle (0)00}^{\dagger} = \hat{P}_{+} \equiv d^{\dagger} \cdot d^{\dagger} - (s^{\dagger})^{2}$$
$$\hat{P}_{+}\hat{P}_{-} = \left[-\hat{C}_{SO(6)} - \hat{N}(\hat{N}+4)\right]$$

$$\begin{split} n &= 3 \qquad \hat{B}_{[3]\langle 1 \rangle(1)2m}^{\dagger} = \hat{P}_{+} \, d_{m}^{\dagger} \\ &\\ \hat{B}_{[3]\langle 1 \rangle(0)00}^{\dagger} = \hat{P}_{+} \, s^{\dagger} \\ &\\ \hat{P}_{+} \hat{n}_{d} \hat{P}_{-} \ , \quad \hat{P}_{+} \hat{n}_{s} \hat{P}_{-} \qquad \textbf{3-body terms} \end{split}$$



$$\hat{H}_{\mathrm{PDS}} = \hat{H}_{\mathrm{DS}} + \eta \hat{P}_{+} \hat{n}_{s} \hat{P}_{-}$$
 SO(6) PDS (type I)

• States in the ground band

solvable with good SO(6) symmetry $\langle \Sigma \rangle = \langle N \rangle = 6$ same energy $E=E_{DS}$ and same w.f. \Rightarrow same B(E2)

- States in excited bands
- Anharmonicity

strongly mixed (25-40 %)

R = -0.70 (EXP) R = -0.29 (DS) R = -0.63 (PDS)

Garcia-Ramos, Leviatan, Van Isacker, PRL **102**, 112502

Summary

- PDS: role in nuclear spectroscopy, K-degeneracy, odd-even staggering in the γ-band, band anharmonicity, quantum phase transitions, **mixed** regular and chaotic dynamics
- Systematic procedure for identifying and selecting interactions of a given order with PDS
- Construction of Hamiltonians that break the DS but
 retain selected subsets of solvable eigenstates with good symmetry
- Interactions with a PDS can be introduced without destroying results previously obtained with a DS for a segment of the spectrum
- Quantum many-body Hamiltonians can accommodate simultaneously eigenstates with different symmetry character ⇒ PDS appear to be generic
- PDS: symmetry is preserved in some states but is broken in the Hamiltonian
 - \Rightarrow ``Simplicity out of complexity''
- IBM provides a rich environment for nurturing new concepts of symmetry!

Thank you

PDS and Quantum Phase Transitions

G1 and G2 incompatible symmetries

• Are there any symmetries at the critical point $\alpha = \alpha_c$?

⇒ Partial Dynamical Symmetry (PDS)

PDS and Quantum Shape-Phase Transitions



$$H_{cri}(\beta_0) = h_2 P_2^{\dagger} \cdot \tilde{P}_2$$

$$P_{2,\mu}^{\dagger} = eta_0 \sqrt{2} \, s^{\dagger} d_{\mu}^{\dagger} + \sqrt{7} (d^{\dagger} d^{\dagger})_{\mu}^{(2)}$$

$$E_{cri}(\beta, \gamma = 0) = 2h_2 N(N-1)f(\beta)$$
$$f(\beta) = (1+\beta^2)^{-2}\beta^2(\beta-\beta_0)^2$$

eigenstates

:

 $\beta_0 = \sqrt{2} \qquad g(K = 0) \qquad (\lambda, \mu) = (2N, 0)$ $\gamma^k (K = 2k) \qquad (\lambda, \mu) = (2N-4k, 2k)$ $|s^N\rangle \equiv |N, n_d = \tau = L = 0\rangle$ $|N, n_d = \tau = L = 3\rangle$ U(5)

U(5) & SU(3) PDS (type I)

Leviatan, PRL **98**, 242502 (2007)

	4 ⁺ 5 ⁺ 6 ⁺ 7 ⁺		
	(2N-8,4)K=4		
3 ⁺	2 ⁺ 3 ⁺ 4 ⁺ 5 ⁺		
n _d =3	(2N-4,2)K=2		
	-		
0 ⁺	0 ⁺ 2 ⁺ 4 ⁺ 6 ⁺		
n _d =0	(2N,0)K=0		
good U(5)	good SU(3)	m	ixed
	U(5) & SU(3) PDS (type I)		



PDS and mixed regular and chaotic dynamics

SYMMETRY	SYSTEM	DYNAMICS
dynamical	integrable	regular
broken	non-integrable	chaotic
partial	partly-integrable	mixed

PDS and the Suppression of Chaos



$$H(\beta_0) = h_0 P_0^{\dagger} P_0 + h_2 P_2^{\dagger} \cdot \tilde{P}_2$$

$$P_0^{\dagger} = d^{\dagger} \cdot d^{\dagger} - \beta_0^2 \, (s^{\dagger})^2$$
$$P_{2,\mu}^{\dagger} = \beta_0 \sqrt{2} \, s^{\dagger} d_{\mu}^{\dagger} + \sqrt{7} (d^{\dagger} d^{\dagger})_{\mu}^{(2)}$$

 $\beta_0=\sqrt{2}$: SU(3) PDS

Suppression of chaos



Whelan, Alhassid, Leviatan, PRL 71, 2208 (1993)











- PDS affects the purity of other states in the system
- Classically, region of phase space near the special torus has also toroidal structure

Leviatan, Whelan, PRL 77, 5202 (1996)









degeneracy

all states with good symmetry

dynamical symmetry

exact symmetry

spliting no mixing

all states solvable, with good symmetry

$$G_0 \supset G_1 \supset \ldots \supset G_n$$

$$|\alpha_0, \alpha_1, \ldots, \alpha_n \rangle$$

$$[H, \hat{C}_{G_k}] = 0 \qquad H = \sum_k a_k \hat{C}_{G_k}$$

 $[H, g_i] = 0 \qquad \qquad g_i \in G$

partial symmetry

part of the degenerate states (solvable) with good symmetry

$$[H, g_i] \neq 0$$

$$[H, g_i] |\Psi\rangle = 0 \qquad g_i \in G$$

partial dynamical symmetry

part of non-degenerate states (solvable) with good symmetry

$$[H, \hat{C}_G] \neq 0$$
$$[H, \hat{C}_G]|\Psi\rangle = 0$$

broken

none of the states solvable, without good symmetry

$$[H, g_i] \neq 0 \qquad \qquad g_i \in G$$