Advances in the Calculation of Double Beta Decay

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Beauty in Physics: Theory and Experiment, Cocoyoc May 17th, 2012 In honor of Francesco Iachello on the occasion of his 70th birthday

- Introduction
- Advances in the calculation of phase space factors
- $2\nu\beta^-\beta^-$ decay
 - $M_{2\nu}^{eff}$ from experiments
 - Determination of effective g_A
- $0\nu\beta^-\beta^-$ decay with light neutrino exchange
 - Nuclear matrix elements
 - Half-life predictions
 - Limits on average neutrino mass
- Conclusions & Outlook

Introduction

- Nucleus (A, Z) decays to nucleus $(A, Z \pm 2)$ by emitting two electrons (or positrons) + other light particles
- Modes of interest to this talk: $2\nu\beta^-\beta^$ mode: $(A, Z) \rightarrow (A, Z + 2) + 2e^- + 2\overline{\nu}$
 - Allowed by the standard model



• Decay probability proportional to the square of the average light neutrino mass $\langle m_{\nu} \rangle$, or in case of heavy neutrinos to the lepton nonconserving parameter $|\eta|$



• For processes allowed by the standard model the half-life is

$$\left[\tau_{1/2}^{2\nu}\right]^{-1} = G_{2\nu}g_A^4 |m_e c^2 M^{(2\nu)}|^2$$

• and for neutrinoless modes

$$\left[au_{1/2}^{0
u}
ight]^{-1} = G_{0
u}g_A^4 |M^{(0
u)}|^2 |f(m_i,U_{ei})|^2$$

• $G_{2\nu}$ and $G_{0\nu}$ are the phase space factors

- g_A is the axial vector coupling constant (effective value essentially model dependent!)
- $M^{(2\nu)}$ and $M^{(0\nu)}$ are the nuclear matrix elements
- $f(m_i, U_{ei})$ contains the physics beyond standard model

For both processes, two crucial ingredients are the phase space factors and the nuclear matrix elements!

Introduction

• Light neutrinos:

$$f(m_i, U_{ei}) = rac{\langle m_
u
angle}{m_e} = rac{1}{m_e} \sum_{k=light} (U_{ek})^2 m_k$$

• Advance: The average light neutrino mass is now well constrained by atmospheric, solar, reactor and accelerator neutrino oscillation experiments



lightest neutrino mass in eV

• Heavy neutrinos:

$$f(m_i,U_{ei})=|\eta|=m_p\left\langle m_{
u_h}^{-1}
ight
angle =m_p\sum_{\substack{k=heavy\ k=h\in avy}}(U_{ek_h})^2rac{1}{m_{k_h}}$$

Calculation of PSF: Electron wave functions

- The key ingredient for the evaluation of phase space factors (and thus double beta decay) are the electron wave functions
- We use positive energy Dirac central field wave functions

$$\psi_{\epsilon\kappa\mu}({
m r}) = \left(egin{array}{c} g_\kappa(\epsilon,r)\chi^\mu_\kappa\ if_\kappa(\epsilon,r)\chi^\mu_{-\kappa}, \end{array}
ight)$$

- χ^{μ}_{κ} = spherical spinors, $g_{\kappa}(\epsilon, r)$ and $f_{\kappa}(\epsilon, r)$ = radial functions
- $g_{\kappa}(\epsilon, r)$ and $f_{\kappa}(\epsilon, r)$ satisfy the radial Dirac equations:

$$\left\{ egin{array}{l} \displaystyle rac{dg_\kappa(\epsilon,r)}{dr} = -rac{\kappa}{r}g_\kappa(\epsilon,r) + rac{\epsilon-V+m_ec^2}{c\hbar}f_\kappa(\epsilon,r) \ \displaystyle rac{df_\kappa(\epsilon,r)}{dr} = -rac{\epsilon-V-m_ec^2}{c\hbar}g_\kappa(\epsilon,r) + rac{\kappa}{r}f_\kappa(\epsilon,r) \end{array}
ight.$$

Calculation of PSF: Electron wave functions

- General analytical solution does not exist for screened potential BUT
- numerical solution by Salvat *et al.*, Comput. Phys. Commun. 90, 151 (1995)
- Radial equations solved using piecewise exact power series expansion of the radial functions
- Radial wave functions are normalized so that they asymptotically oscillate with

$$\left(egin{array}{c} g_\kappa(\epsilon,r) \ f_\kappa(\epsilon,r) \end{array}
ight)\sim e^{-i\delta_\kappa}rac{\hbar}{pr}\left(egin{array}{c} \sqrt{rac{\epsilon+m_ec^2}{2\epsilon}}\sin(kr-lrac{\pi}{2}-\eta\ln(2kr)+\delta_\kappa) \ \sqrt{rac{\epsilon-m_ec^2}{2\epsilon}}\cos(kr-lrac{\pi}{2}-\eta\ln(2kr)+\delta_\kappa) \end{array}
ight)$$

• δ_k is the phase shift

Calculation of PSF: Electron wave functions

• Electron scattering wave function can be expanded in terms of spherical waves

$$e_s(\epsilon,{\bf r}) = e_s^{S_{1/2}}(\epsilon,{\bf r}) + e_s^{P_{1/2}}(\epsilon,{\bf r}) + e_s^{P_{3/2}}(\epsilon,{\bf r}) + \dots$$

where

$$e_s^{S_{1/2}}(\epsilon,{
m r})=\left(egin{array}{c} g_{-1}(\epsilon,r)\chi_s\ f_1(\epsilon,r)({
m \hat{p}}\cdotec{\sigma})\chi_s\end{array}
ight)$$

$$e_s^{P_{1/2}}(\epsilon,\mathbf{r})=\left(egin{array}{c} ig_1(\epsilon,r)(\hat{\mathbf{r}}\cdotec{\sigma})(\hat{\mathbf{p}}\cdotec{\sigma})\chi_s\ -if_{-1}(\epsilon,r)(\hat{\mathbf{r}}\cdotec{\sigma})\chi_s\end{array}
ight) \ e_s^{P_{3/2}}(\epsilon,\mathbf{r})=\left(egin{array}{c} ig_{-2}(\epsilon,r)[3(\hat{\mathbf{r}}\cdot\hat{\mathbf{p}})-(\hat{\mathbf{r}}\cdotec{\sigma})(\hat{\mathbf{p}}\cdotec{\sigma})]\chi_s\ if_2(\epsilon,r)[3(\hat{\mathbf{r}}\cdot\hat{\mathbf{p}})(\hat{\mathbf{p}}\cdotec{\sigma})-(\hat{\mathbf{r}}\cdotec{\sigma})]\chi_s\end{array}
ight)$$

(4) (3) (4) (4) (4)

Calculation of PSF: Potential

- To simulate realistic situation, we take into account the finite nuclear size and the electron screening
- Thomas-Fermi screening function, $\varphi(r) = Z_{eff}/Z_d$, obtained by Majorana solution of Thomas Fermi equation (Esposito, Am. J. Phys. 70, 852 (2002))

$$\frac{d^2\varphi}{dx^2} = \frac{\varphi^{3/2}}{\sqrt{x}}$$

•
$$x = r/b$$
 with
 $b = \frac{1}{2} \left(\frac{3\pi}{4}\right)^{2/3} \frac{\hbar^2}{m_e e^2} Z_d^{-1/3} \simeq 0.8853 a_0 Z_d^{-1/3}$
• boundary conditions: $\varphi(0) = 1, \, \varphi(\infty) = \frac{2}{Z_d}$

• The screened potential is then written as

$$V(r) = arphi(r) imes \left[egin{array}{c} rac{-Z_d(lpha\hbar c)}{r}, & r \geq R \ -Z_d(lpha\hbar c)(rac{3-(r/R)^2}{2R}), & r < R \end{array}
ight]$$

Calculation of PSF: Radial wave functions

Example of obtained radial wave functions: 150 Nd decay, $Z_d = 62$ at $\epsilon = 2.0$ MeV, R(150) = 6.38fm



WF1 = Leading finite size Coulomb (previous studies) WF2 = Exact finite size Coulomb

WF3 = Exact finite size Coulomb & electron screening

• Example decay scheme for $2\nu\beta^-\beta^-$: ¹⁰⁰Mo \rightarrow^{100} Ru



- \bullet Possible decays: $0^+ \to 0^+$ and $0^+ \to 2^+$
- $Q_{\beta\beta}$ is the reaction Q-value
- Separation of PSF and NME can be done for:
 - Closure Approximation (CA)

$$\tilde{A} = \frac{1}{2} W_0 + \langle \boldsymbol{E_N} \rangle - E_I = \frac{1}{2} (Q_{\beta\beta} + 2m_e c^2) + \langle \boldsymbol{E_N} \rangle - E_I \simeq 1.12 A^{1/2}$$

• Single State Dominance hypothesis: $\tilde{A} = \frac{1}{2}W_0 + \frac{E_{1^+}}{I_1} = \frac{1}{2}(Q_{\beta\beta} + 2m_ec^2) + \frac{E_{1^+}}{I_1} - E_I$

$2\nu\beta^{-}\beta^{-}$: PSF

• Differential rate for $0^+ \rightarrow 0^+ \ 2 \nu \beta^- \beta^-$ -decay is given by

$$dW_{2
u} = \left(a^{(0)} + a^{(1)}\cos heta_{12}
ight)w_{2
u}d\omega_1d\epsilon_1d\epsilon_2d(\cos heta_{12})$$

$$w_{2
u} = rac{(G\cos heta_C)^4}{64\pi^7\hbar} \omega_1^2 \omega_2^2(p_1 c)(p_2 c)\epsilon_1\epsilon_2$$

- $a^{(0)}$ and $a^{(1)}$ are functions of $\langle K_N \rangle$ and $\langle L_N \rangle$ (functions of $\epsilon_1, \epsilon_2, \omega_1, \omega_2, \tilde{A}, Q_{\beta\beta}$), $|M^{(2\nu)}|$ and $f_{11}^{(0)}$ and $f_{11}^{(1)}$ (products of $g_{-1}(\epsilon, R)$ and $f_1(\epsilon, R)$)
- All quantities of interest are obtained by integration of $dW_{2\nu}$
- All quantities are separated into a phase space factor (independent of NMEs) and NMEs
- Phase space factor of interest

$$G_{2
u} = rac{1}{(m_ec^2)^2} rac{2 ilde{A}^2}{3\ln 2} \int_{m_ec^2}^{Q_{etaeta}+m_ec^2} \int_{m_ec^2}^{Q_{etaeta}+m_ec^2-\epsilon_1} \int_{0}^{Q_{etaeta}-\epsilon_1-\epsilon_2} f_{11}^{(0)}
onumber \ imes \left(\langle K_N
angle^2 + \langle L_N
angle^2 + \langle K_N
angle \langle L_N
angle
ight) w_{2
u} d\omega_1 d\epsilon_2 d\epsilon_1$$

$2\nu\beta^{-}\beta^{-}$: PSF

From these, we obtain:

• The half-life
$$\left[\tau_{1/2}^{2\nu} \right]^{-1} = G_{2\nu} g_A^4 \left| m_e c^2 M^{(2\nu)} \right|^2$$

- The differential decay rate $\frac{dW_{2\nu}}{d\epsilon_1} = \mathcal{N}_{2\nu} \frac{dG_{2\nu}}{d\epsilon_1}$ where $\mathcal{N}_{2\nu} = g_A^4 \left| m_e c^2 M^{(2\nu)} \right|^2$.
- The summed energy spectrum of the two electrons

$$\frac{dW_{2\nu}}{d(\epsilon_1+\epsilon_2)} = \mathcal{N}_{2\nu} \frac{dG_{2\nu}}{d(\epsilon_1+\epsilon_2)}$$

• The angular correlation between the two electrons

$$\begin{aligned} \alpha(\epsilon_1) &= \frac{dG_{2\nu}^{(1)}/d\epsilon_1}{dG_{2\nu}/d\epsilon_1} \\ \text{where } G_{2\nu}^{(1)} \text{ is also obtained from } dW_{2\nu} \\ (a^{(1)} \text{ term}) \end{aligned}$$



$2\nu\beta^{-}\beta^{-}$: Results





• $G_{2\nu}$ as a function of \tilde{A}

- Stays almost constant, which means CA good approximation
- EXCEPT near the threshold $\langle E_N \rangle$, which case SSD good approximation

- Current 2νβ⁻β⁻ phase space factors (red: CA and black: SSD) compared to previous calculations (blue)
- Marked nuclei: Decay observed

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$2\nu\beta^{-}\beta^{-}$: $M^{eff}_{2\nu}$ from experiments



$2\nu\beta^{-}\beta^{-}$: $M^{eff}_{2\nu}$ from experiments

- Now, if we add to the same figure the theoretical IBM-2 matrix elements $|M^{(2\nu)}| = \left|\frac{M_{GT}^{(2\nu)}}{\bar{A}_{GT}} \left(\frac{g_V}{g_A}\right)^2 \frac{M_F^{(2\nu)}}{\bar{A}_F}\right|$ which DO NOT include the factor g_A^2 ...
- ... but they are still much larger than $M_{2\nu}^{eff}$
- $g_{A,eff} < 1.0$, at least in the case of $2\nu\beta^{-}\beta^{-}$!



$2\nu\beta^{-}\beta^{-}$: Determination of effective g_A

- g_A is renormalized in nuclei
- renormalization depends on the size of the model space
 - IBM-2: small model space
 - ISM: large model space
- $g_{A,eff}$ can be extracted comparing $|M_{2\nu}^{eff}|$ and $|M_{2\nu}|$



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$2\nu\beta^{-}\beta^{-}$: Determination of effective g_A

- g_A is renormalized in nuclei
- renormalization depends on the size of the model space
 - IBM-2: small model space
 - ISM: large model space
- $g_{A,eff}$ can be extracted comparing $|M_{2\nu}^{eff}|$ and $|M_{2\nu}|$
- Assumption: $g_{A,eff}$ is a smooth function of A



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$0\nu\beta^-\beta^-$: PSF

- The differential rate $dW_{0\nu}$ for the decay is essentially a function of electron energies and radial wave functions
- By integration of $dW_{0\nu}$ we get

$$G_{0
u} = rac{1}{g_A^4(4R^2)} rac{2}{\ln 2} \int_{m_e c^2}^{Q_{etaeta} + m_e c^2} f_{11}^{(1)} w_{0
u} d\epsilon_1, \hspace{0.5cm} R = r_0 A^{1/3} = 1.2 A^{1/3},$$

where
$$w_{0\nu} = \frac{g_A^4 (G \cos \theta_C)^4}{16\pi^5} (m_e c^2)^2 (\hbar c^2) (p_1 c) (p_2 c) \epsilon_1 \epsilon_2$$

• The half-life is then

$$\left[\tau_{1/2}^{0\nu}\right]^{-1} = G_{0\nu} g_A^4 \left(\frac{\langle m_\nu \rangle}{m_e}\right)^2 |M_{GT}^{(0\nu)} - \left(\frac{g_V}{g_A}\right)^2 M_F^{(0\nu)} + M_T^{(0\nu)}|^2$$

• The single electron spectrum

$$rac{dW_{0
u}}{d\epsilon_1} = \mathcal{N}_{0
u} rac{dG_{0
u}}{d\epsilon_1} = \mathcal{N}_{0
u} \left[2 f^{(0)}_{11}(\epsilon_1) w_{0
u}(\epsilon_1)
ight]$$

where $\mathcal{N}_{0\nu} = g_A^4 \left(\frac{\langle m_{\nu} \rangle}{m_e}\right)^2 |M_{GT}^{(0\nu)} - \left(\frac{g_V}{g_A}\right)^2 M_F^{(0\nu)} + M_T^{(0\nu)}|^2$

• and the angular correlation: $\alpha(\epsilon_1) = \frac{f_{11}^{(1)}(\epsilon_1)}{f_{11}^{(0)}(\epsilon_1)} = \frac{dG_{0\nu}^{(1)}/d\epsilon_1}{dG_{0\nu}/d\epsilon_1}$

$0\nu\beta^{-}\beta^{-}$: Results





- Current 0νβ⁻β⁻
 PSFs (red) compared to previous calculations (blue)
- Influence of using the exact electron wave functions is seen especially for heavier isotopes
 - Example of single electron spectrum: ⁷⁶Ge →⁷⁶Se decay
 - Example of angular correlations: ⁷⁶Ge →⁷⁶Se decay

$0\nu\beta^{-}\beta^{-}$: Nuclear matrix elements

- Most used models:
 - QRPA: Results depend on fine-tuning of the interaction, especially near the spherical- deformed transition, for example ¹⁵⁰Nd.
 - ISM: Cannot address nuclei with many particles in the valence shells, for example 150 Nd, due to the exploding size of the Hamiltonian matrices (> 10^9).
- Recent advances
 - Development of a program to compute $0\nu\beta\beta$ and $2\nu\beta\beta$ nuclear matrix elements in the closure approximation within the framework of the microscopic Interacting Boson Model (IBM-2)
 - Can be used in any nucleus and thus all nuclei of interest can be calculated within the same model

$0\nu\beta^{-}\beta^{-}$: Nuclear matrix elements



- Comparison of QRPA*, ISM** and IBM-2 matrix elements for light neutrinos with Jastrow SRC
- The ISM is a factor of (approximately) two smaller than both the IBM-2 and QRPA

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- This could suggest that $g_{A,eff}$ is the same for both modes
- The agreement between IBM-2 and QRPA is not only for the overall matrix element but also for the individual pieces, F, GT, T
- * F. Šimkovic et al., Phys. Rev. C 77, 045503 (2008).
- ** E. Caurier et al., Phys. Rev. Lett. 100, 052503 (2008).

$0\nu\beta^{-}\beta^{-}$:Results with g_A and $g_{A,eff}$



• bad agreement between IBM-2 and ISM

- good agreement between IBM-2 and ISM
- Half-lives over ten times longer!

→ 3 → 4 3

$0\nu\beta^{-}\beta^{-}$: Results with g_A and $g_{A,eff}$

• Limits on average light neutrino mass from current experimental $0\nu\beta^{-}\beta^{-}$ half-life limits^{*}



* A.S. Barabash, Phys. Atom. Nucl. 74, 603 (2011).

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$0\nu\beta^{-}\beta^{-}$: Results with g_A and $g_{A,eff}$

 $g_A = 1.269$

 $\bullet\,$ Current limits to $\langle m_{\nu} \rangle$ from CUORICINO, IGEX, NEMO-3 and KamLAND-Zen



 $g_{A,eff} = 1.269 A^{-\gamma}$

IGEX: C. E. Aalseth *et al.*, Phys. Rev. D **65**, 092007 (2002). NEMO-3: R. Arnold, *et al.*, Nucl. Phys. A **765**, 483 (2006). CUORICINO: C. Arnaboldi *et al.*, Phys. Rev. C **78**, 035502 (2008). KamLAND-Zen: A. Gando *et al.*, arXiv:1201.4664vi [hep-ex] (2012). X: H.V. Klandor-Kleingrothaus *et al.* Phys. Lett. B **586** (198 (2004)). Jenni Kotila Advances in the Calculation of Double Beta Decay.

Conclusions

- Complete and improved calculation of phase space factors for $2\nu\beta^-\beta^-$ and $0\nu\beta^-\beta^-$ decay
 - including half-lives, single electron spectra, summed electron spectra and electron angular correlations
- Improvement: exact Dirac wave function with finite nuclear size and electron screening
- \bullet Improvement: first excited 0^+ state, not just ground state
- Error analysis included to maximize the feasibility
- The analysis of the $g_{A,eff}$ for the case of IBM-2 NMEs
- Predictions for half-lives and limits for average light neutrino masses using IBM-2 matrix elements

Outlook

- Complete and improved calculations for $2\nu\beta^+\beta^+$ and $0\nu\beta^+\beta^+$ decay phase space factors, as well as for $2\nu EC\beta^+$, $2\nu ECEC$ and $0\nu EC\beta^+$ are ready, $0\nu ECEC$ needs more work
- Similar analysis to these modes that was done for $\beta^-\beta^-$

THANK YOU!



 $q_A = 1.269$

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Estimate of uncertainties introduced to PSF

2 u	Q-value	$10 imes \delta Q/Q$
	Radius	$\mathbf{0.5\%}$
	Screening	0.10%
	$\langle E_N angle$	model dependent
0ν	Q-value	$3 imes \delta Q/Q$
	Radius	7%
	Screening	0.10%
	$\langle E_N angle$	-

Limits on $|\eta|$ with g_A and $g_{A,eff}$



Limits on $\langle m_{\nu_h} \rangle$ with g_A and $g_{A,eff}$

$$\eta = \frac{M_W^4}{M_{WR}^4} \sum_{k=heavy} \left(V_{ek_h} \right)^2 \frac{m_p}{m_{k_h}},$$

where M_W is the mass of the W-boson, $M_W = 80.41 \pm 0.10$ GeV, M_{WR} is the mass of WR-boson, assumed^{*} to be $M_{WR} = 3.5$ TeV and $V = (M_{WR}/M_W)^2 U$. The ratio $(M_W/M_{WR})^4$ is then 2.75×10^{-7} 10.0 5.0 5.0 Mo X Ge Xe 2.0 2.0 $|\langle m_{\nu_k} \rangle|$ in GeV Cd $|\langle m_{\nu_k} \rangle|$ in GeV 1.0 1.0 Se Nd Mo X Ge Te Xe 0.5 Te Zr Ca 0.2 0.2 Cd Nd 0.1 0.1 Te 120 140 100 120 140 40 60 80 100 160 40 60 80 160 Mass number Mass number

* V. Tello et al., Phys. Rev. Lett. 106, 151801 (2011).

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The functions $f_{11}^{(0)}$ and $f_{11}^{(1)}$ are defined as

$$\begin{split} f_{11}^{(0)} &= |f^{-1-1}|^2 + |f_{11}|^2 + |f^{-1}_1|^2 + |f_1^{-1}|^2, \\ f_{11}^{(1)} &= -2 \text{Re}[f^{-1-1}f_{11}^* + f^{-1}_1f_1^{-1*}]. \end{split} \tag{1}$$

with

$$f^{-1-1} = g_{-1}(\epsilon_1)g_{-1}(\epsilon_2),$$

$$f_{11} = f_1(\epsilon_1)f_1(\epsilon_2),$$

$$f^{-1}_{-1} = g_{-1}(\epsilon_1)f_1(\epsilon_2),$$

$$f_1^{-1} = f_1(\epsilon_1)g_{-1}(\epsilon_2).$$

(2)

$$g_{-1}(\epsilon) = \int_0^\infty w(r)g_{-1}(\epsilon, r)r^2 dr,$$

$$f_1(\epsilon) = \int_0^\infty w(r)f_1(\epsilon, r)r^2 dr.$$
(3)

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In approximation (I) we use the weighing function $w(r) = \delta(r-R)/r^2$ in which case

$$g_{-1}(\epsilon) = g_{-1}(\epsilon, R), \qquad (I) \qquad (4)$$
$$f_{1}(\epsilon) = f_{1}(\epsilon, R), \qquad (I)$$

that is the electron wave functions are evaluated at the nuclear radius r = R. This is the simplest approximation and is commonly used in single- β decay. In approximation (II) we use the weighing function $w(r) = 3/R^3$ for $r \leq R$ and w(r) = 0 for r > R (an uniform distribution of radius R). This is not a good approximation, since the inner states cannot decay due to Pauli blocking and the decay occurs at the surface of the nucleus.

$$g_{-1}(\epsilon) = \frac{3}{R^3} \int_0^R g_{-1}(\epsilon, r) r^2 dr$$

$$f_1(\epsilon) = \frac{3}{R^3} \int_0^R f_1(\epsilon, r) r^2 dr$$
(II) (5)

The third and most accurate approximation (III) is that in which the weighing function is the square of the wave function, $R_{nl}(r)$, of the nucleon undergoing the decay,

$$g_{-1}(\epsilon) = \int_{0}^{\infty} |R_{nl}(r)|^{2} g_{-1}(\epsilon, r) r^{2} dr$$

$$f_{1}(\epsilon) = \int_{0}^{\infty} |R_{nl}(r)|^{2} f_{1}(\epsilon, r) r^{2} dr$$
(III) (6)

The approximation (III) essentially amounts to an evaluation of $g_{-1}(\epsilon)$ and $f_1(\epsilon)$ at a radius $\sqrt{\langle r^2 \rangle_{nl}}$. For harmonic oscillator wave functions one has

$$\left\langle r^{2}\right\rangle_{nl} = b^{2}\left(2n+l+\frac{3}{2}\right).$$
 (7)

This approximation has the disadvantage that it must be done separately for each nucleus.